

# **Recent Trends in Beam Size Measurement Using the Spatial Coherence of Visible Synchrotron Radiation**

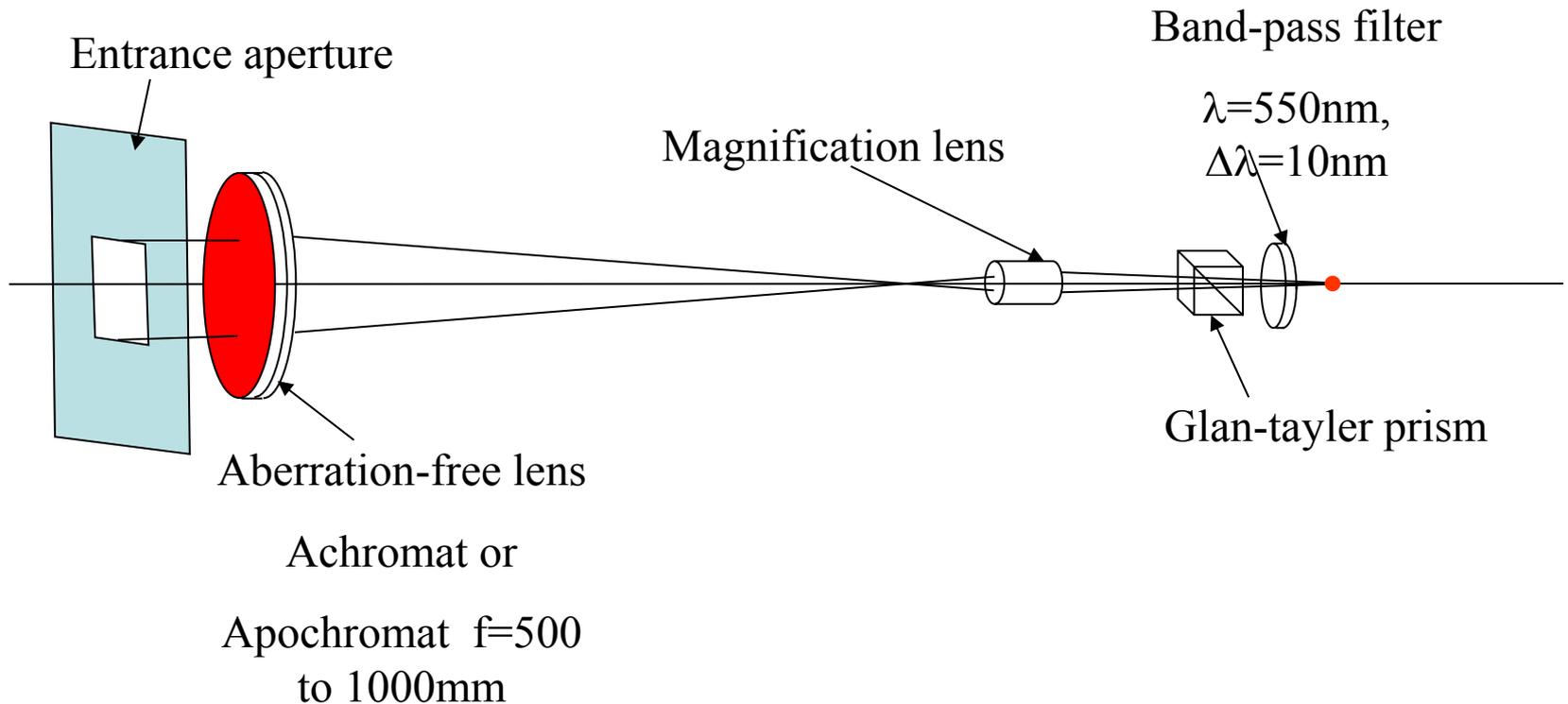
Toshiyuki MITSUHASHI  
KEK

## **Agenda of talk**

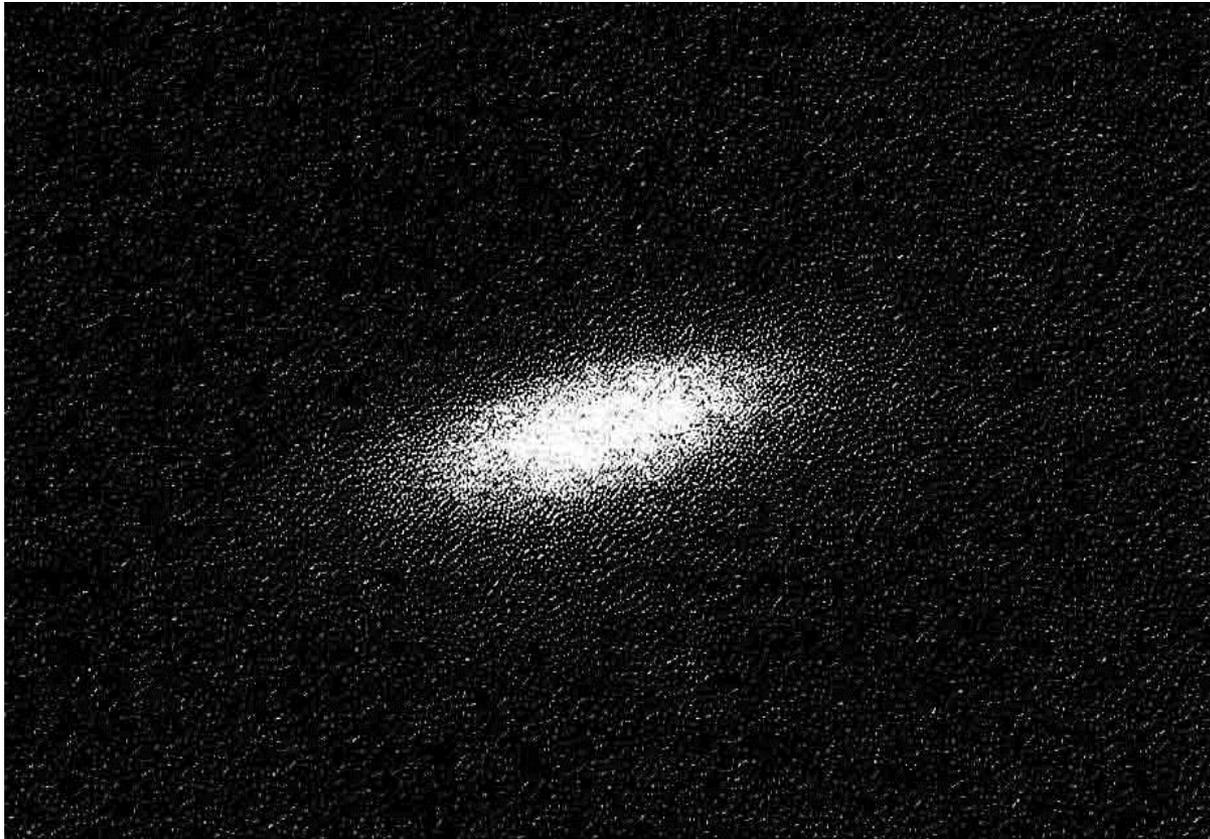
- 1.Simple imaging system for beam profile measurement.**
- 2.Profile and size measurement via spatial coherence**
- 3.SR interferometer**
- 4.Theoretical resolution and error transfer**
- 5.Measurement of vertical small beam size**

- 6. Measurement of horizontal beam size  
The incoherent depth of field effect (IDOF)**
- 7. Application of interferometry for the beam  
size measurement in the LHC**

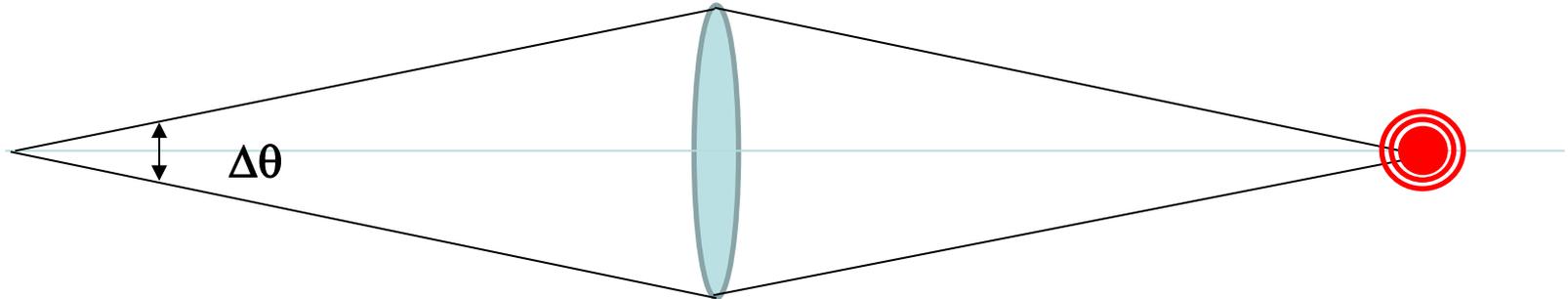
# 1. Simple imaging system for beam profile measurement.



# Example of image of the beam



# Uncertainty principal in imaging.



$$\Delta\theta/\lambda \cdot \Delta x \geq 1$$

**So, large opening of light will necessary to obtain a good spatial resolution.**

**For example,  $\lambda=400\text{nm}$  and opening of  $7\text{mrad}$ ,  $\Delta x \approx 57\mu\text{m}$  for 1:1 image.**

# Deconvolution with MEM method by using the Wiener inverse filter

Fourier transform of blurred image  $G(u,v)$  in spatial frequency domain  $(u,v)$  is given by,

$$G(u, v) = H(u, v)F(u, v) + N(u, v)$$

where  $H(u,v)$  is thought as a inverse filter (Fourier transform of PSF),  $F(u,v)$  is a Fourier transform of geometric image, and  $N(u,v)$  is a Fourier transform of noise in the image). The Wiener inverse filter  $H_w$  is given by,

$$H_w(u, v) = \frac{H^*(u, v)}{|H(u, v)|^2 + \frac{\phi_n(u, v)}{\phi_f(u, v)}}$$

where asterisk indicates the complex conjugate of  $H$ ,  $\phi_n$  is a power spectra of the noise, and  $\phi_f$  is a power spectra of the signal.

Original image

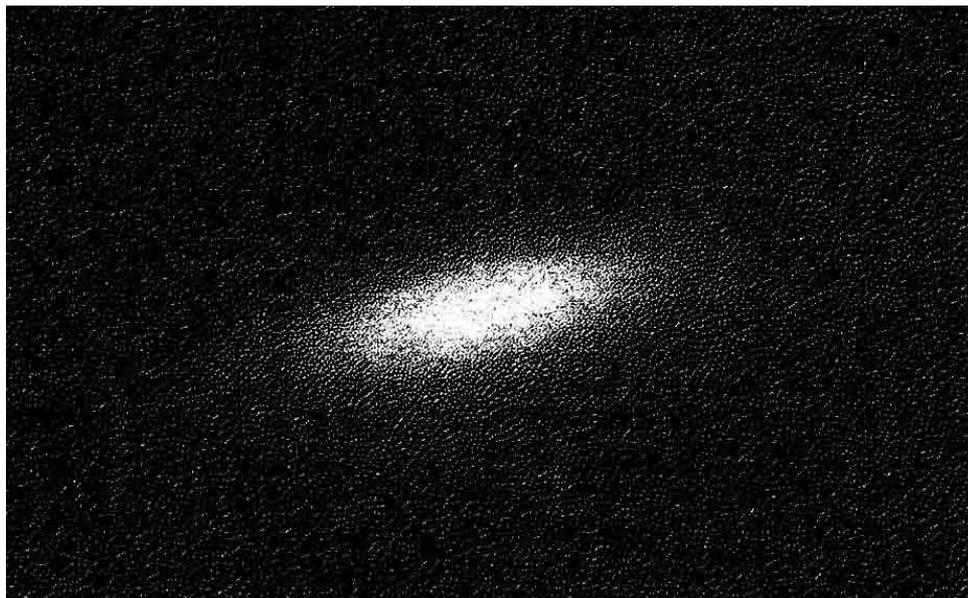
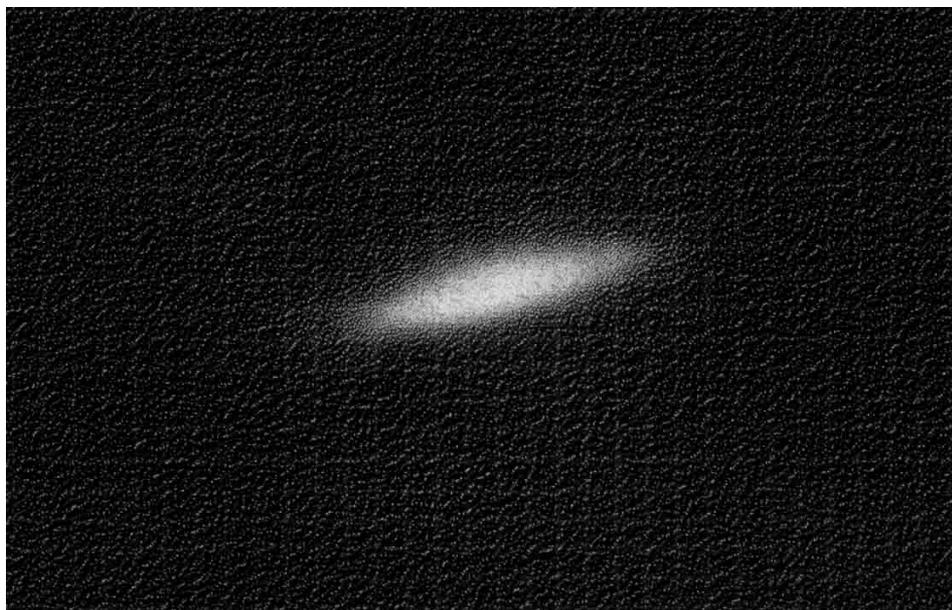


Image after  
deconvolution



## **2. Profile and size measurement via spatial coherence**

**To measure a size of object by means of spatial coherence of light (interferometry) was first proposed by H. Fizeau in 1868!**

**This method was realized by A.A. Michelson as the measurement of apparent diameter of star with his stellar interferometer in 1921.**

