



ERL  
2013

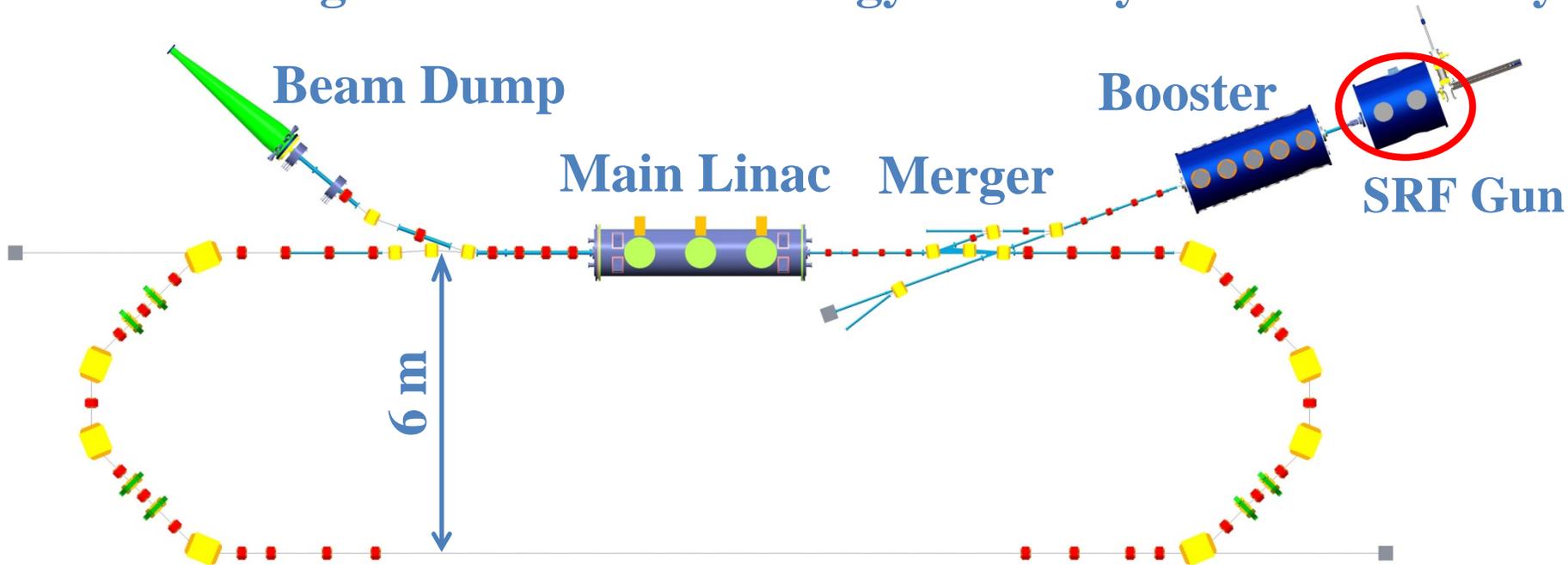
# Field emission measurement on flat Mo-substrates

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ERL 2013  
09.09.2013.

# Electron source for BERLinPro

**BERLinPro**=high current Berlin Energy Recovery Linac test facility



Beam energy	50 MeV
Average current	100 mA
Bunch charge	77 pC
Normalized emittance	1 mm·mrad
Resonance frequency	1.3 GHz

**demonstration of the feasibility to use ERL technology for future 4<sup>th</sup> generation multi-user light sources**

Development of an electron source with  $I=100$  mA and  $\varepsilon<1.0$  mm·mrad

**High average current  $I_{\text{ave}}=100$  mA**

Photomaterials with high QE in the green part of the light spectrum →

cathode work function  $\varphi<2.5$  eV

Semiconductor CsK<sub>2</sub>Sb is a baseline photocathode for BERLinPro

$\varphi(\text{CsK}_2\text{Sb})\sim 1.9$  eV;  $\varphi(\text{Cs}_2\text{Te})\sim 3.6$  eV,  $\varphi(\text{Mo})\sim 4.6$  eV

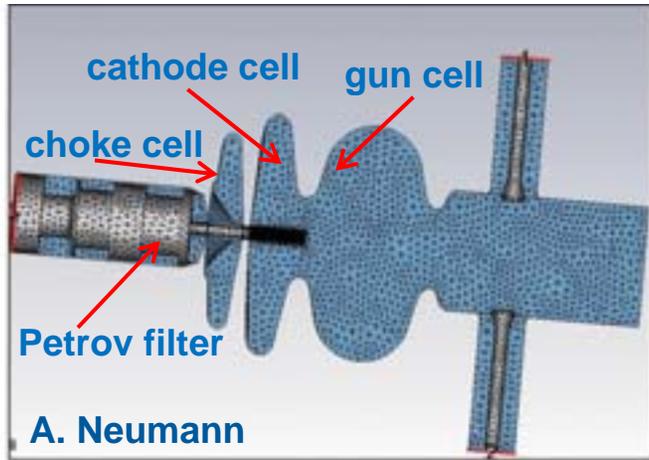
Field emission current  $I=I(\beta, \varphi)$  → **morphology**  
→ **work function**

Low beam emittance required for BERLinPro demands high field gradient on the cathode surface during beam extraction

$E_{\text{launch}}=E_{\text{cath}}\cdot\sin(\Phi) \Rightarrow$  **high peak field**  $E_{\text{cath}}$  on the cathode surface

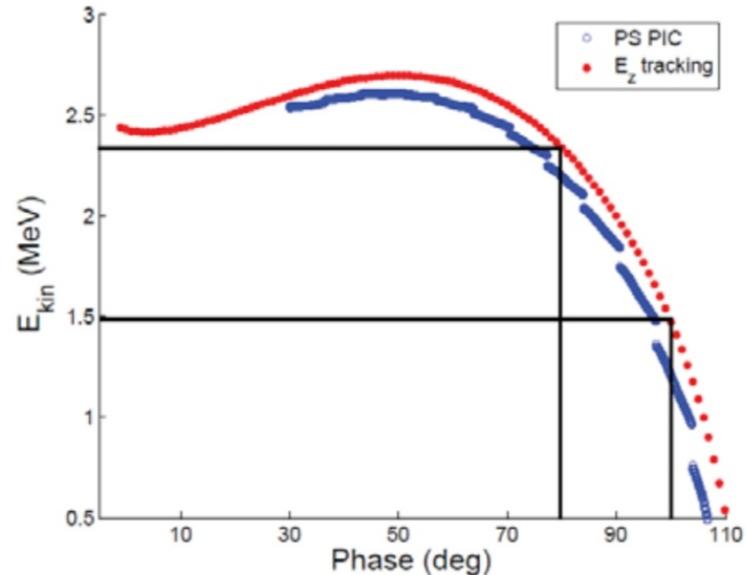
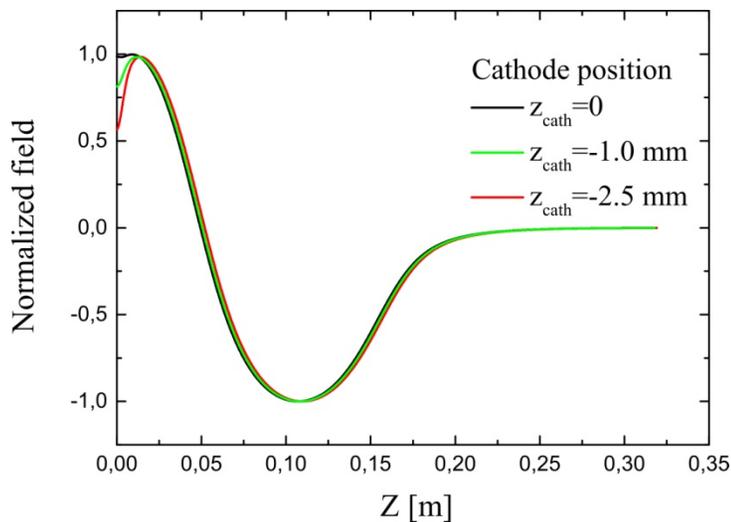
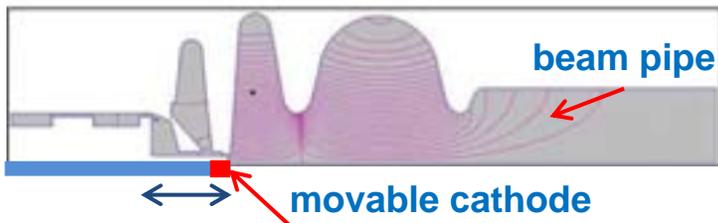
Field emission grows exponentially with the field amplitude

# Electron source for BERLinPro



$E_{\text{axis}} \sim 30 \text{ MV/m}$  ( $E_{\text{iris}} = 45 \text{ MV/m}$ )  
 $E_{\text{kin}} = 2.3 \text{ MeV}$ ,  $I = 100 \text{ mA} \rightarrow P = 230 \text{ kW}$   
 Power limit of  $\sim 230 \text{ kW}$  by two KEK-style fundamental power couples

Retracted cathode  $z_{\text{cath}} = -2.5 \text{ mm}$  (relative to the backwall)  $\rightarrow E_{\text{cath}} \sim 0.57 \cdot E_{\text{axis}}$   
 $E_{\text{cath}} = 17\text{-}30 \text{ MV/m}$



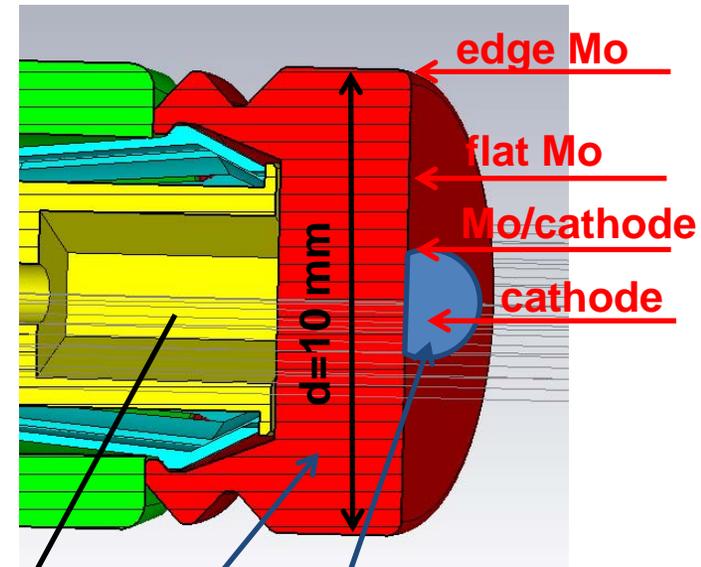
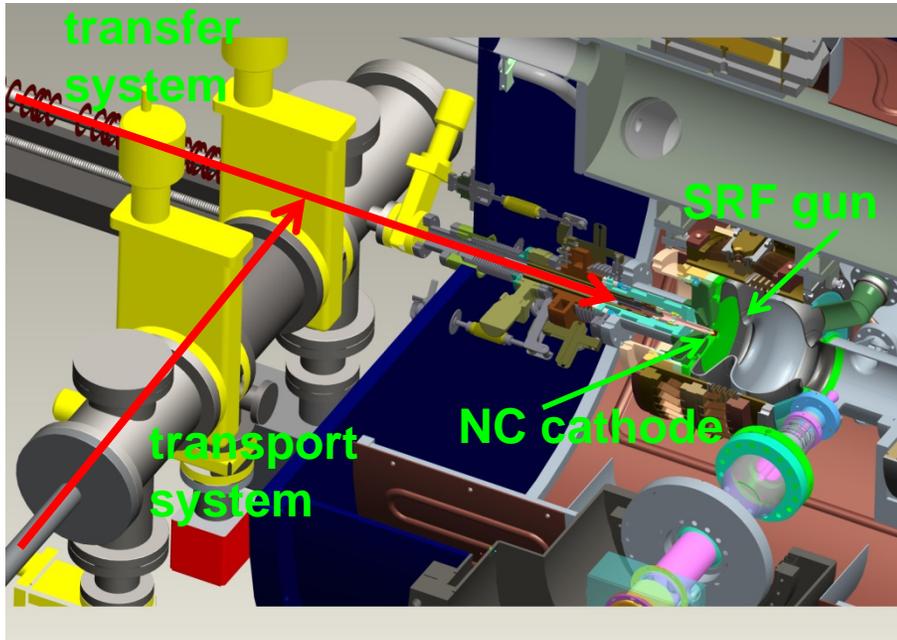
### Limiting factors:

Field emission (unwanted beam) extracted from the cavity can limit the operation of the SRF gun:

- particles loss in the booster
- damage of the machine components...
- pressure rise (ESD)
- electron-backbombardment (influence on cathode QE, production of secondary electrons, heating,...)

Field emission is relevant for understanding of multipacting, which can also limit the performance of the SRF gun

# Sources of Field Emission

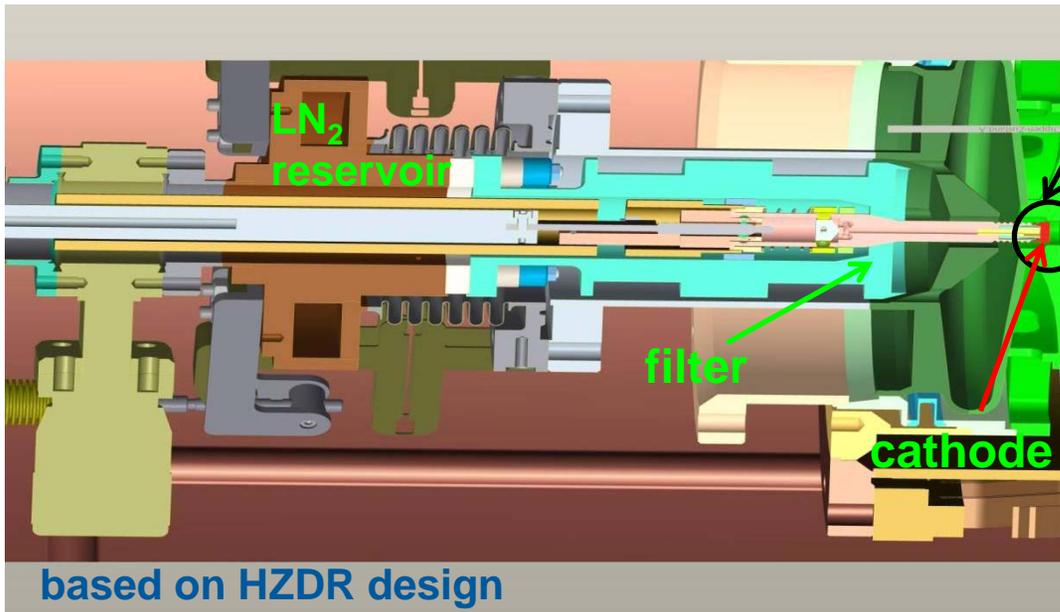


Photocathode

Substrate

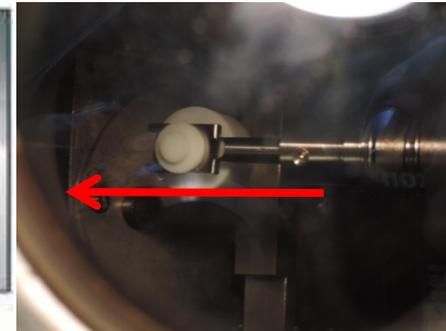
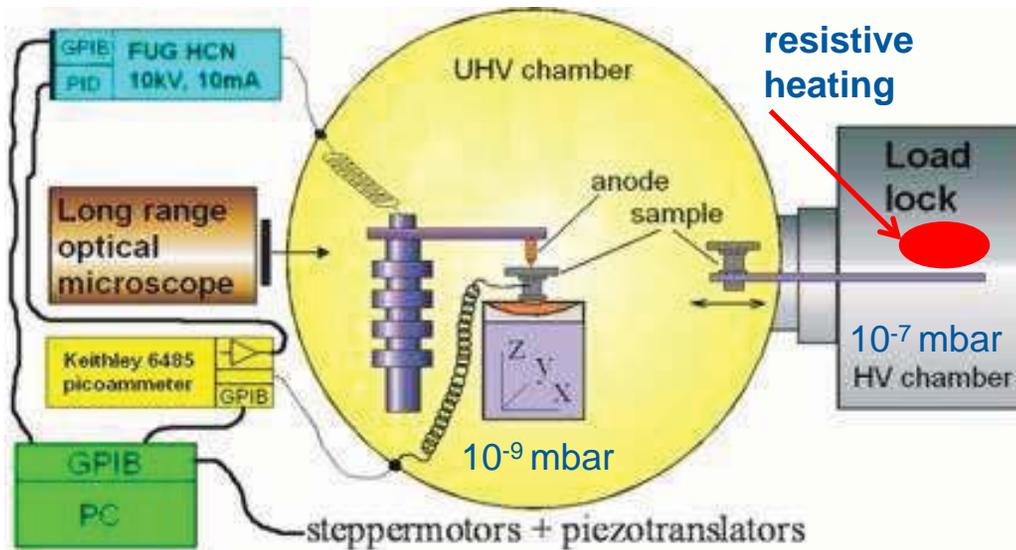
Sources of FE:

- Substrate (incl. edges)
- Boundary substrate/cathode
- Cathode

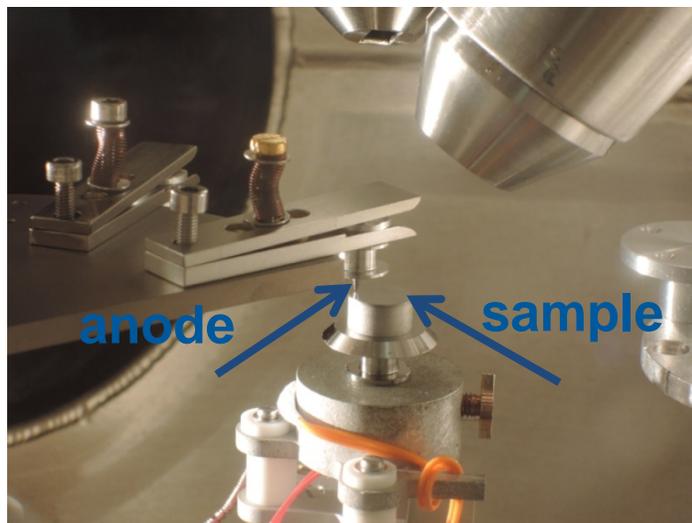


based on HZDR design

# Field Emission Scanning Microscope in Wuppertal



- Regulated voltage scan  $V(x,y)$
- Local measurements of emitters  $I(U) \rightarrow \beta_{FN}, S_{FN}$



$$E = k \cdot V/d$$

$k$  - geometric correction factor (gap, anode diameter, shape,...)

$V$  - voltage,  $d$  - gap

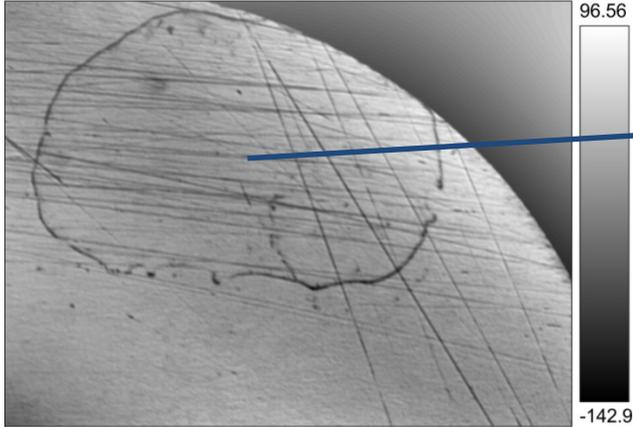
Truncated conical tungsten anode with a flat tip of diameter  $\sim 150 \mu\text{m}$  ( $300 \mu\text{m}$ ), gap  $d = 50 \mu\text{m}$ , for a flat surface  $\rightarrow E = V/d$

Field gradient was adjusted using a 10 kV power supply

# Polycrystalline Mo-Substrate

Area: 5018 x 3763  $\mu\text{m}$

Sda: 659.9

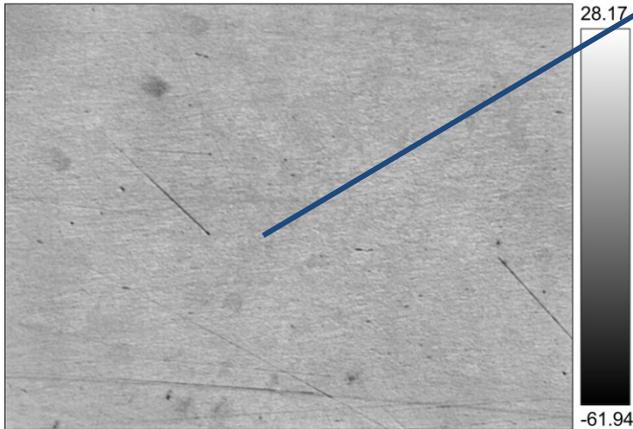


**(5x3.8) mm<sup>2</sup>**  
**S<sub>q</sub>=27 nm**  
**S<sub>a</sub>=20 nm**

Sq: 26.81  
Sa: 19.53  
St: 239.5  
Points: 307200  
QUARTIC  
640x480  
1/2" CCD  
0.5X Body  
No Relay  
520 nm White  
2.5X

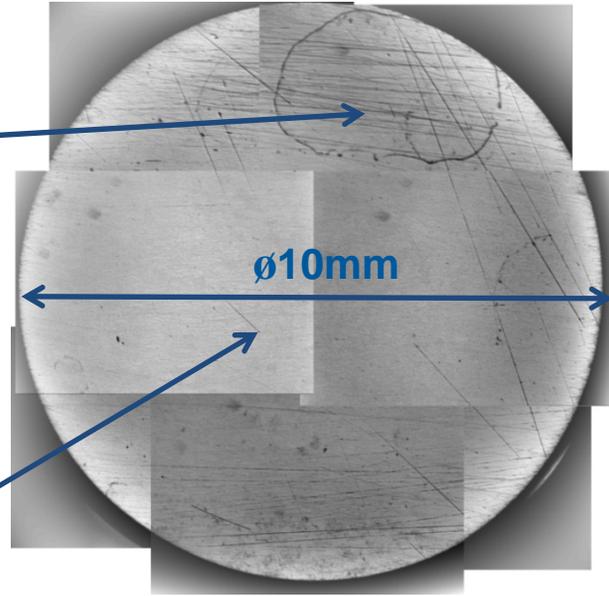
Op:  
Area: 5018 x 3763  $\mu\text{m}$

Sda: 291.1

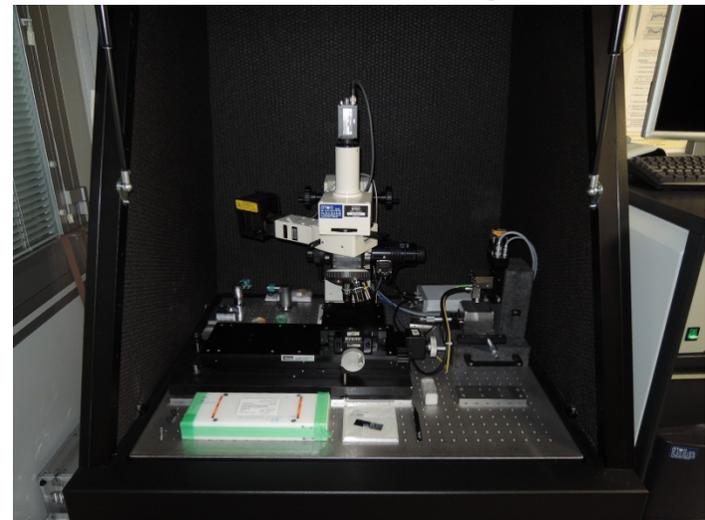


**(5x3.8) mm<sup>2</sup>**  
**S<sub>q</sub>=4.8 nm**  
**S<sub>a</sub>=3.7 nm**

Sq: 4.837  
Sa: 3.683  
St: 90.11  
Points: 307200  
QUARTIC  
640x480  
1/2" CCD  
0.5X Body  
No Relay  
520 nm White  
2.5X