**This principle was now known as “ Van Cittert-Zernike theorem” because of their works;**

**1934 Van Cittert**

**1938 Zernike.**

# Spatial coherence and profile of the object

## Van Cittert-Zernike theorem

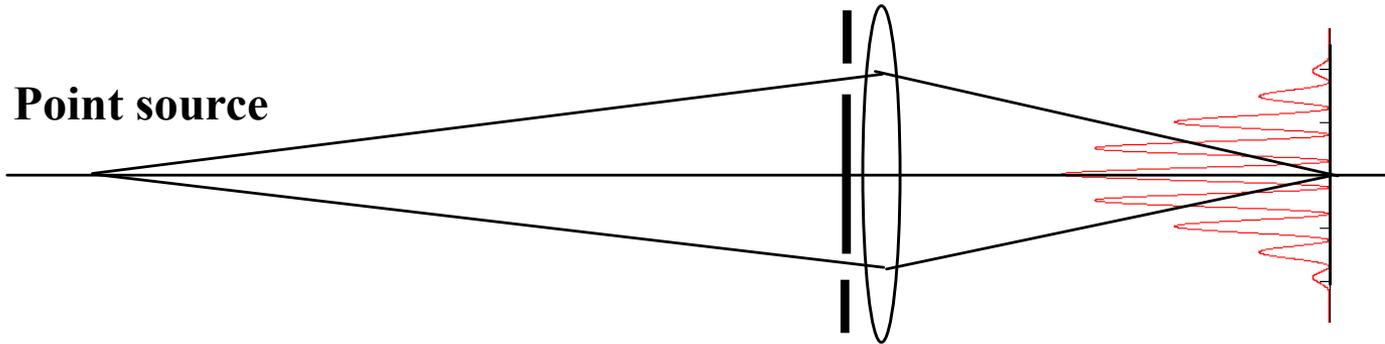
According to van Cittert-Zernike theorem, **with the condition of light is 1<sup>st</sup> order temporal incoherent (no phase correlation)**, the complex degree of spatial coherence  $\gamma(u_x, u_y)$  is given by **the Fourier Transform** of the spatial profile  $f(x, y)$  of the object (beam) at longer wavelengths such as visible light.

$$\gamma(u_x, u_y) = \iint f(x, y) \exp \left\{ -i \cdot 2 \cdot \pi (u_x \cdot x + u_y \cdot y) \right\} dx dy$$

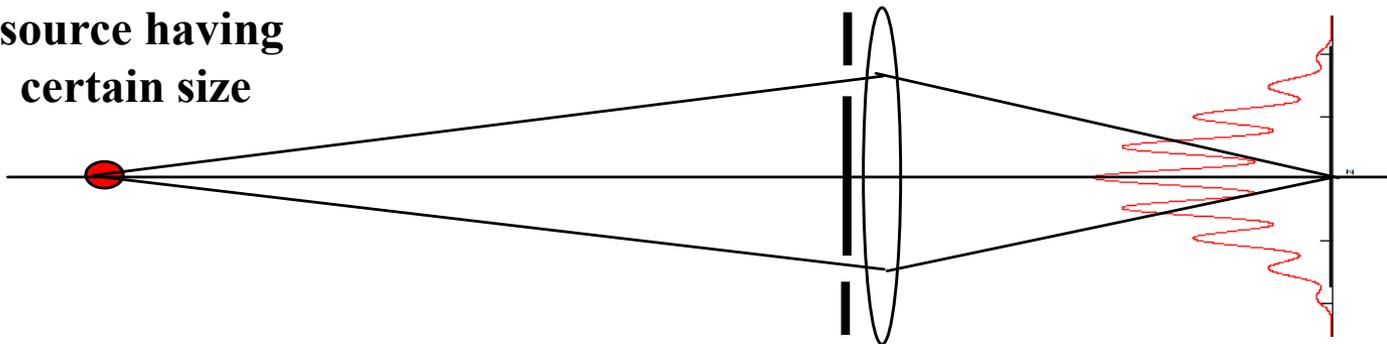
where  $u_x, u_y$  are spatial frequencies given by;

$$u_x = \frac{D_x}{\lambda \cdot R_0}, \quad u_y = \frac{D_y}{\lambda \cdot R_0}$$

# Simple understanding of van Cittert-Zernike theorem

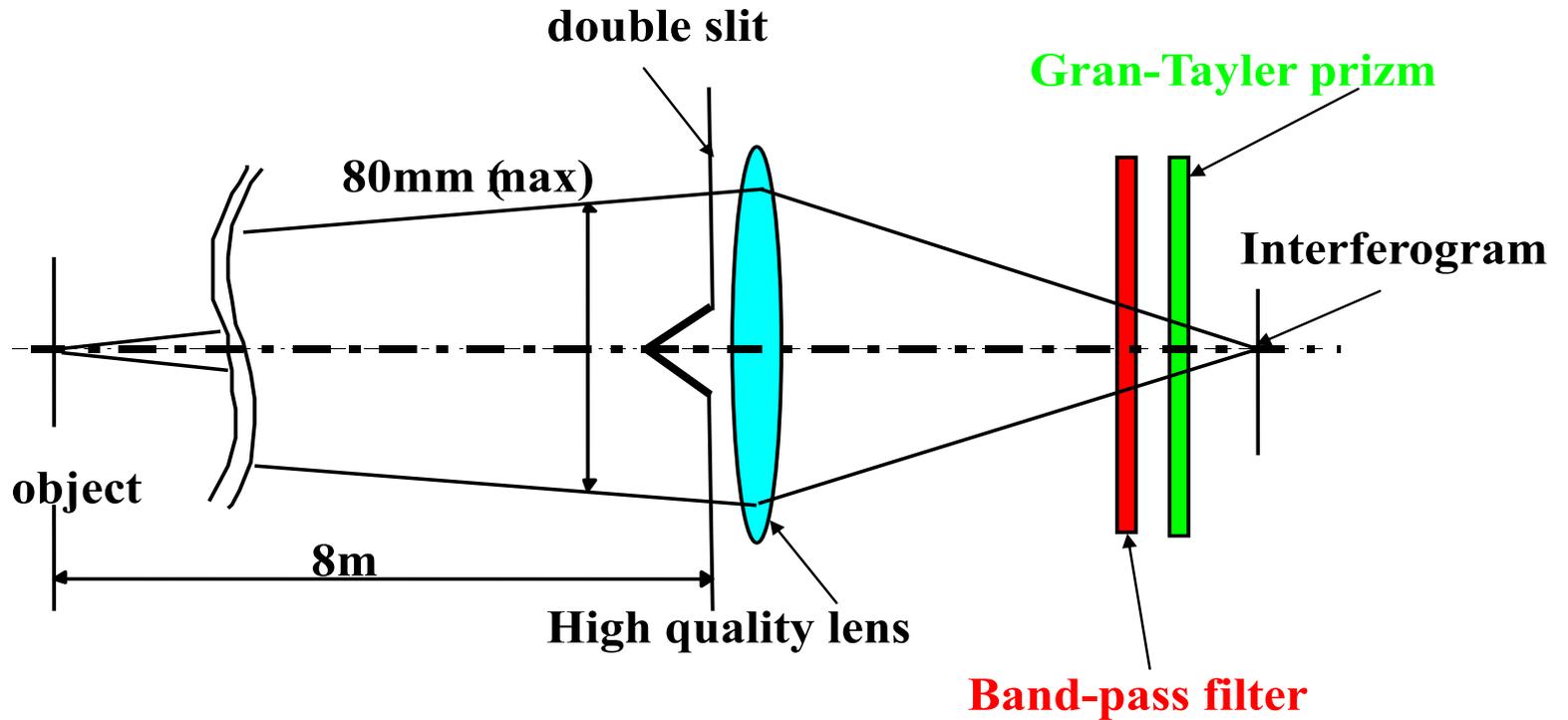


**source having  
certain size**



### **3. SR interferometer**

a wavefront-division type interferometer  
using polarized, quasi-monochromatic rays

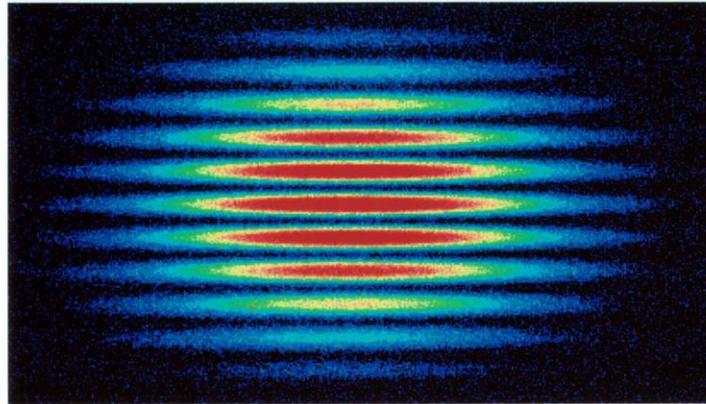


$$I(y, D) = \int (I_1 + I_2) \cdot \left\{ \text{sinc} \left( \frac{\pi \cdot a \cdot y \cdot \chi(D)}{\lambda \cdot f} \right) \right\}^2 \cdot \left\{ 1 + \gamma \cdot \cos \left( k \cdot D \cdot \left( \frac{y}{f} + \psi \right) \right) \right\} d\lambda$$

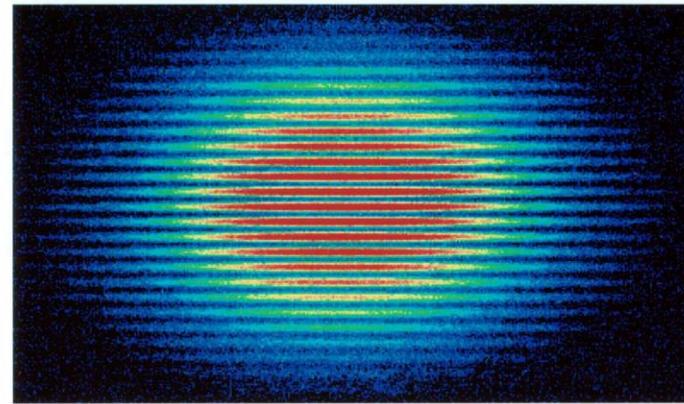
$$\gamma = \left( \frac{2 \cdot \sqrt{I_1 \cdot I_2}}{I_1 + I_2} \right) \cdot \left( \frac{I_{\max} - I_{\min}}{I_{\max} + I_{\min}} \right)$$

# Typical interferogram in vertical direction

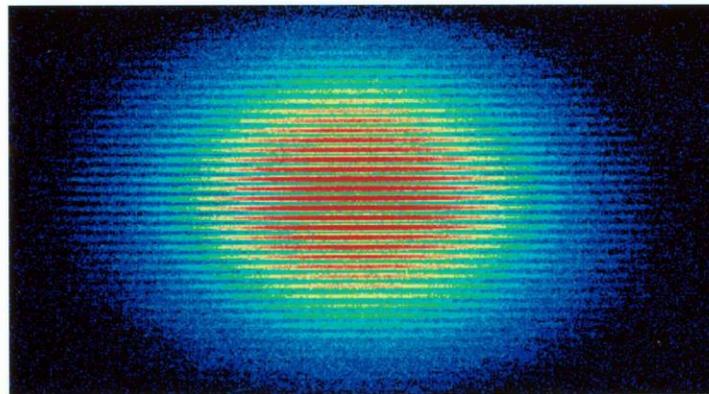
$\lambda = 550\text{nm}$



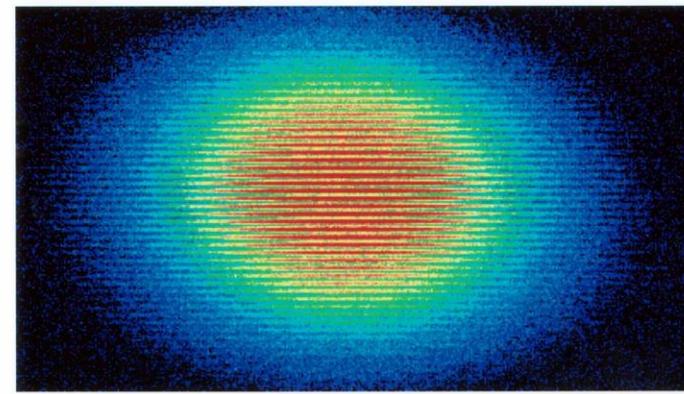
$D=6.7\text{mm}$  (1.79mrad)



$D=14.7\text{mm}$  (3.92mrad)