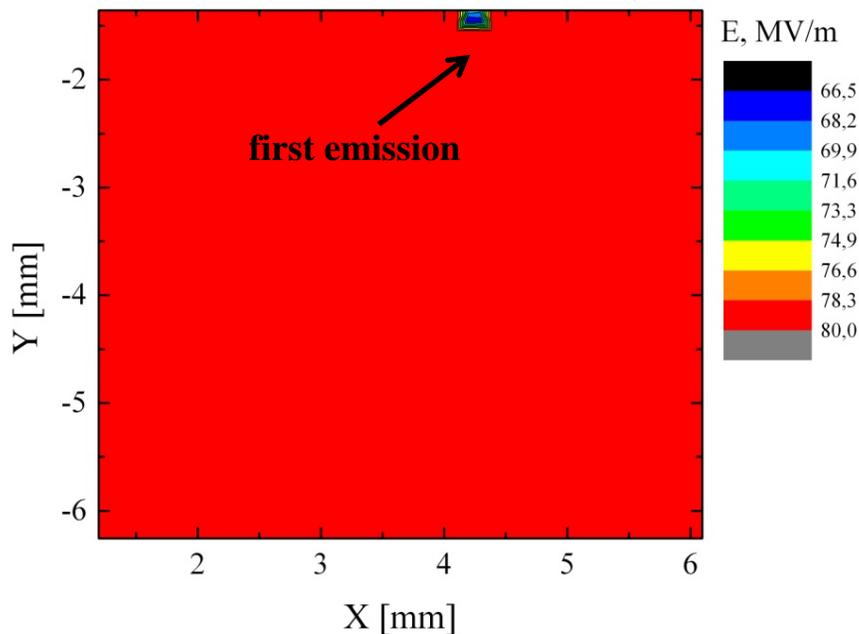


**Surface of the polycrystalline Mo sample measured with a white light interferometer**

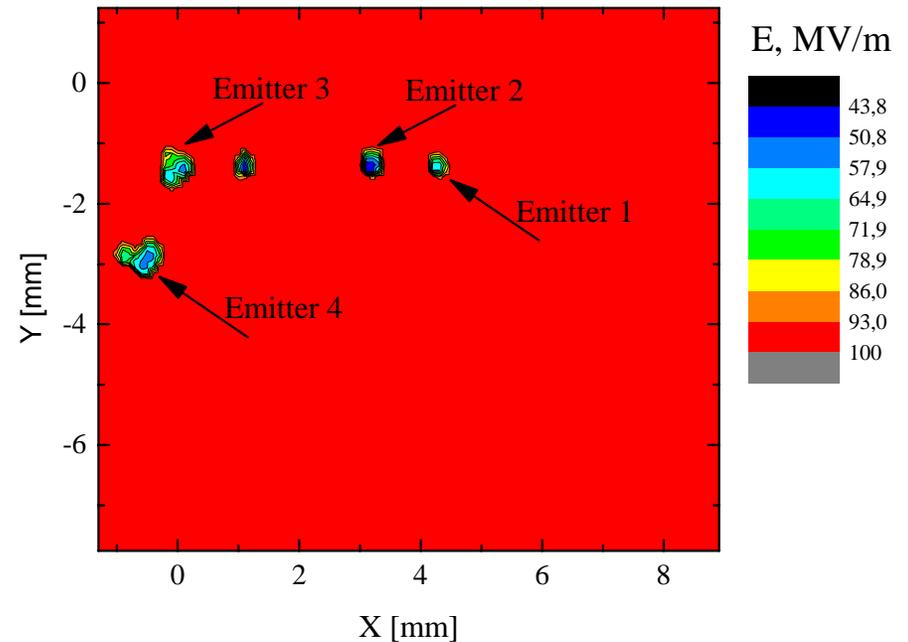


# FESM Measurement

No Dry Ice Cleaning (high pressure jet of pure CO<sub>2</sub>), ionized nitrogen, ...  
FE measurement was performed over the entire surface of the Mo sample  
Applied voltage was adjust for I=1 nA emission current, gap is constant d=50 μm  
First emission at E=80 MV/m  
5 emitters were observed at E=100 MV/m



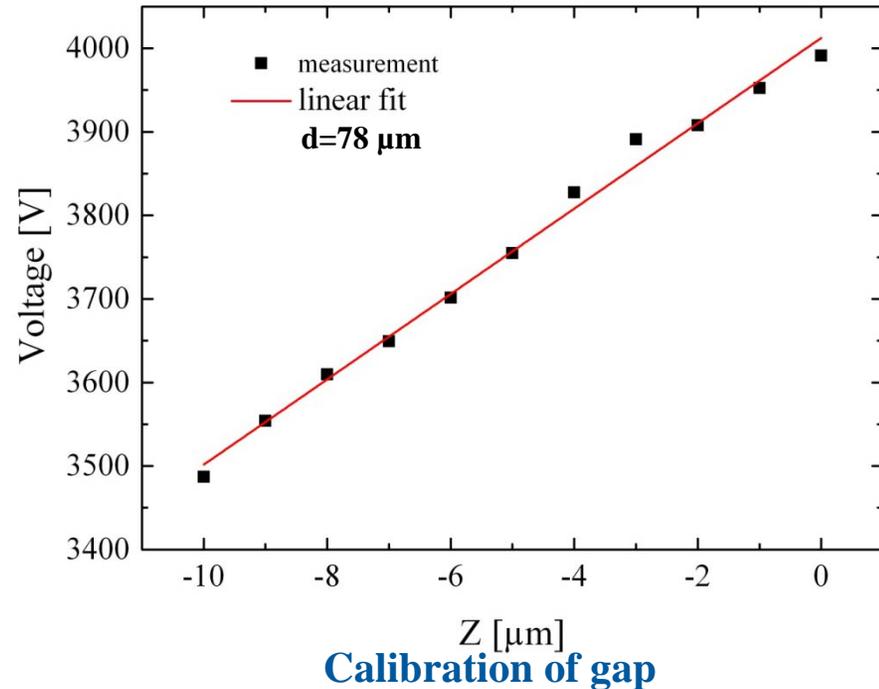
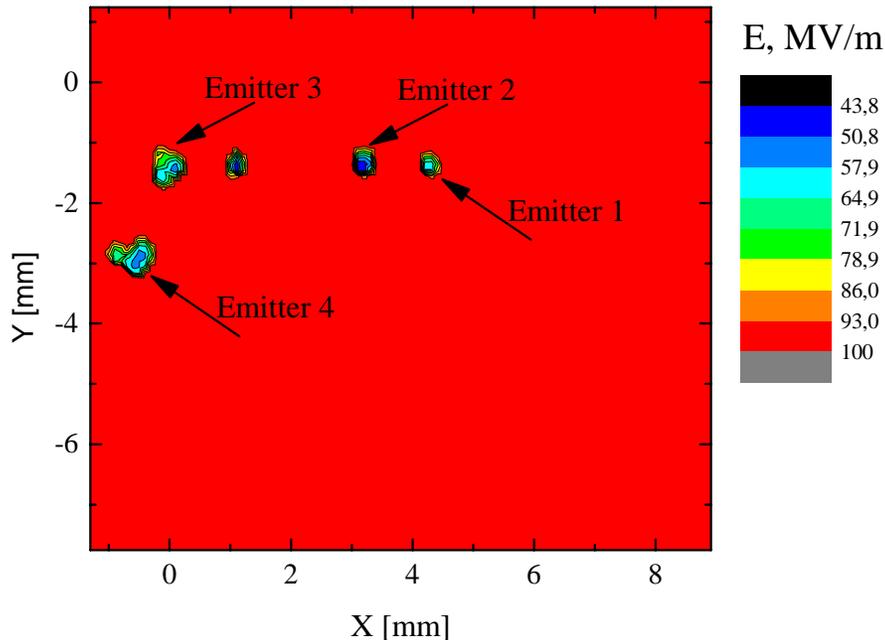
**FE Map of the polycrystalline  
Mo sample at E=80 MV/m  
5x5 mm<sup>2</sup>**