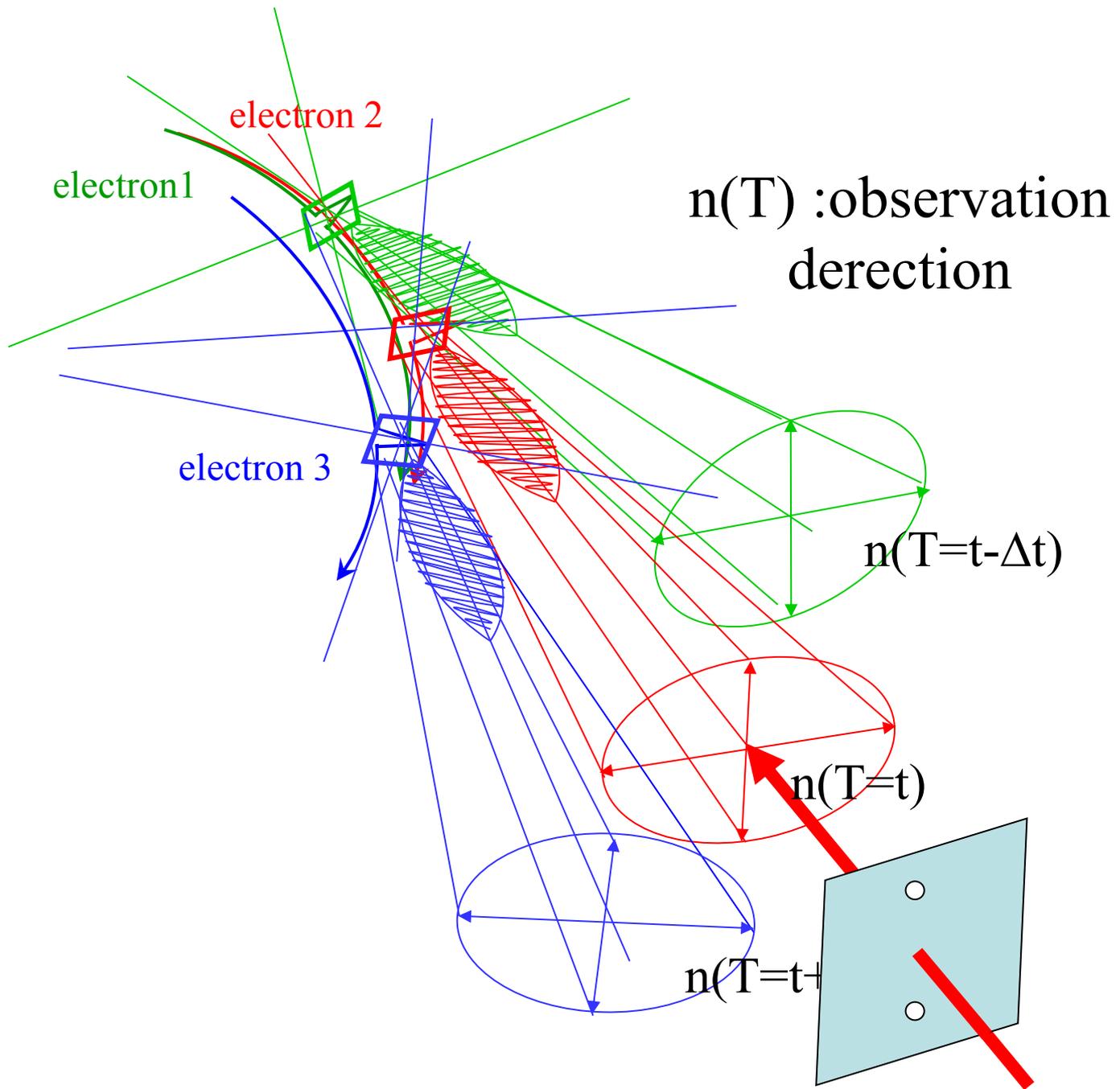


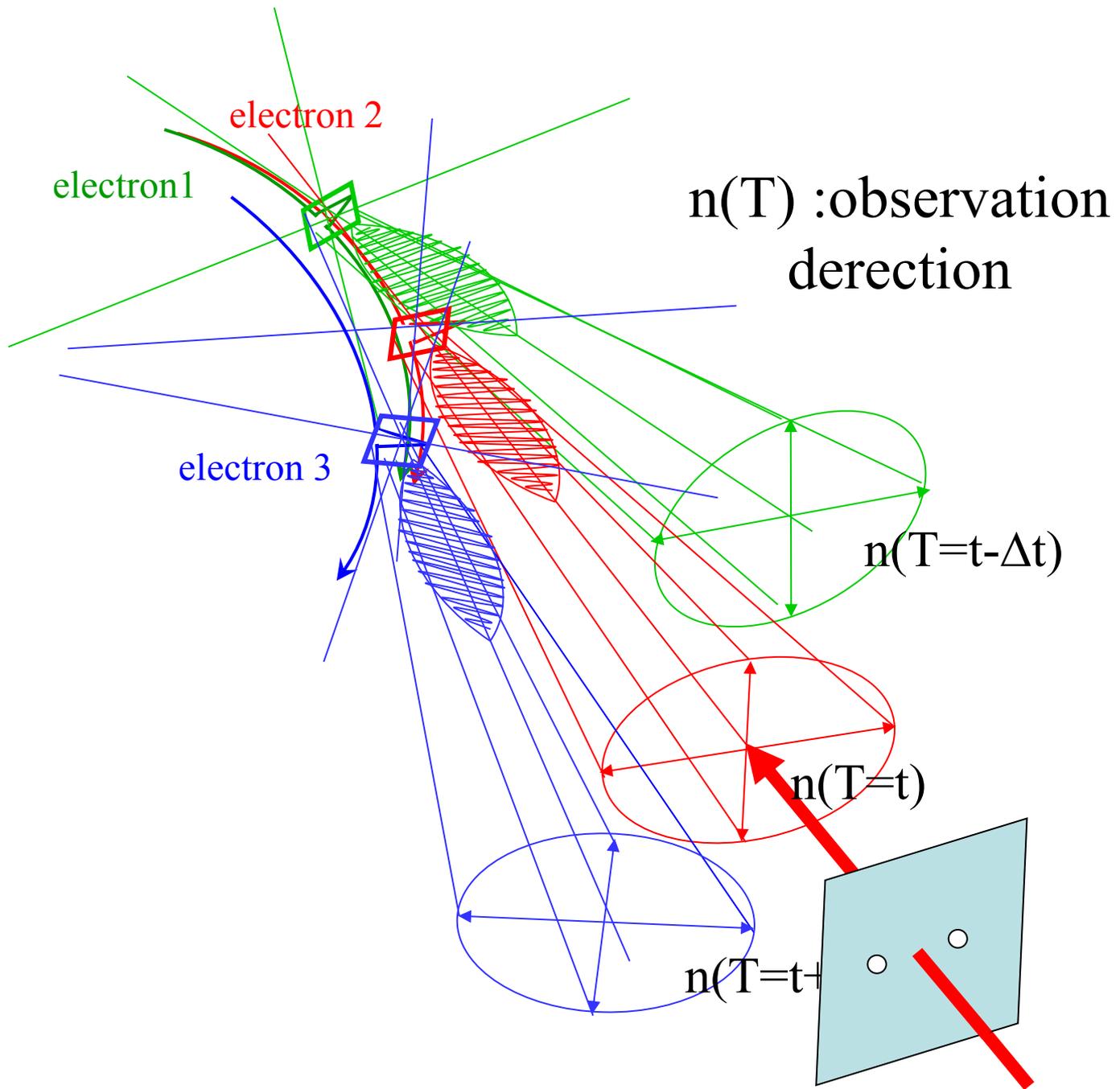
$D=22.7\text{mm}$  (6.05mrad)



$D=28.7\text{mm}$  (7.65mrad)

Effect of incoherent depth of field of  
(IDOF) from independent electrons

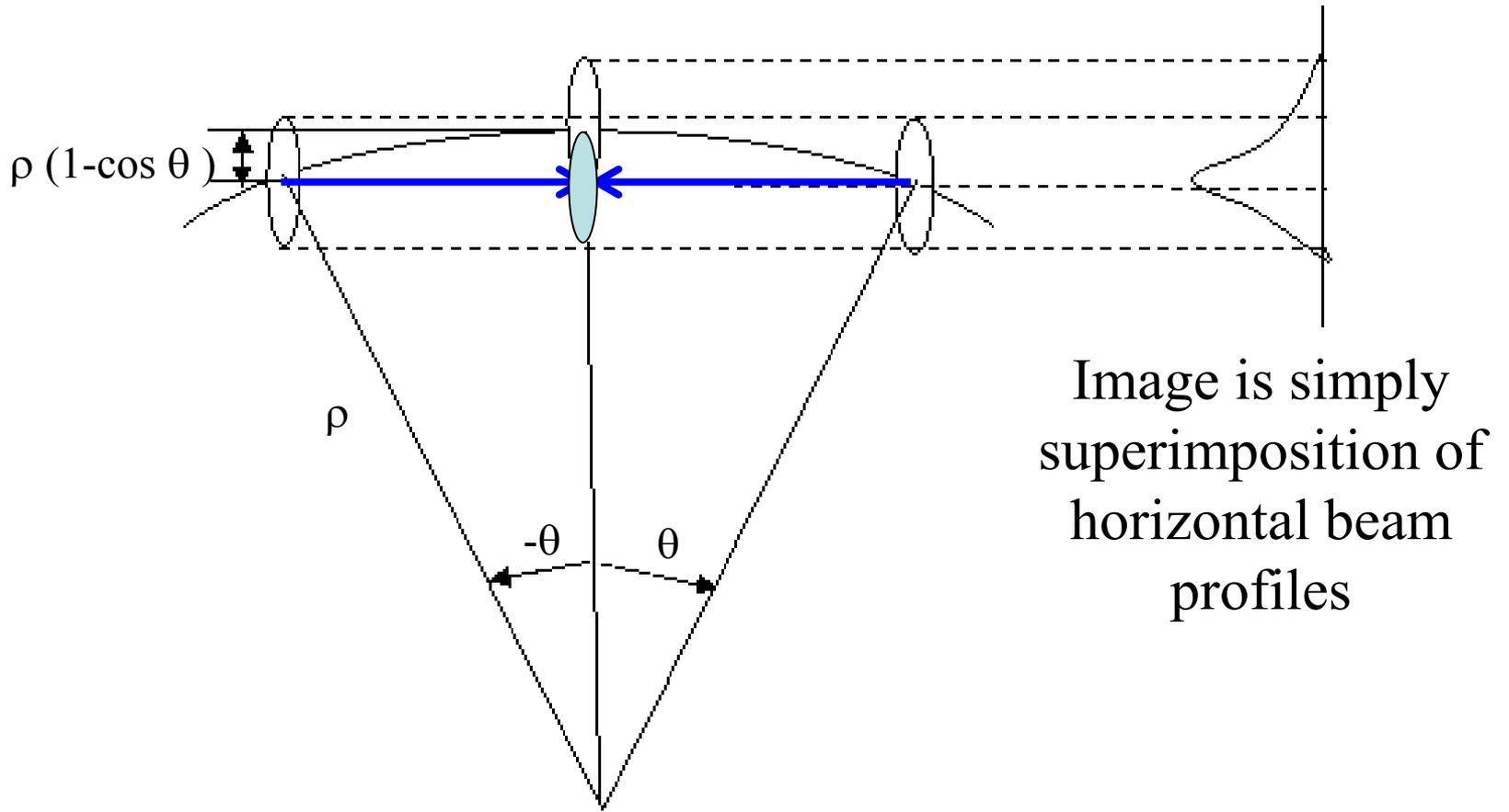




**IDOF has no effect for vertical beam size measurement when the beam size is not strongly change along the orbit.**

**But in the horizontal direction, apparent beam profile is changed with IDOF, we need include this effect to horizontal beam size measurement.**

# When the incoherent depth of field $<$ Airy disk length of the diffraction



For example  $\rho \approx 6m$ ,  $\theta \approx 2.4mrad$ ,  $\rho(1 - \cos \theta) \approx 17.3 \mu m$

The apparent beam profile is given by ,

$$\int \frac{\exp\left[\frac{-\left[x - \rho \{1 - \cos(\theta)\}\right]^2}{2\sigma^2}\right]}{\sigma \cdot \sqrt{2\pi}} d\theta$$

Where  $\sigma$  denotes beam size and  $x$  term means displacement of center of Gaussian distribution represented by  $\rho$  and  $\theta$ .  $\theta$  is rotation angle of optical axis of pencil of light.

Then the real part of spatial coherence is given by Fourier cosine transform of this apparent distribution is given by ,

$$\gamma_h(D) = \iint \underbrace{\frac{2\sqrt{I\left(\theta + \frac{D}{2R}\right)I\left(\theta - \frac{D}{2R}\right)}}{I\left(\theta + \frac{D}{2R}\right) + I\left(\theta - \frac{D}{2R}\right)} \cdot I(\theta) \cdot \frac{\exp\left[\frac{-\left[x - \rho\{1 - \cos(\theta)\}\right]^2}{2\sigma^2}\right]}{\sigma \cdot \sqrt{2\pi}}}_{\text{Intensity Imbalance factor}} \cdot \underbrace{\cos\left(\frac{2\pi \cdot D \cdot x}{R \cdot \lambda}\right)}_{\text{Fourier cosine transform}} d\theta dx$$

Intensity Imbalance factor

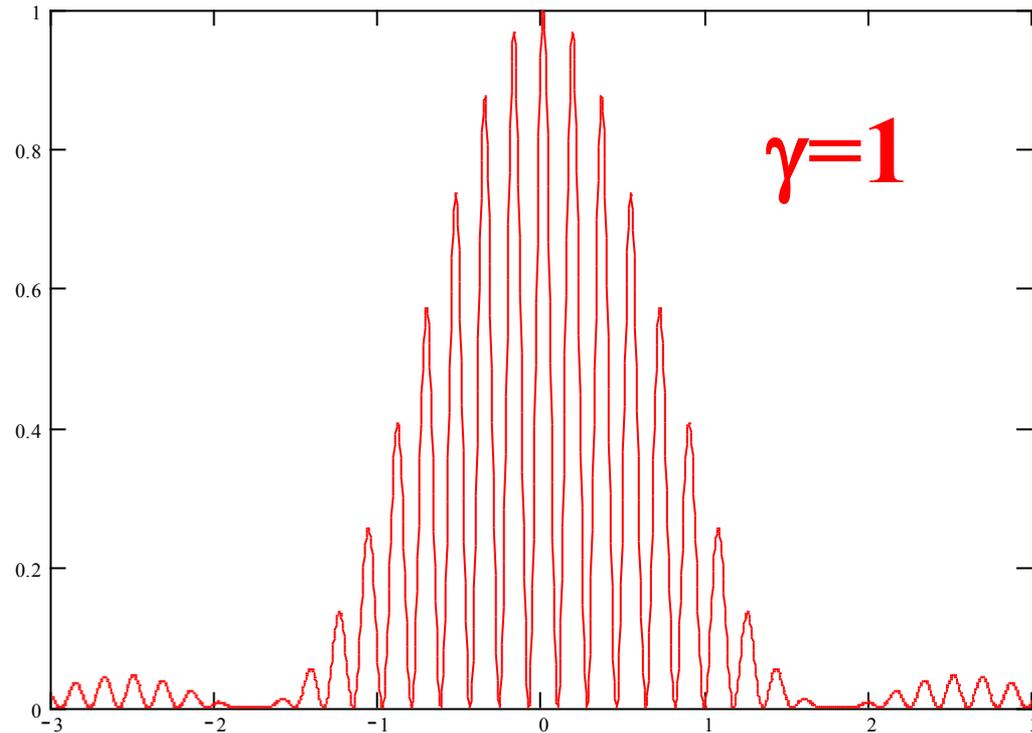
Fourier cosine transform

# **4. Theoretical resolution and error transfer**

**If the phase of light has no uncertainty ( $\Delta\phi=0$ ), the interference fringe by point source is given by;**

$$I(y, D) = (I_1 + I_2) \left\{ \sin c \left( \frac{\pi a y}{\lambda f} \right) \right\}^2 \left\{ 1 + \cos \left( k D \frac{y}{f} + \phi \right) \right\}$$

# Interference fringe with no phase fluctuation



**No theoretical uncertainty  $\rightarrow$  infinite resolution!**

**According to quantum optics,**

**In the large number limit, uncertainty principle concerning to phase is given by**

$$\Delta\phi \cdot \Delta N \geq 1/2$$

**where  $\Delta N$  is uncertainty of photon number.**

**Using the wavy aspect of photon in small number of photons, Forcibly ;**

**From uncertainty principal**

$$\Delta\phi \cdot \Delta N \geq 1/2,$$

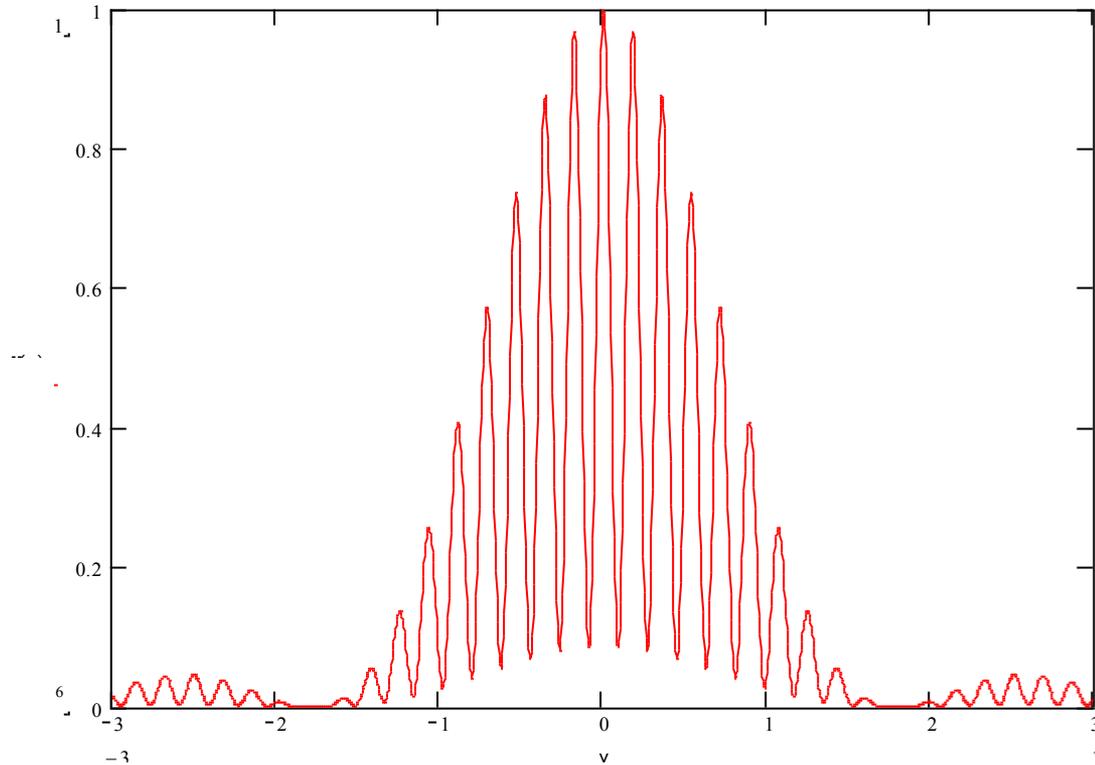
**then,**

$$\Delta\phi \geq 1/(2 \cdot \Delta N).$$

**Even in the case of coherent mode, interference fringe will be smeared by the uncertainty of phase.**

$$I(y, D) = (I_1 + I_2) \left\{ \text{sinc} \left( \frac{\pi a y}{\lambda f} \right) \right\}^2 \left\{ 1 + \int_{\Delta\phi} \cos(kD \frac{y}{f} + \phi) \xi(\phi) d\phi \right\}$$

## Introducing some uncertainty ( $\pi/2$ )



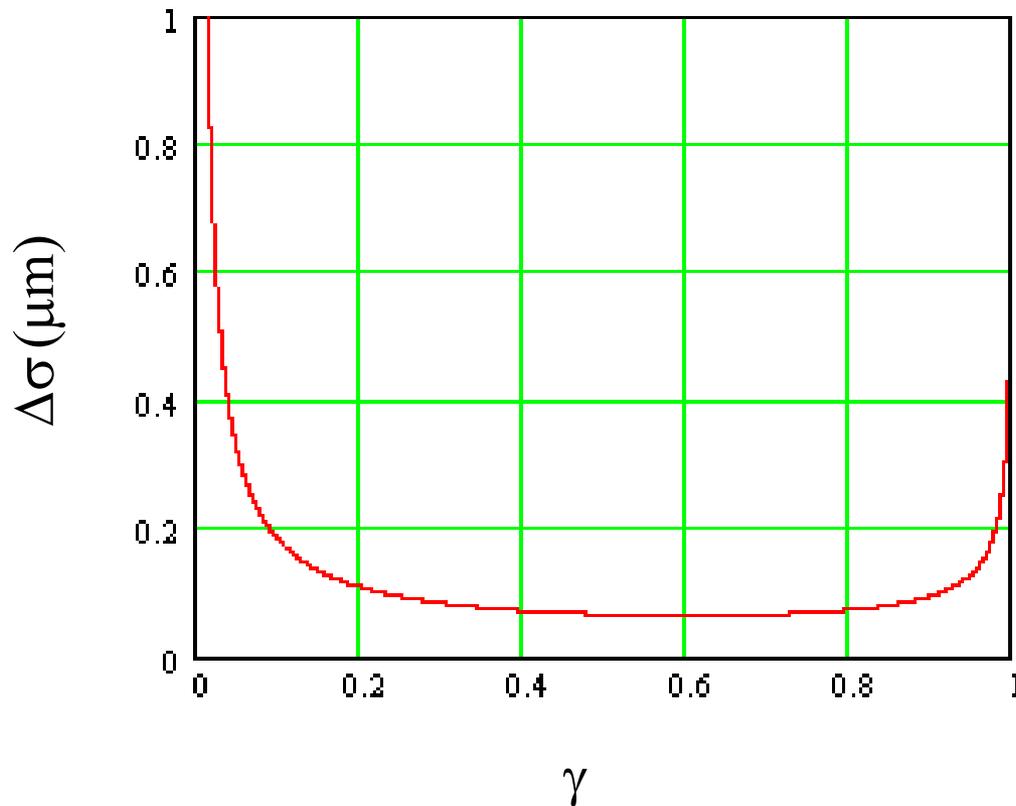
**The visibility of interference fringe will be reduced by uncertainty of phase under the small number of photons. But actually, under the small number of photons, photons are more particle like, and difficult to see wave-phenomena.**

Actually, we can have sufficient photons for an interferogram, and *theoretical limit due to the phase uncertainty is negligible small.*

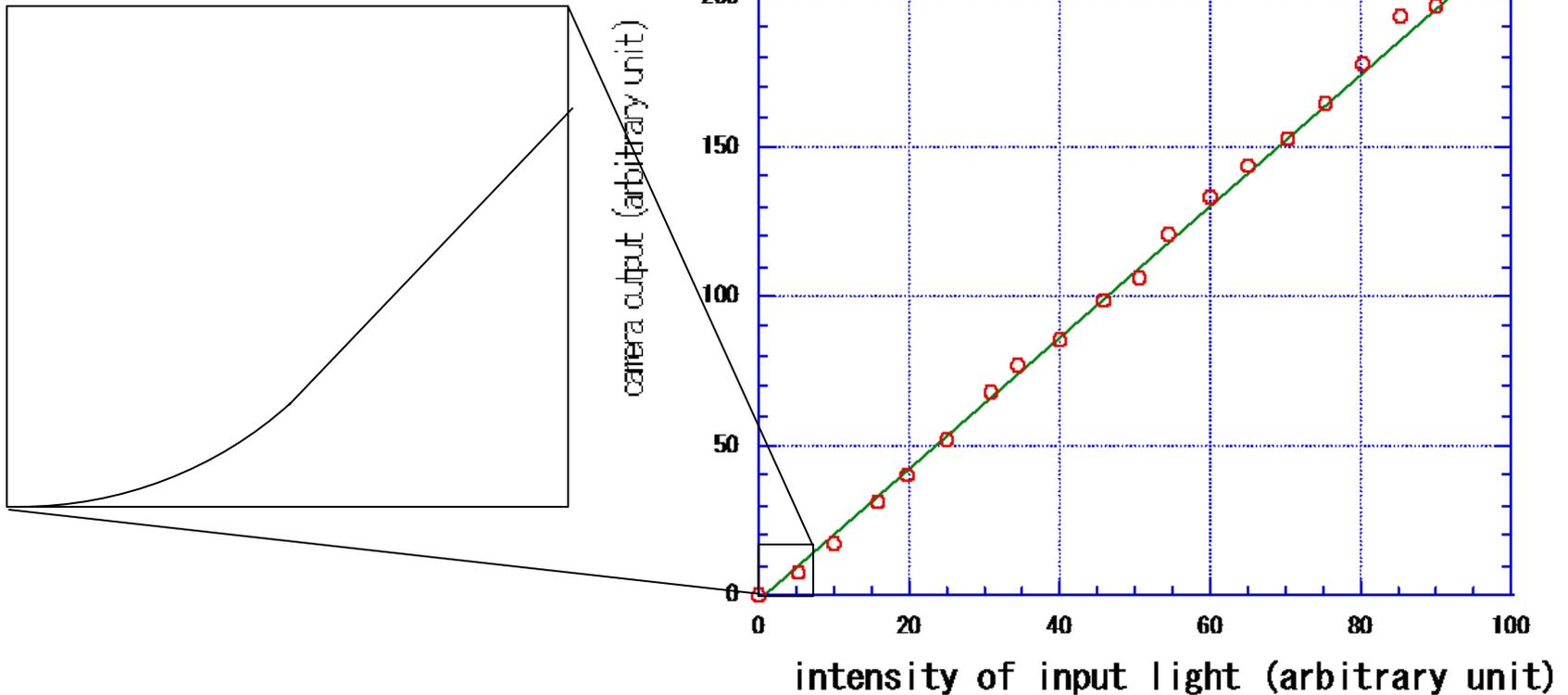
In actual optical component, with  $\lambda/10$ , this error corresponds to  $0.26\mu\text{m}$

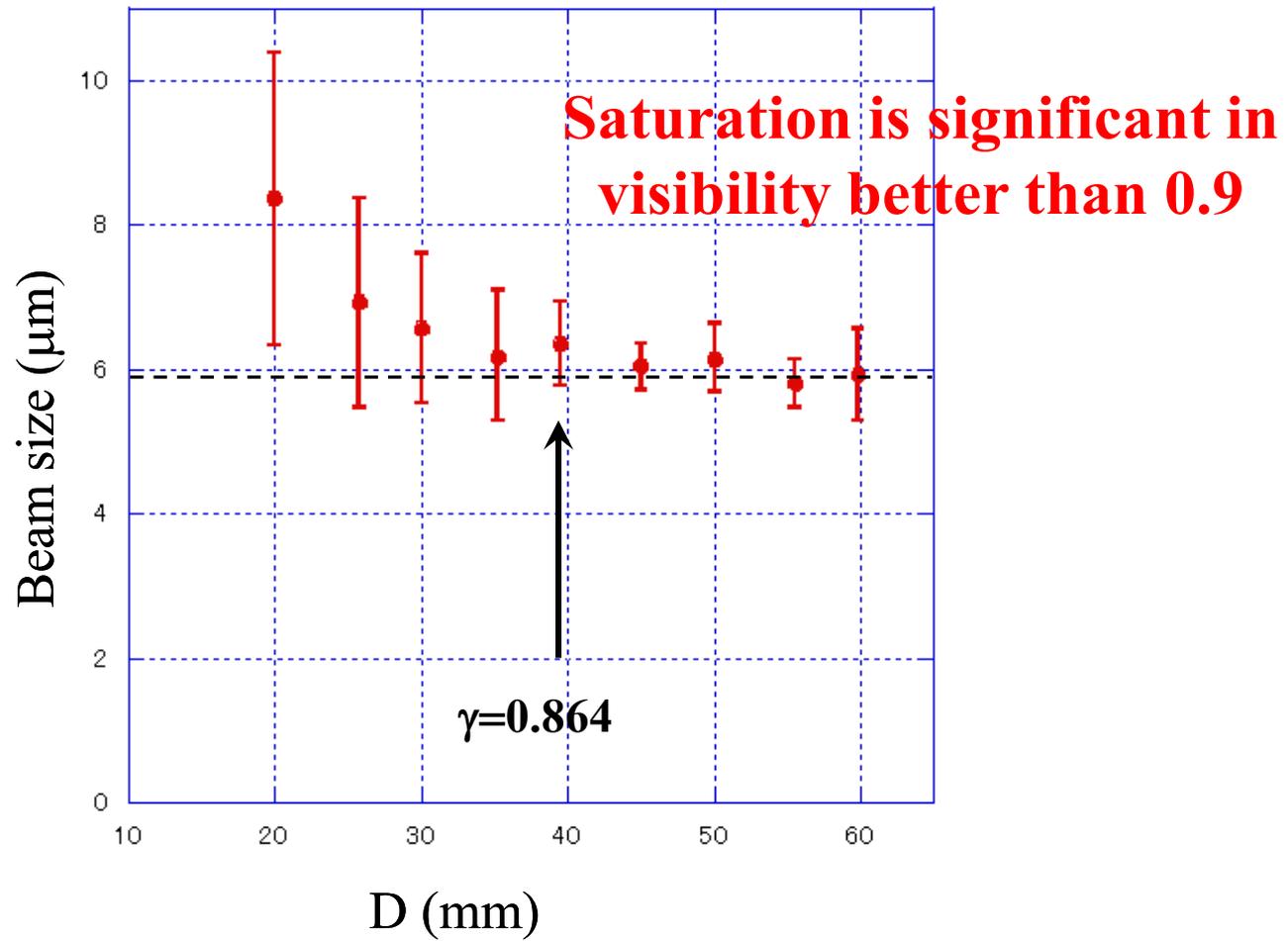
Statistical Error transfer from  $\Delta\gamma$  to  $\Delta\sigma$  under assuming  $\Delta\gamma=0.01$  as a function of  $\gamma$ .