**FE Map of the polycrystalline  
Mo sample at E=100 MV/m  
10x10 mm<sup>2</sup>**

## Characterization of the emitters

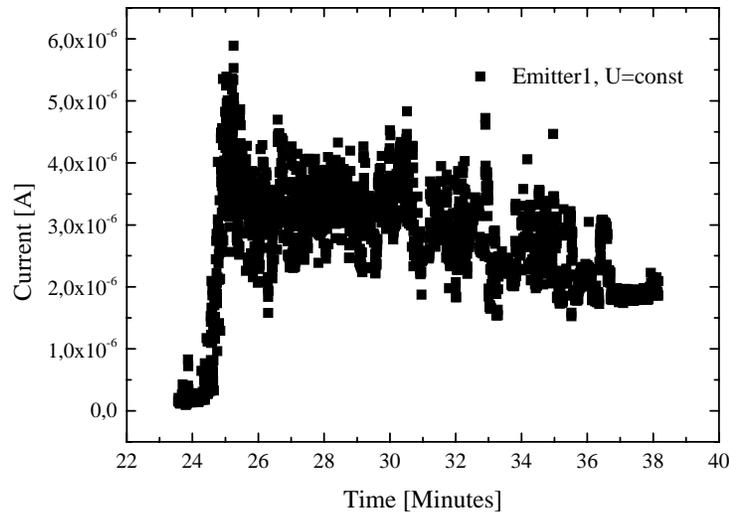
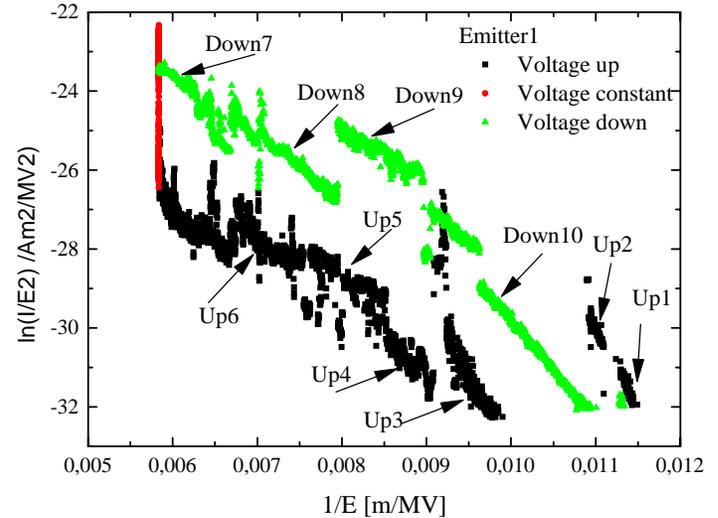
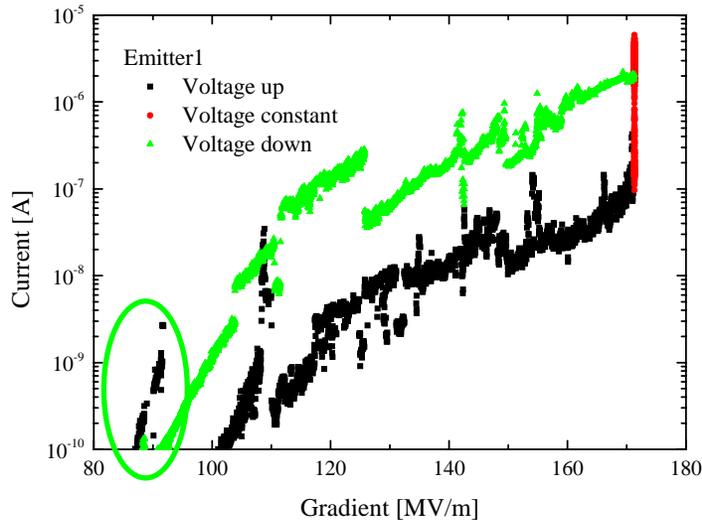
$I(E)$ , enhancement factor  $\beta$ , effective emitting area  $S$



Gap estimation using a long-distance optical microscope

- Centering the anode at the emission site
- Reducing the gap while adjusting HV to maintain a constant current (1 nA)
- Extrapolation to  $V=0$  is set as a gap  $d=0$

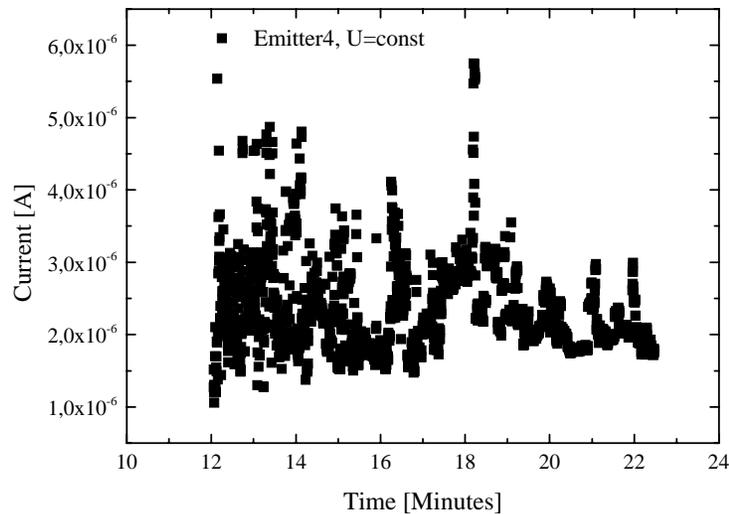
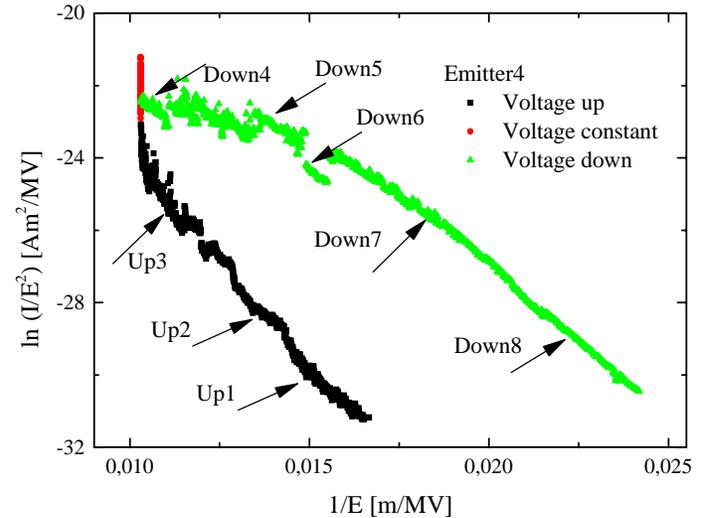
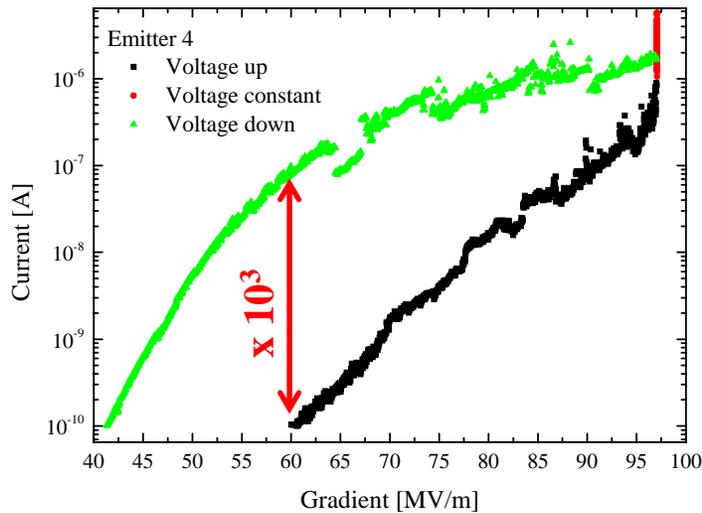
# Local Measurements (Emitter 1)



**Unstable**  
**Non Fowler-Nordheim (F-N) behaviour**  
**No emission between 92 MV/m and 101 MV/m**  
**Emitter activation (except  $E < 90$  MV/m)**

$S, m^2$	$3.4E7$	$4.3E-2$	$4.3E-8$	$1.7E-16$	$9.6E-18$	<b><math>2.5E-19</math></b>
$\beta$	10.4	14.9	17.6	37.1	46.9	<b>66.2</b>
<b>Pos.</b>	Up1	Up2	Up3	Up4	Up5	Up6
$S, m^2$	$2.8E-16$	$9.8E-15$	$1.6E-16$	<b><math>3.5E-11</math></b>		
$\beta$	44.7	33.9	52.3	<b>24.4</b>		
<b>Pos.</b>	Down7	Down8	Down9	Down10		

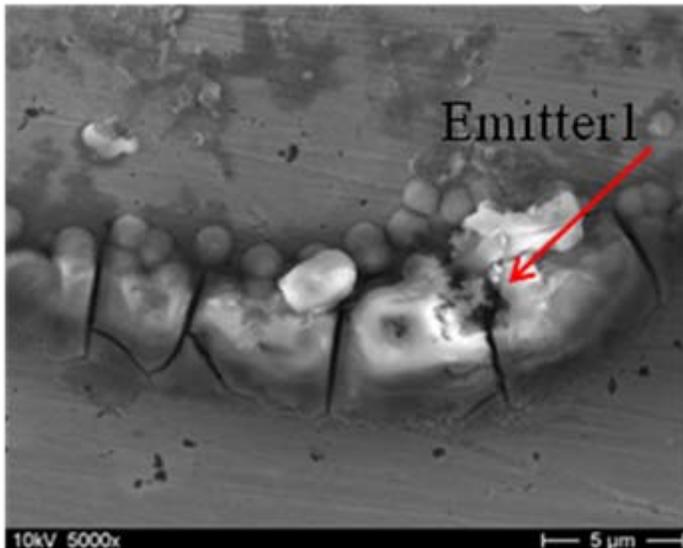
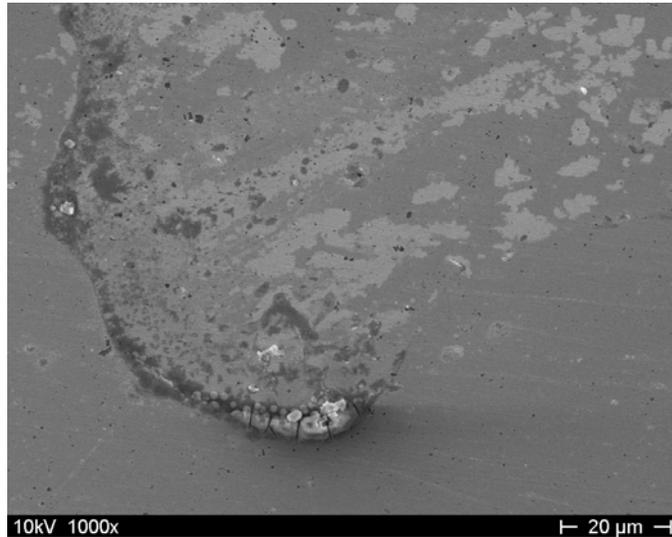
# Local Measurements (Emitter 4)



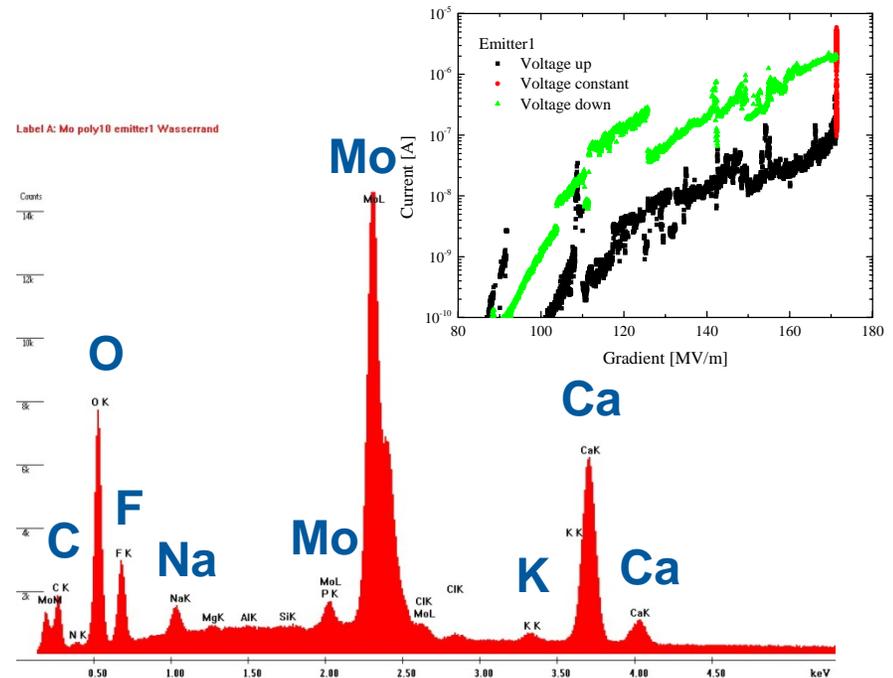
$E_{\text{onsett}}(1\text{nA})=70 \text{ MV/m}$   
 FN-dependence  
 Strong activation  
 $E_{\text{onsett}}(1\text{nA})=46 \text{ MV/m}$

$S, \text{m}^2$	1.1E-16	5.1E-17	<b>7E-12</b>		
$\beta$	64.3	69.4	<b>34.6</b>		
Pos.	Up1	Up2	Up3		
$S, \text{m}^2$	5.5E-17	6.4E-17	1.3E-15	3.1E-16	<b>1.7E-14</b>
$\beta$	92.2	107.2	78.9	94.9	<b>73.1</b>
Pos.	Down4	Down5	Down6	Down7	Down8

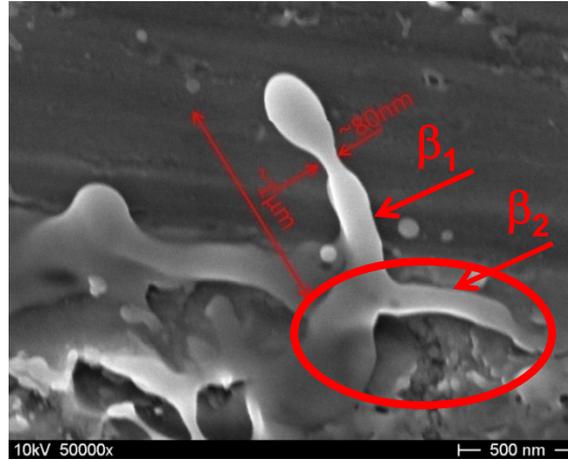
# Morphology and Composition of Emitters (Emitter 1)



- The emitters were investigated by:
- SEM (Scanning Electron Microscope)
  - EDX (Energy Dispersive X-ray)