$$\Delta\sigma = \frac{\lambda \cdot F}{\pi \cdot D} \cdot \frac{1}{\gamma \cdot \sqrt{8 \cdot \ln\left(\frac{1}{\gamma}\right)}} \cdot \Delta\gamma$$

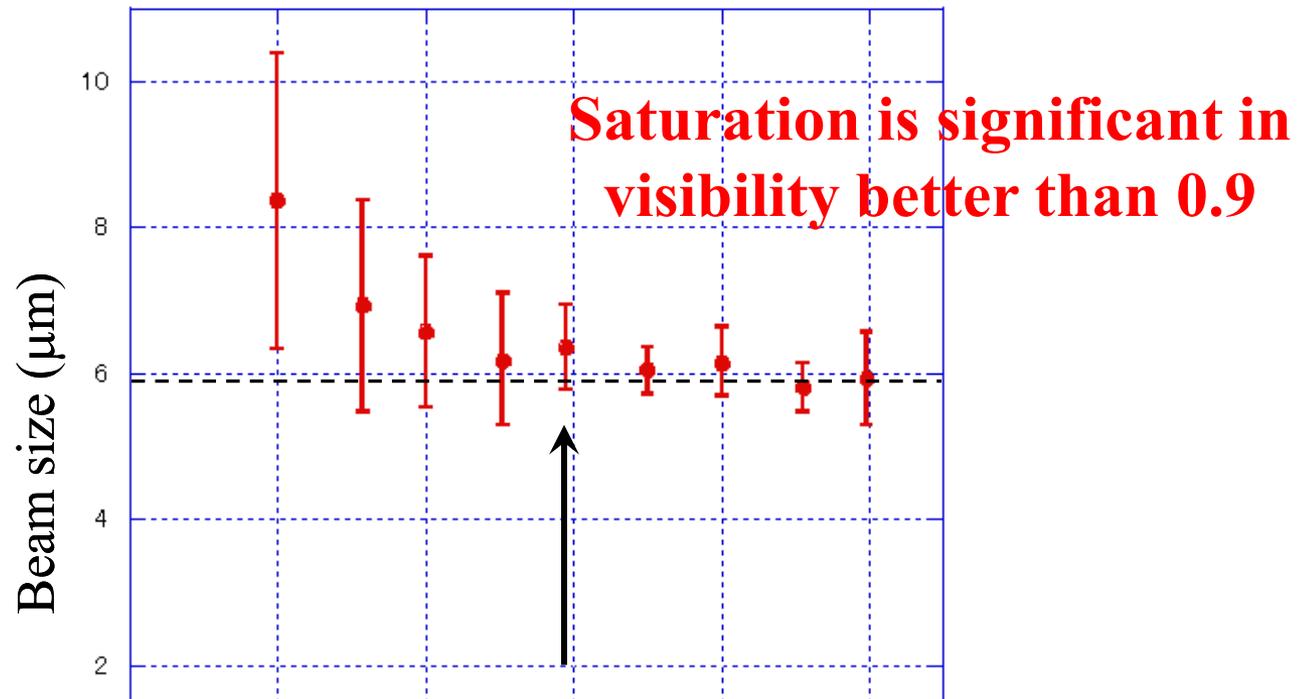


# Systematic error due to nonlinearity near the CCD baseline





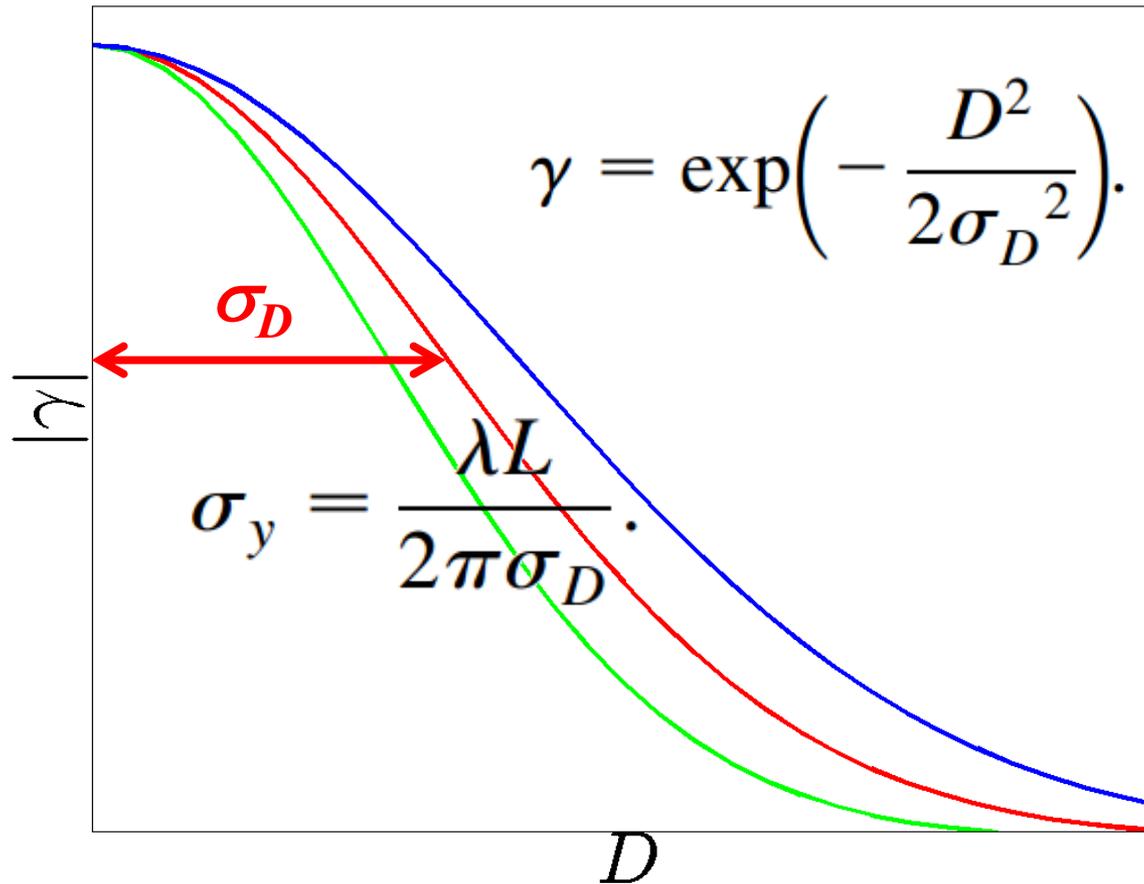
Convert visibility into beam size. We can see clear saturation in smaller double slit range which has visibility near 1.



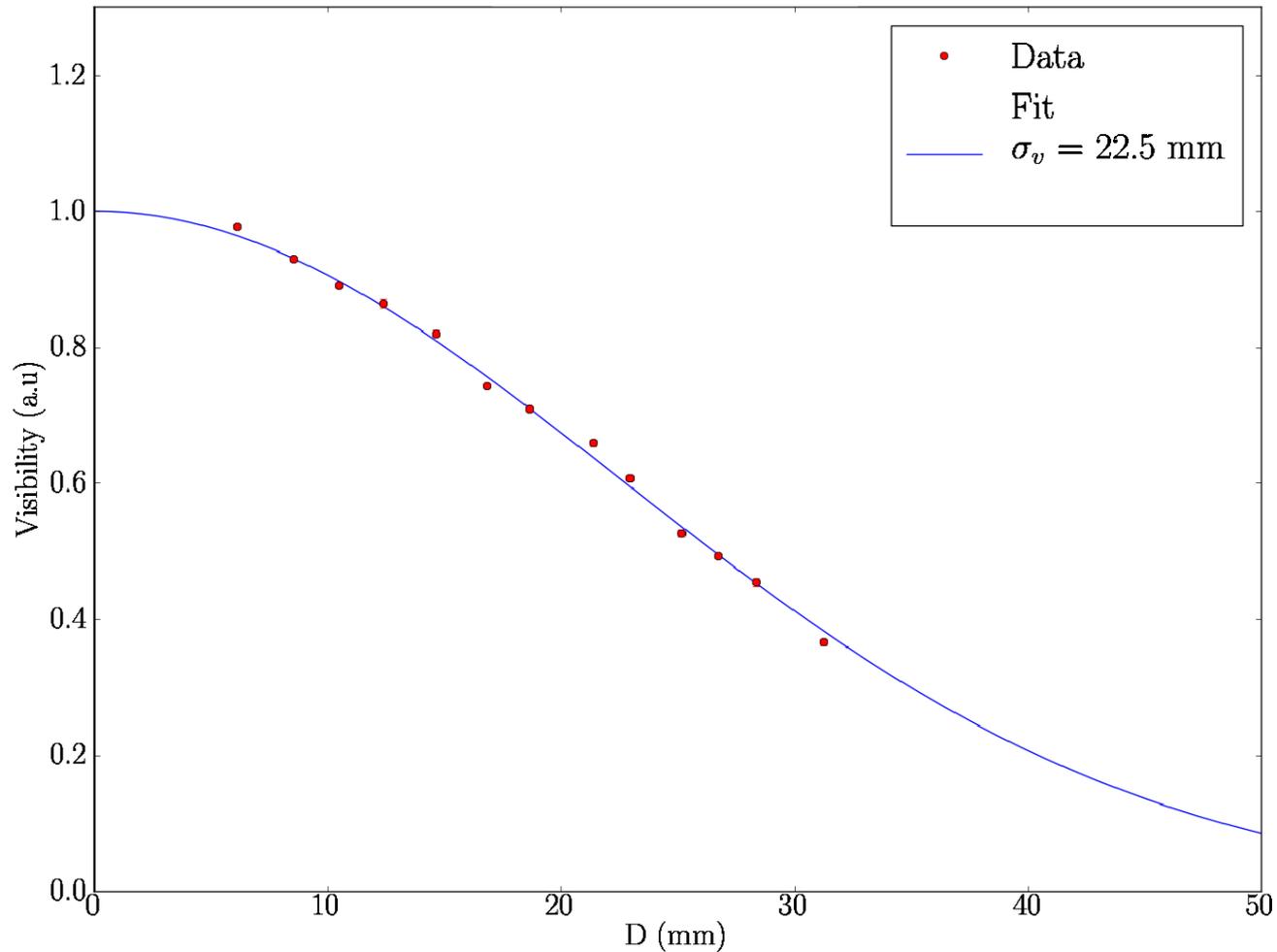
**The visibility 0.90 with  $D=60\text{mm}$ ,  $\lambda=400\text{nm}$  for the ATF interferometer corresponds to a beam size of  $3.5\mu\text{m}$ . This size seems smallest measurable size with a normal setup of the interferometer.**

## **5. Review of vertical small beam size measurements**

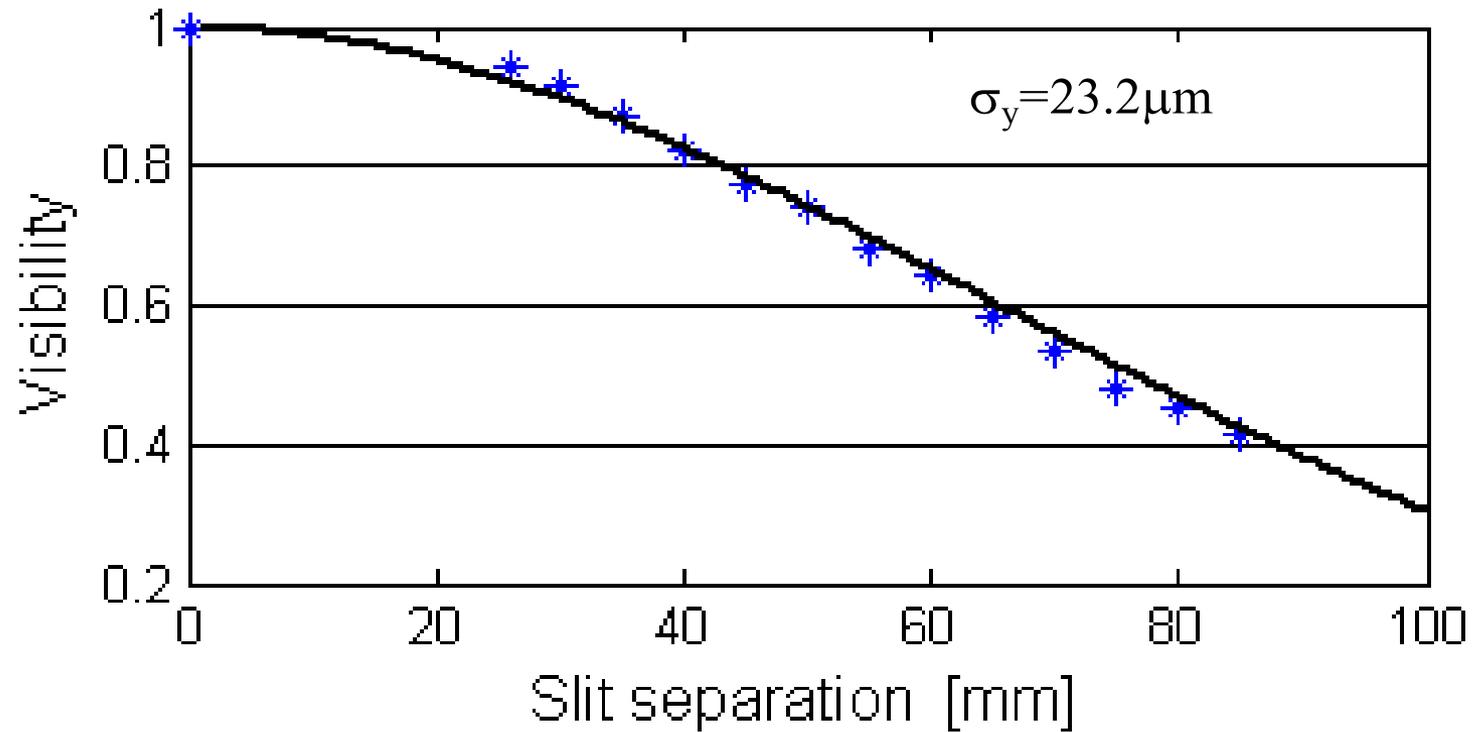
# Gaussian profile approximation



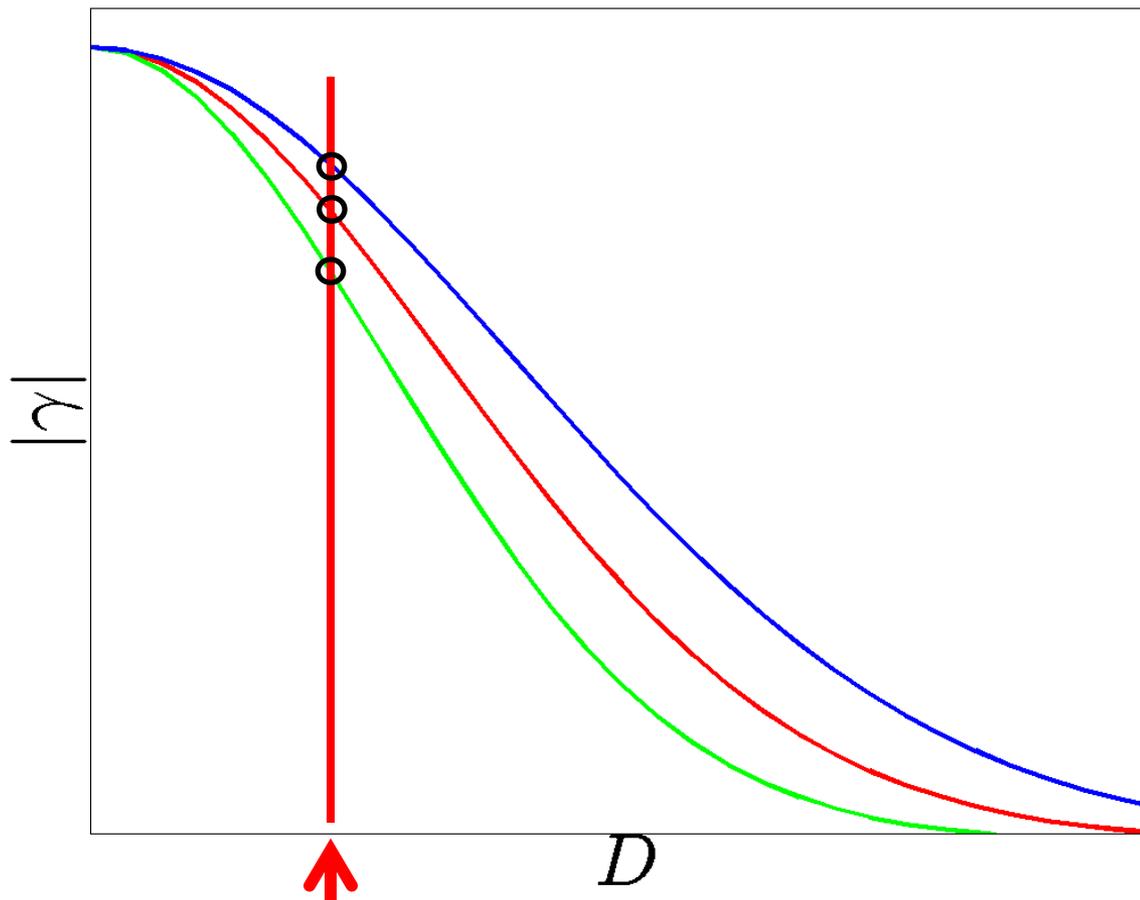
# Visibility as a function of double slit separation at ALBA. Solid blue line is the Gaussian fitted result.



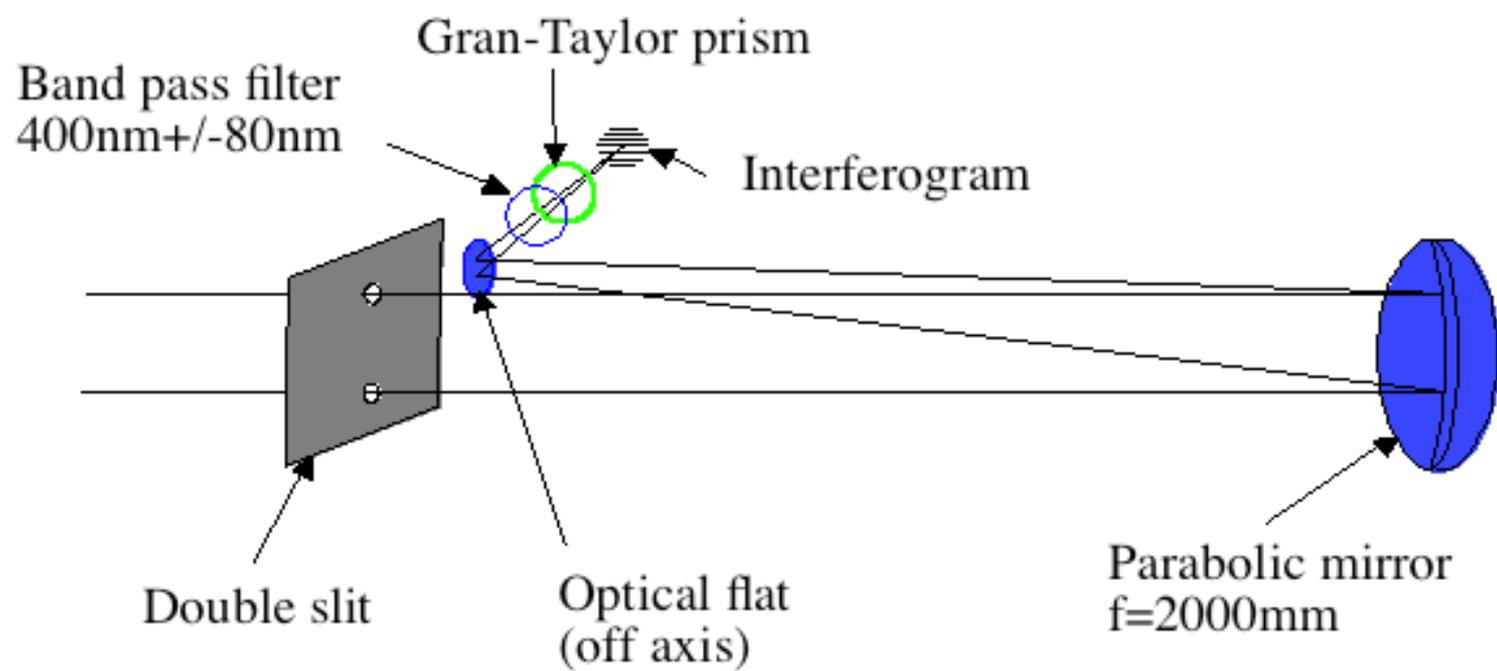
**Visibility as a function of double slit separation at SPEAR3. The dotted line is measurement result, and the solid line is a Gaussian fit.**



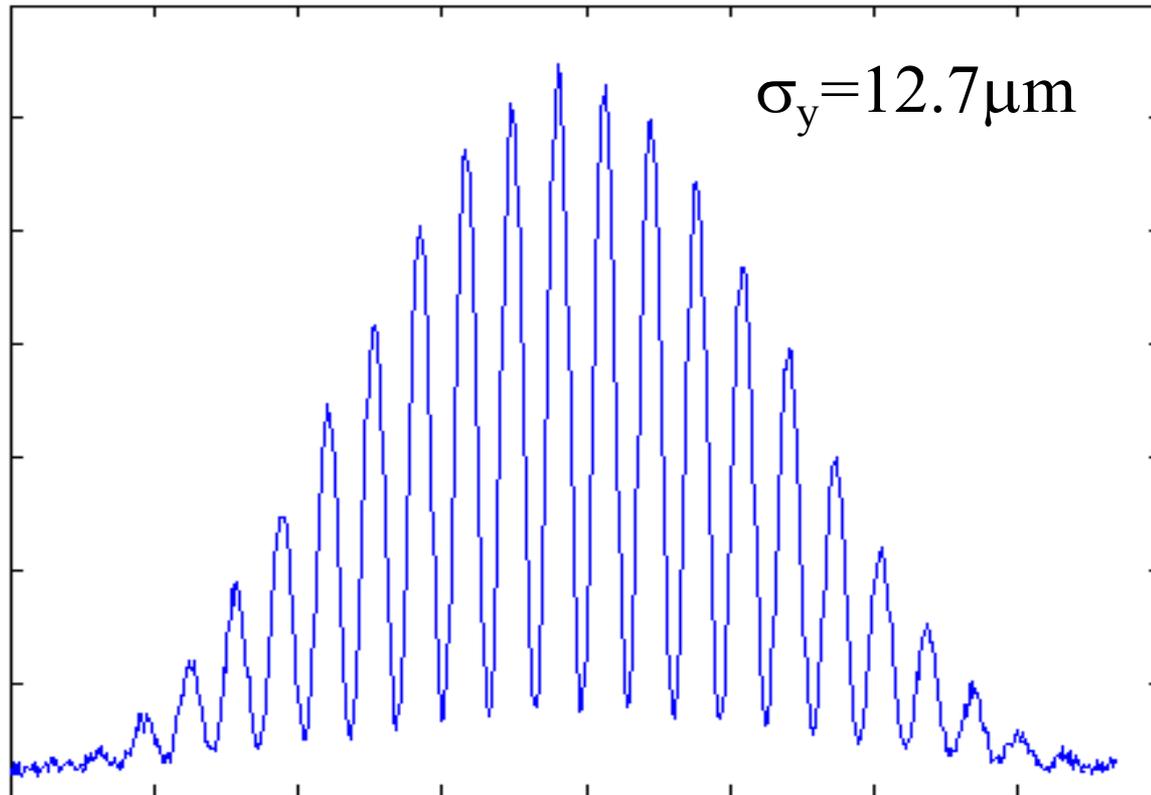
choose a fixed  $D$  and determine the beam size from the measurement of the visibility



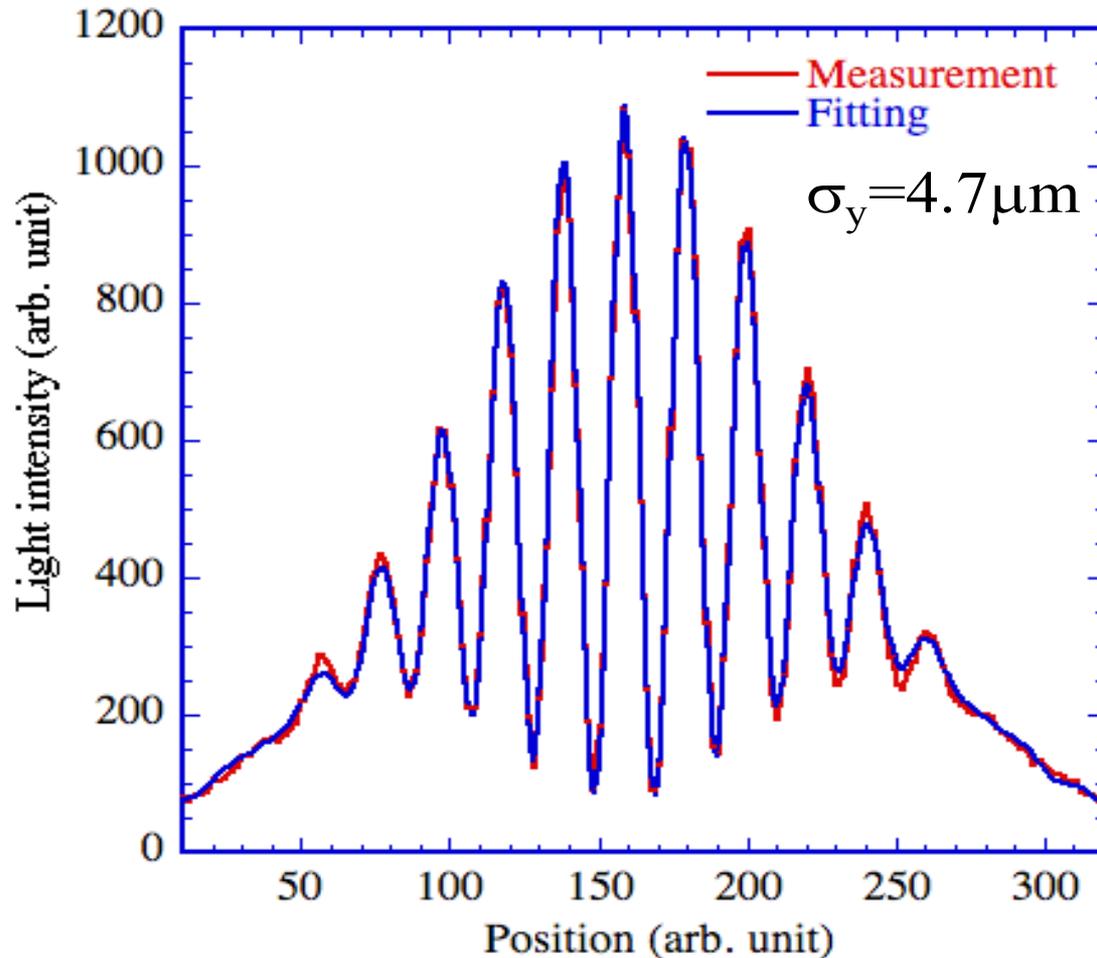
$$\sigma_y = \frac{\pi D}{\lambda R} \sqrt{\frac{1}{2} \ln \left( \frac{1}{|\gamma|} \right)}.$$



Interferogram measured at  $D=50\text{mm}$  and  $\lambda=550\text{nm}$  for low coupling mode at SPEAR3.