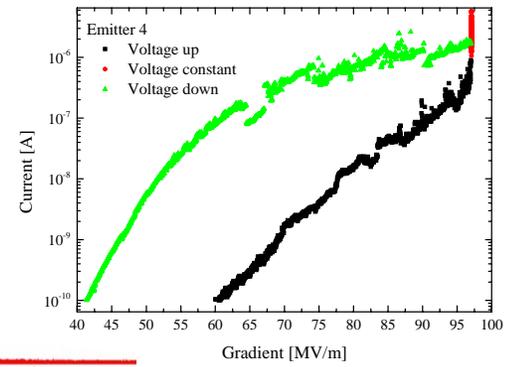
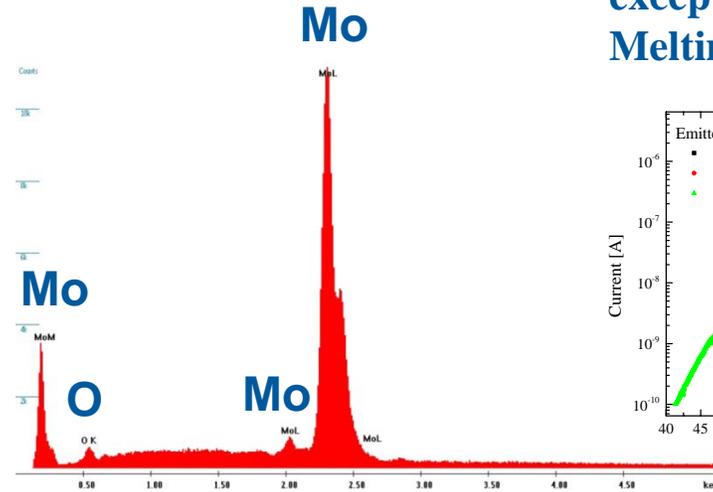
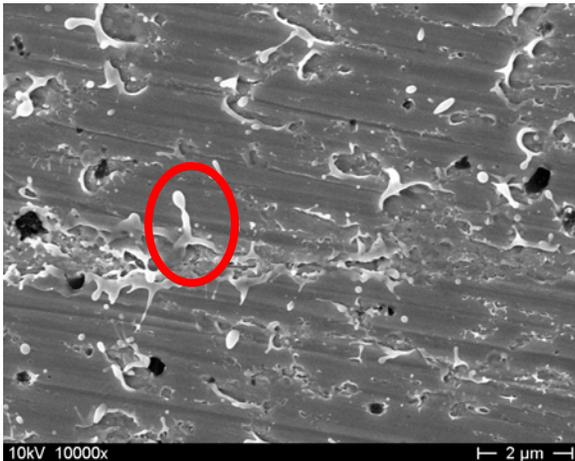
# Morphology and Composition of Emitters (Emitter 4)



$E_{\text{onset}}(1\text{nA})=70\text{ MV/m}$   
**FN-dependence**  
**Strongly activated**  
 $E_{\text{onset}}(1\text{nA})=46\text{ MV/m}$

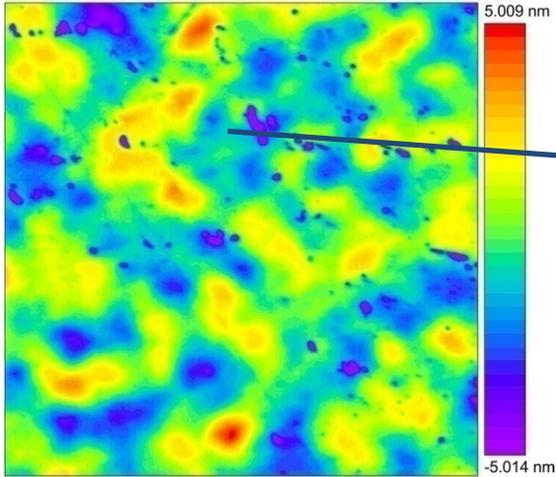
$d=80\text{ nm}, l=1\mu\text{m} \rightarrow \beta_1 \sim 10$   
 $\beta_{\text{eff}} = \beta_1 * \beta_2 > 10$

**EDX shows no elements except of Mo and O**  
**Melting point (Mo)  $\sim 2617\text{ }^\circ\text{C}$**



# Single Crystal Mo-Substrate

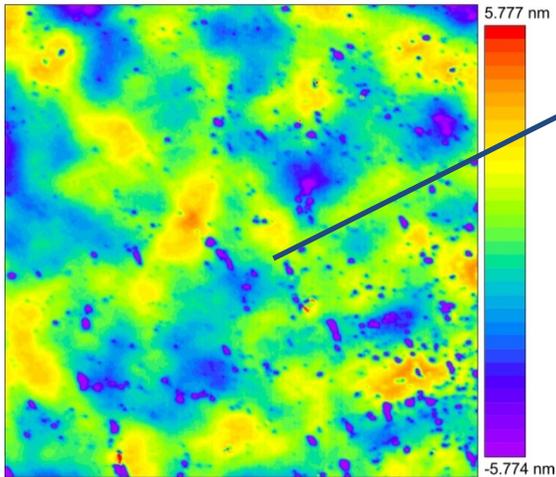
Op: at centre position  
Area: 235.2 x 235.2  $\mu\text{m}$



**Centre**  
**(235x235) mm<sup>2</sup>**  
**S<sub>q</sub>=1.7 nm**  
**S<sub>a</sub>=1.2 nm**

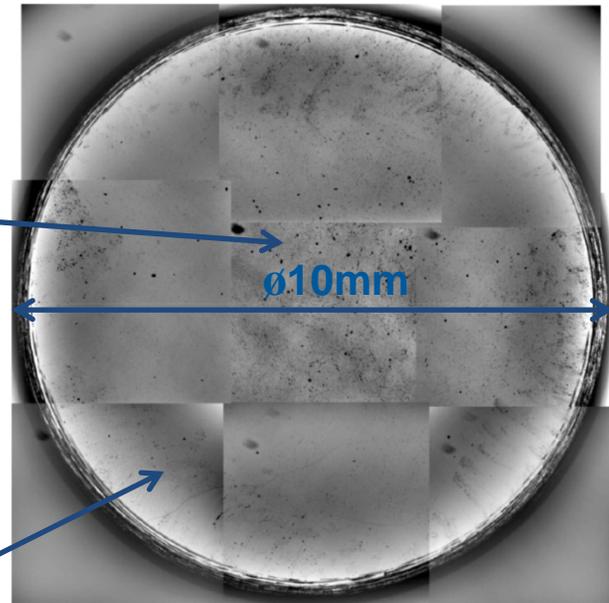
Sq: 1.671 nm      480x480  
Sa: 1.241 nm      1/2" CCD  
St: 35.45 nm      1.0X Body  
                    No Relay  
Points: 230400      520 nm White  
QUARTIC              20X