**Interferogram at the KEK ATF. Red line is measurement, and blue line is fitting.  $D=60\text{mm}$  and  $\lambda=400\text{nm}$ .**



## **7. Review for recent measurement of horizontal beam sizes**

**The incoherent depth of field effect (IDOF)**

**The visibility measurement as a function of slit separation is necessary for the observation of IDOF effect.**

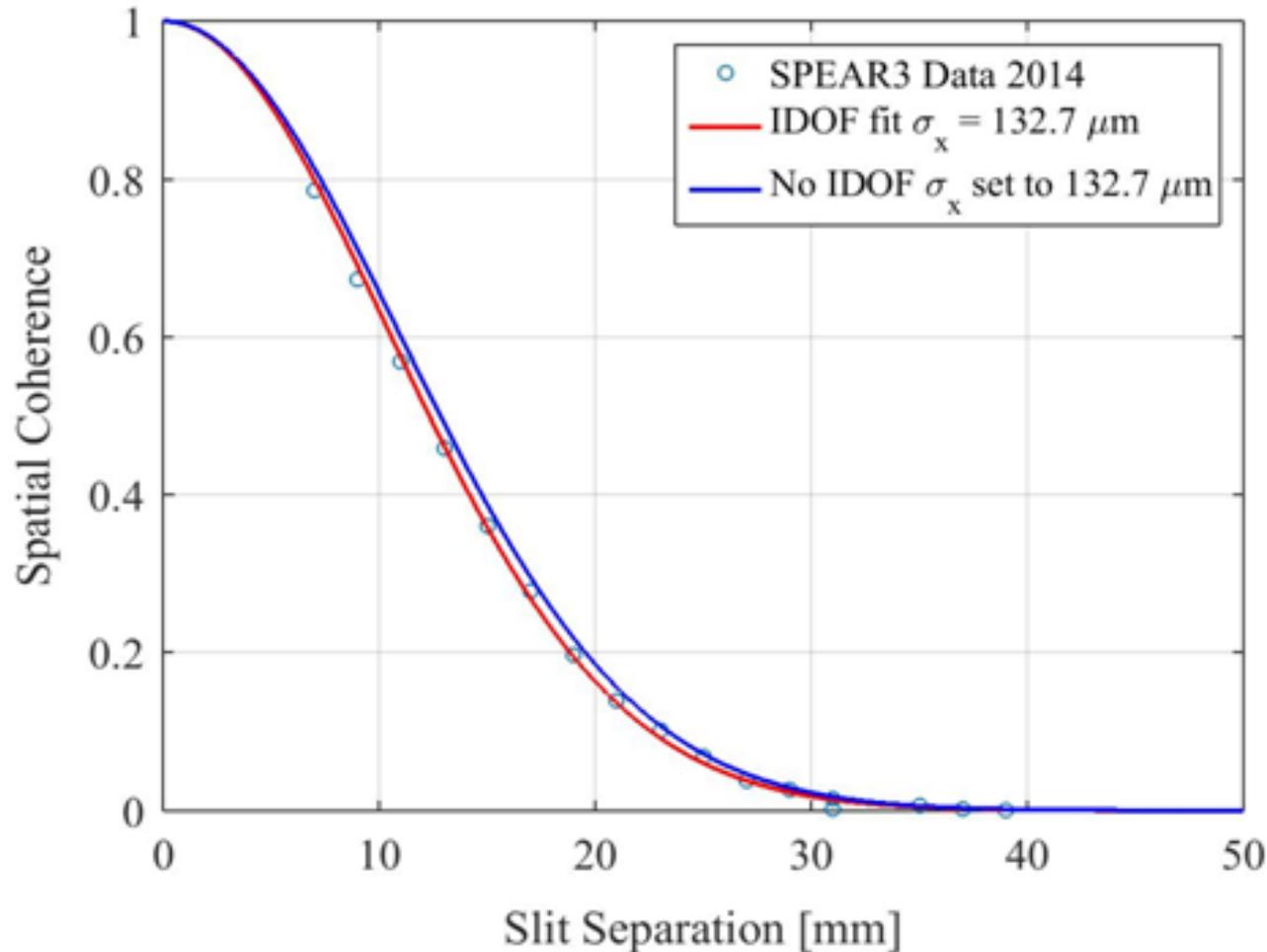
Then the real part of spatial coherence is given by Fourier cosine transform of this apparent distribution is given by ,

$$\gamma_h(D) = \iint \underbrace{\frac{2\sqrt{I\left(\theta + \frac{D}{2R}\right)I\left(\theta - \frac{D}{2R}\right)}}{I\left(\theta + \frac{D}{2R}\right) + I\left(\theta - \frac{D}{2R}\right)} \cdot I(\theta) \cdot \frac{\exp\left[\frac{-\left[x - \rho\{1 - \cos(\theta)\}\right]^2}{2\sigma^2}\right]}{\sigma \cdot \sqrt{2\pi}}}_{\text{Intensity Imbalance factor}} \cdot \underbrace{\cos\left(\frac{2\pi \cdot D \cdot x}{R \cdot \lambda}\right)}_{\text{Fourier cosine transform}} d\theta dx$$

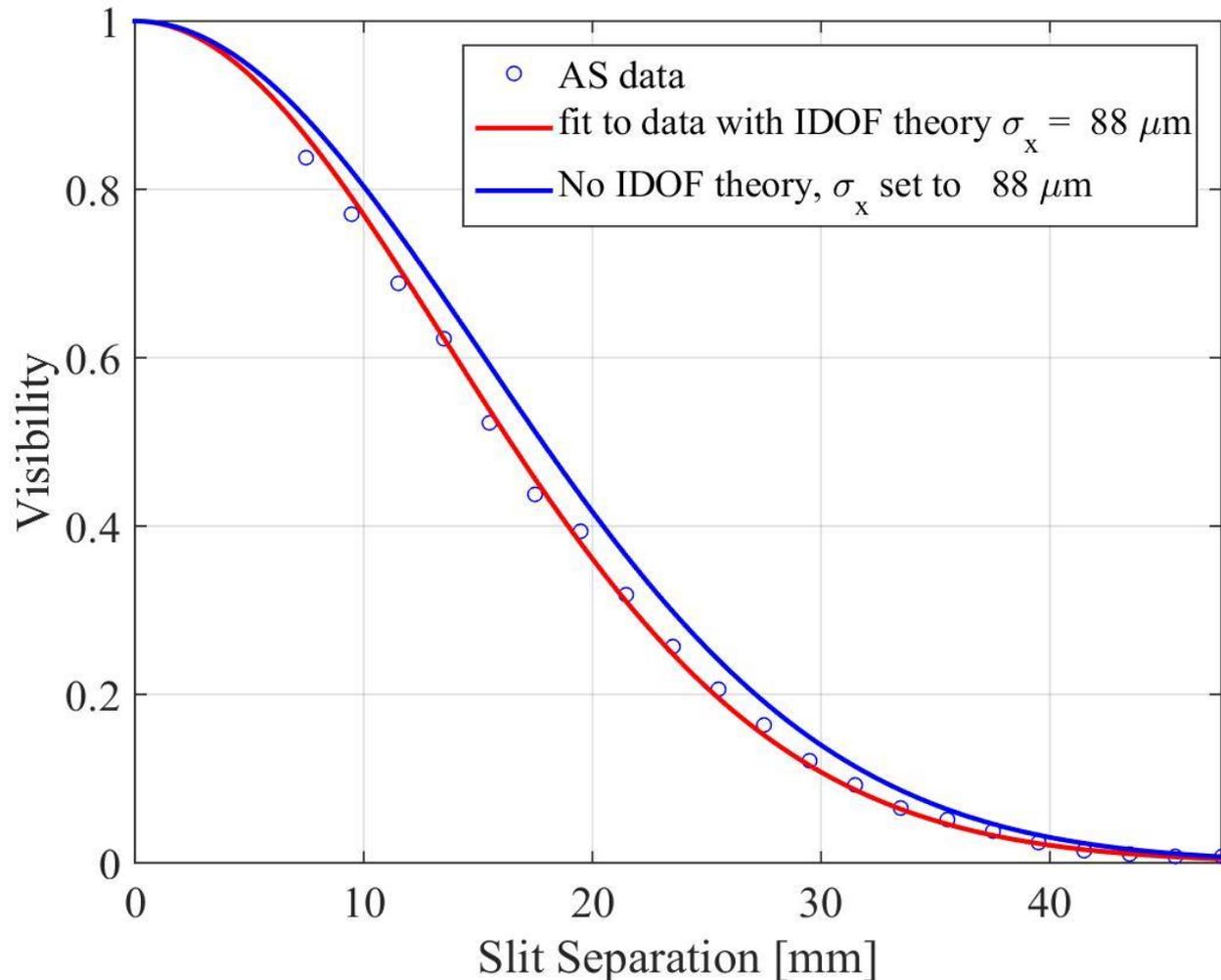
Intensity Imbalance factor

Fourier cosine transform

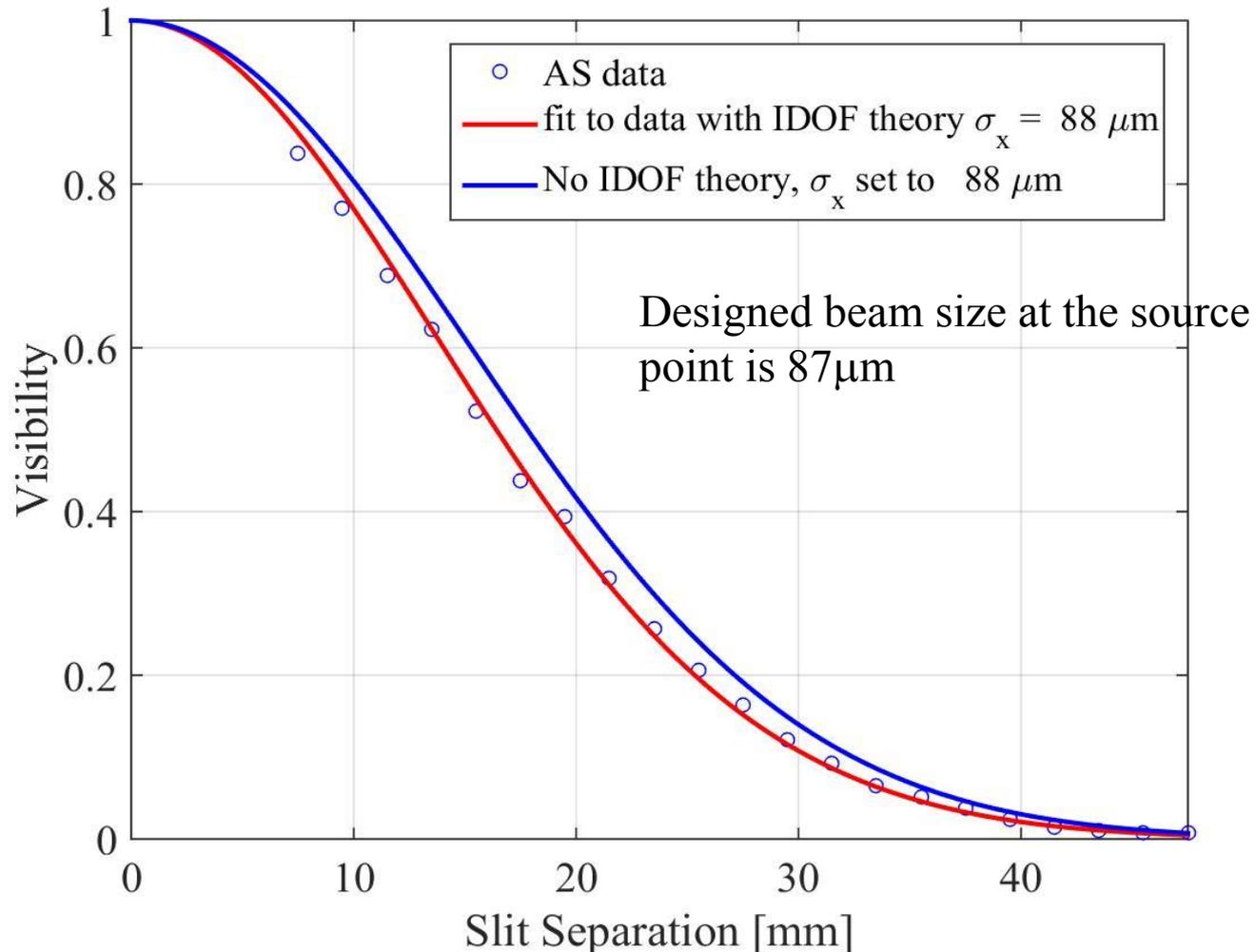
Horizontal beam visibility measurements at SPEAR3. Dots are measurements, red line is fitting with IDOF and blue line is calculated visibility curve for a beam size 132.7mm *without* IDOF.



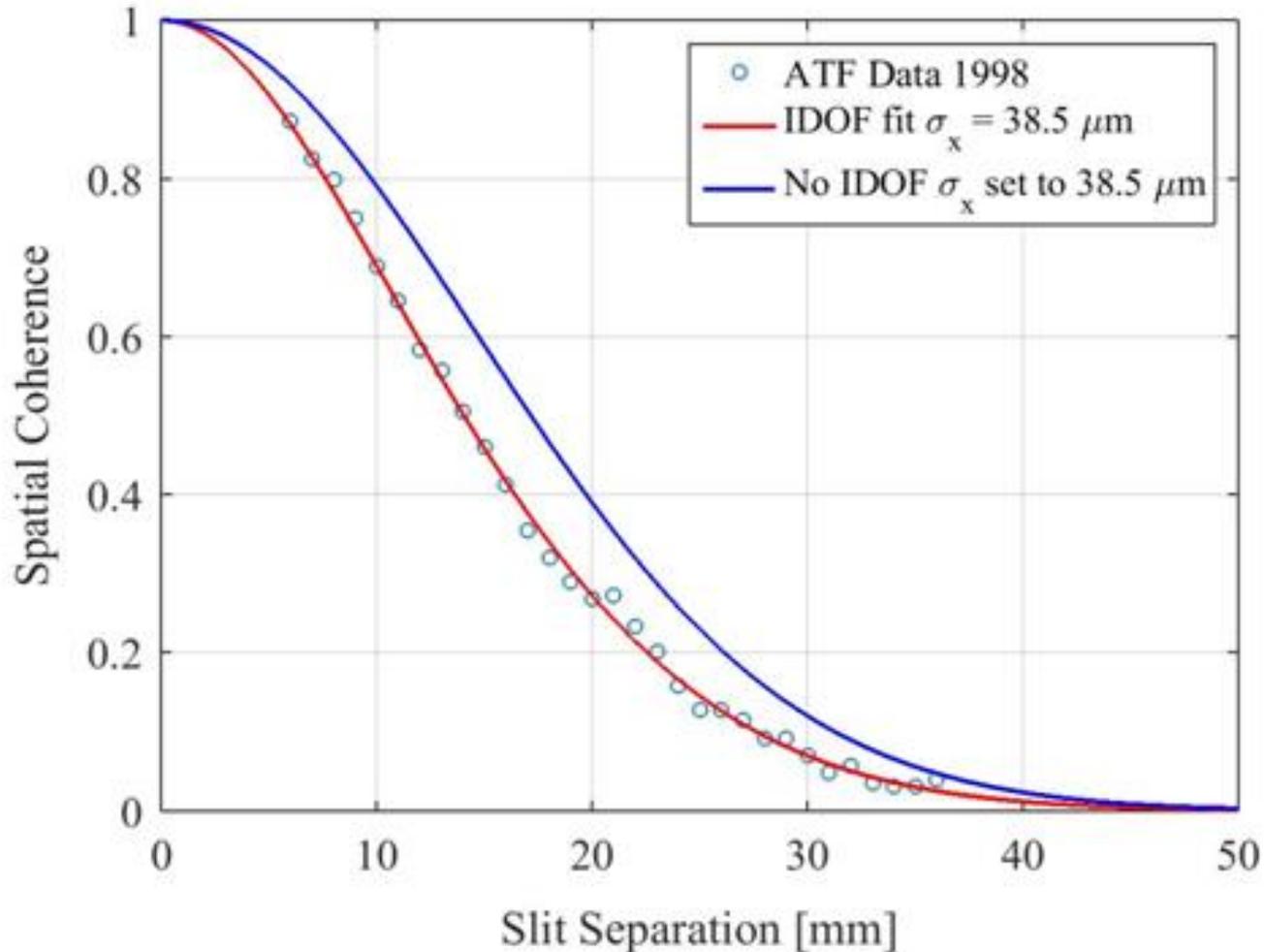
Horizontal visibility measured at ASLS as a function of slit separation. Blue line is calculated visibility curve for a beam size  $88\mu\text{m}$  *without* IDOF.