Op: at edge  
Area: 235.2 x 235.2  $\mu\text{m}$

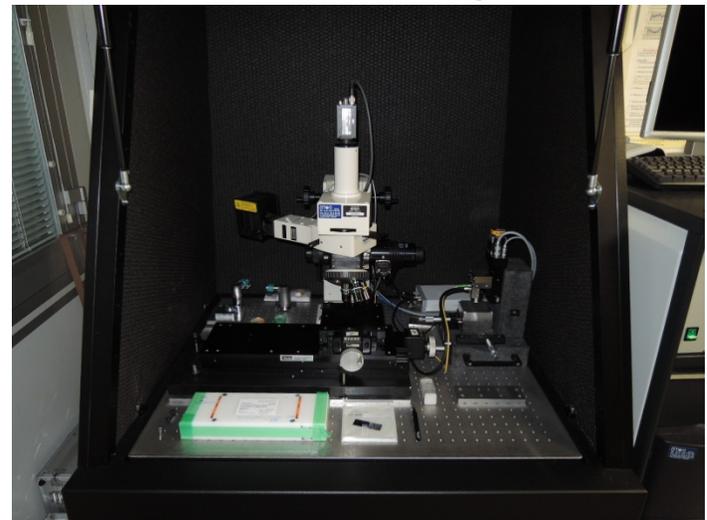


**Edge**  
**(235x235) mm<sup>2</sup>**  
**S<sub>q</sub>=1.9 nm**  
**S<sub>a</sub>=1.4 nm**

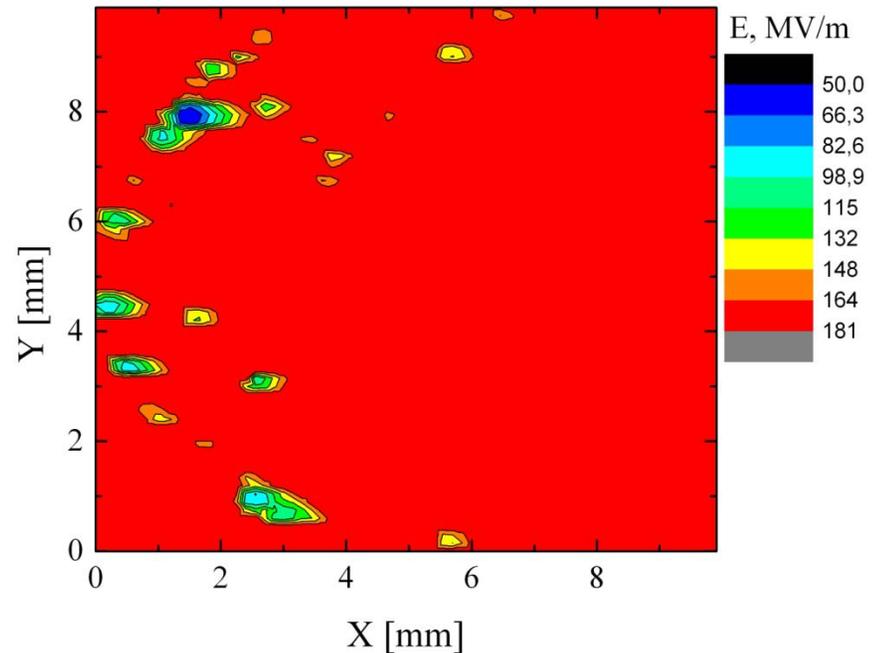
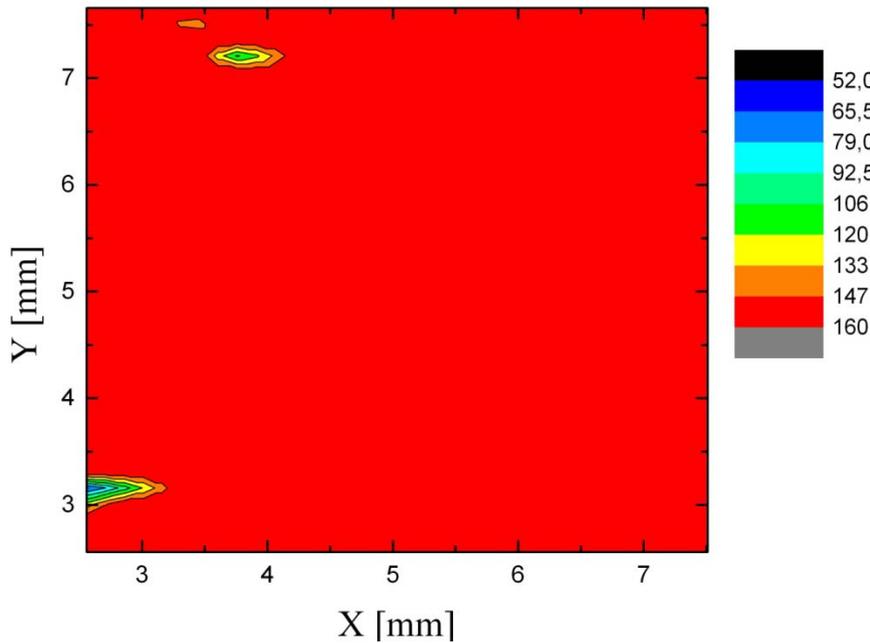
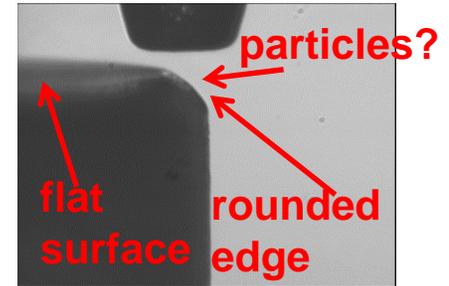
Sq: 1.925 nm      480x480  
Sa: 1.359 nm      1/2" CCD  
St: 54.00 nm      1.0X Body  
                    No Relay  
Points: 230400      520 nm White  
QUARTIC              20X



Surface of the single crystal Mo sample measured with a white light interferometer



**Ionized nitrogen, DIC (5 minutes)**  
**Much less particles on the surface**  
**First emission was observed at 160 MV/m**  
**Strong emission from the edges**



# Heat Treatment

Cathode preparation → clean surface (heat treatment)

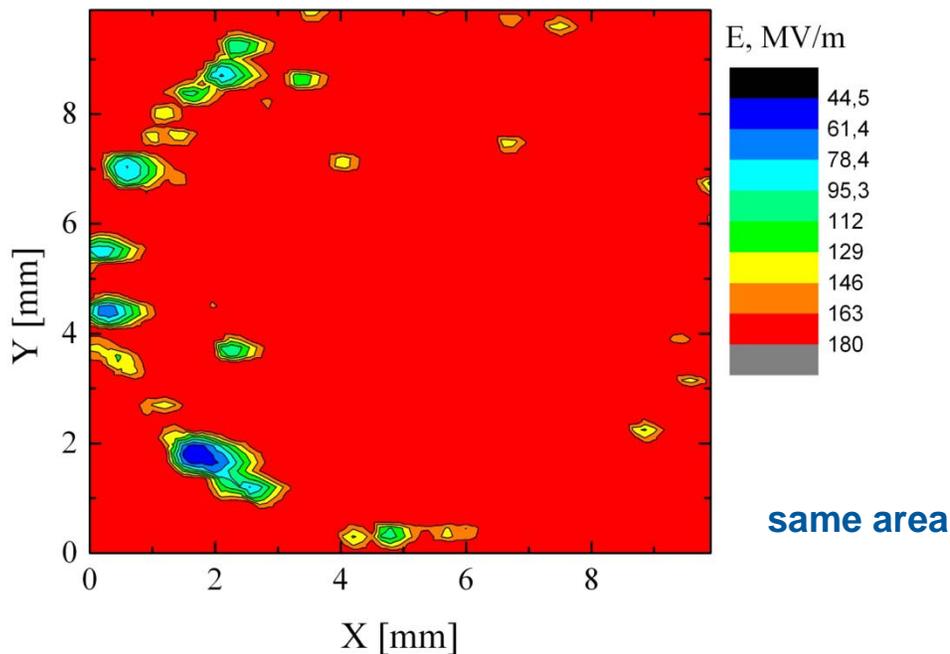
Temperature dependence:

- activation of new emitters
- modification of existing emitters

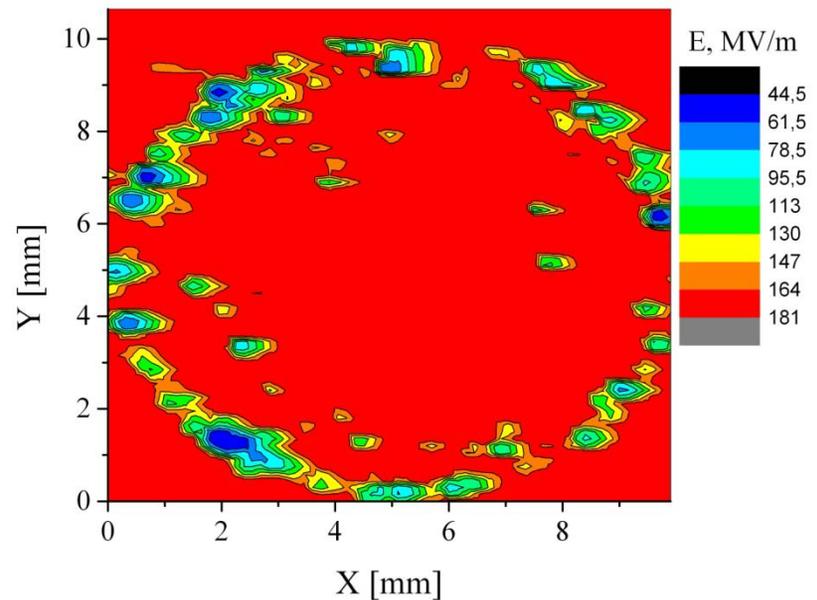
Heating at 100 °C, 200 °C, 300 °C, 400 °C and 600 °C

The most dominant effect was observed after heat treatment at T=400 °C

Molybdenum trioxide  $\text{MoO}_3$ ?  $\text{MoO}_3 \rightarrow 2\text{MoO}_2 + \text{O}_2$



FE-Map at E=180 MV/m  
10x10 mm<sup>2</sup>, T=300 °C



FE-Map at E=180 MV/m  
10x10 mm<sup>2</sup>, T=400 °C

**First emission was observed at 80 MV/m for polycrystalline Mo and 160 MV/m for single crystal Mo**

**Strong emission from the edges of the sample<sup>2</sup>**

**It seems that heat treatment of the substrate surface increases the number of emission sites for the same gradient**

**It seems that the emitters become more stable**

**The most dominant effect was observed after heat treatment at  $T=400\text{ }^{\circ}\text{C}$**

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