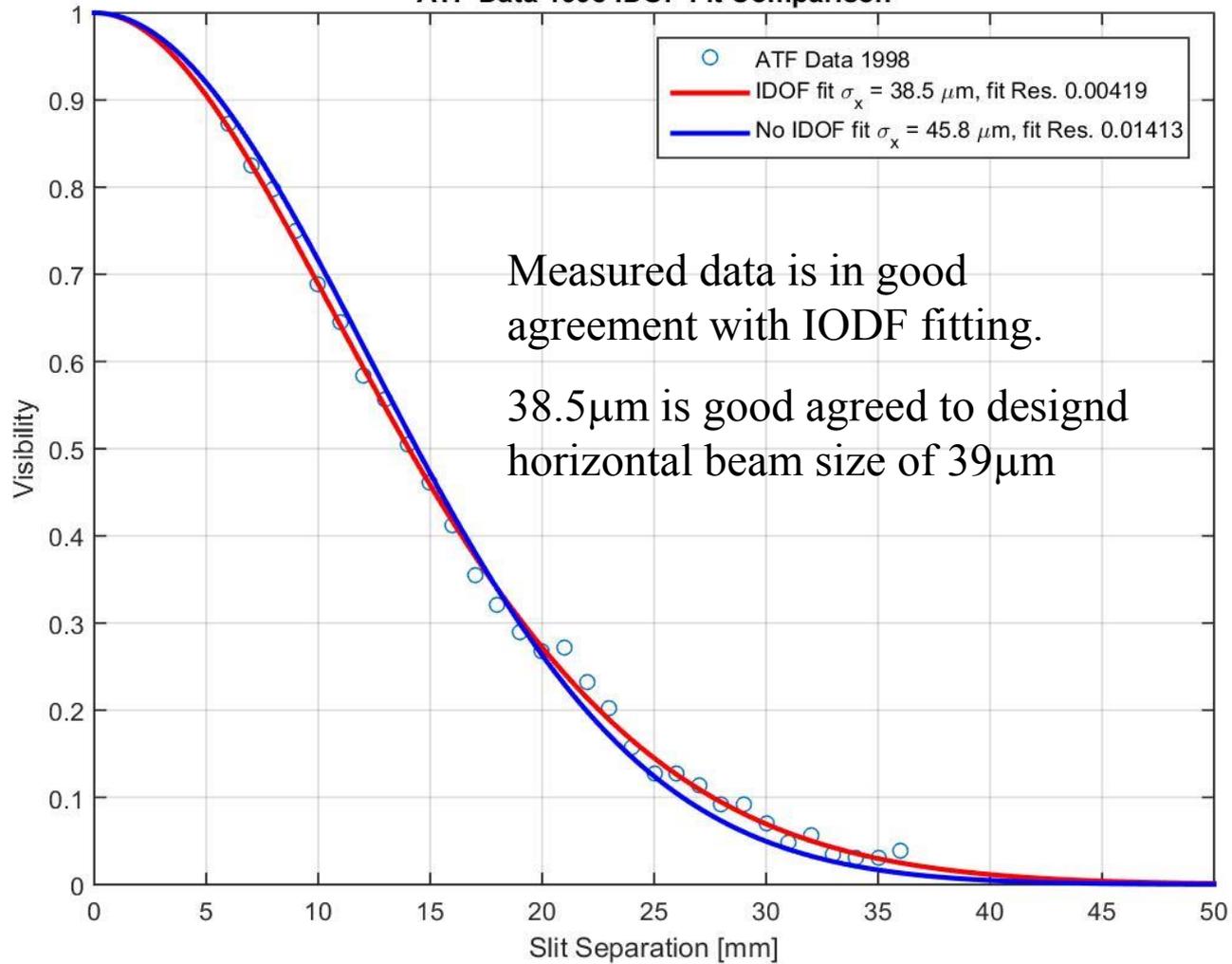
Horizontal visibility measured at ASLS as a function of slit separation. Blue line is calculated visibility curve for a beam size  $88\mu\text{m}$  *without* IDOF.



Horizontal visibility measured at ATF as a function of slit separation. Blue line is calculated visibility curve for a beam size  $38.5\mu\text{m}$  *without* IDOF.

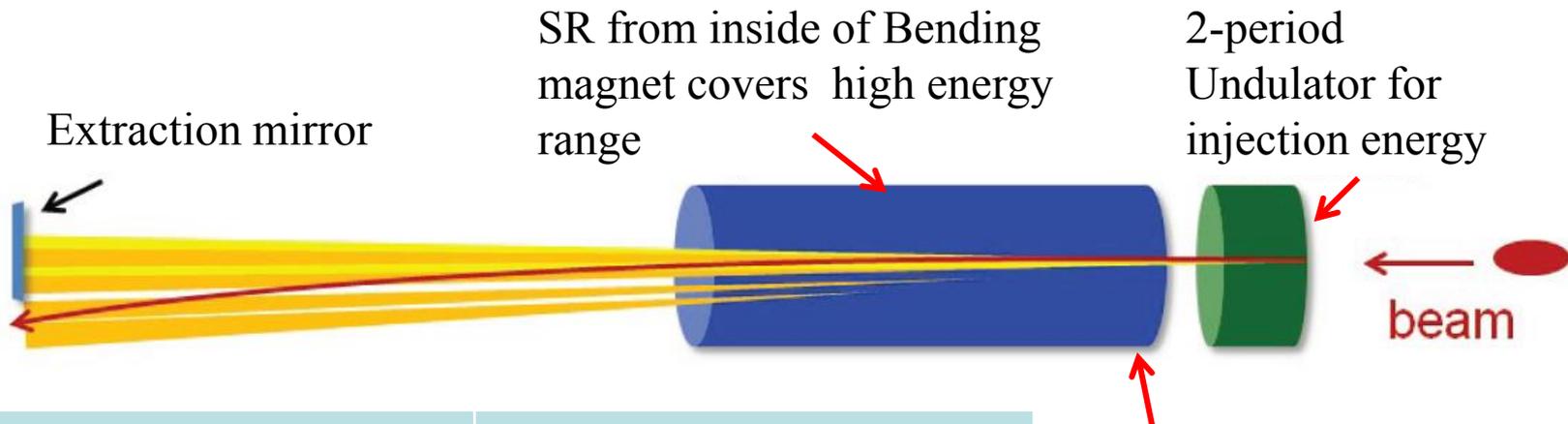


ATF Data 1998 IDOF Fit Comparison



# **7. Application of interferometry for the beam size measurement in the LHC**

# Schematic drawing of the BSRT Synchrotron light sources



<b>Magnetic field intensity at 7 TeV</b>	<b>3.9T</b>
<b>Bending radius</b>	<b>6013m</b>
<b>Bending angle</b>	<b>1.58mrad</b>

Edge radiation covers middle range of energy

**SR from bending magnet in LHC.**

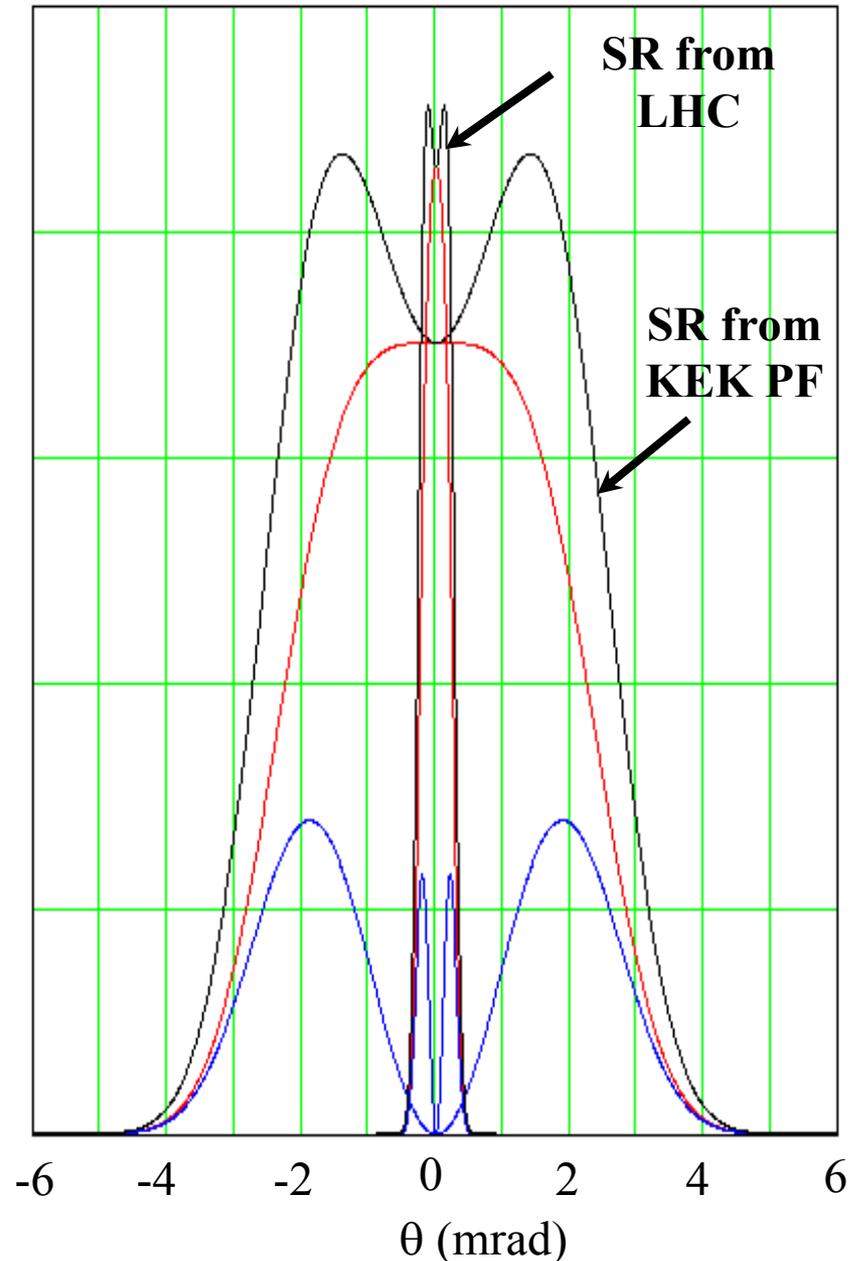
**Opening angle is only about 0.2mrad**

**About 10 times narrower than the Electron machine!**

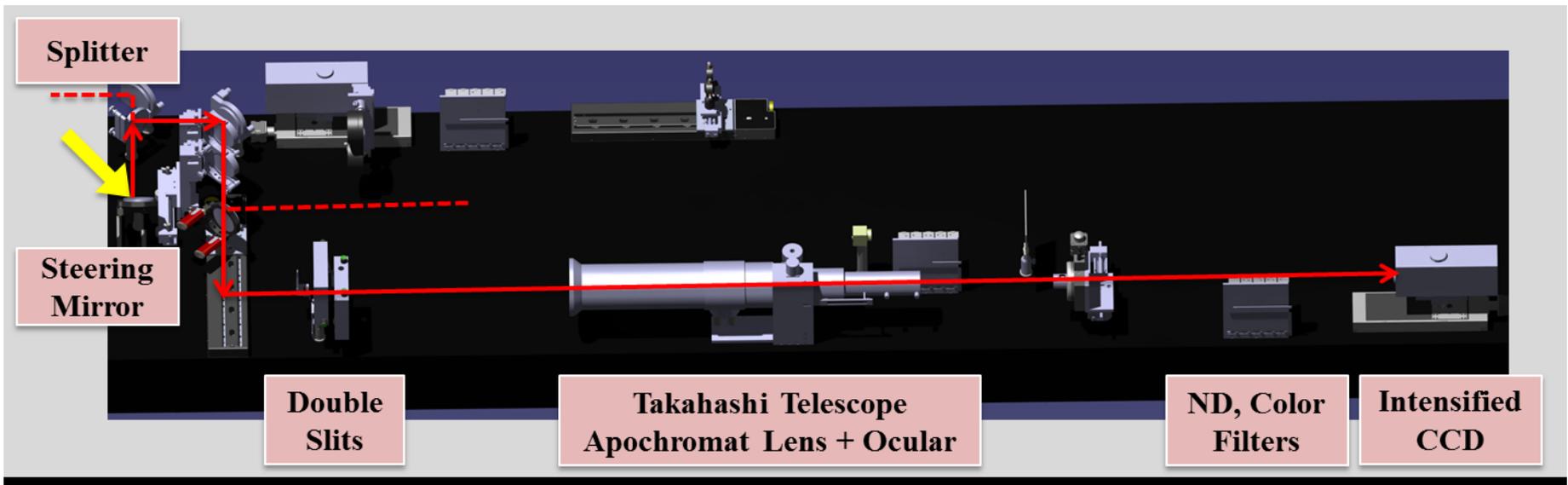
**Resolution in imaging is 10 times poor.**

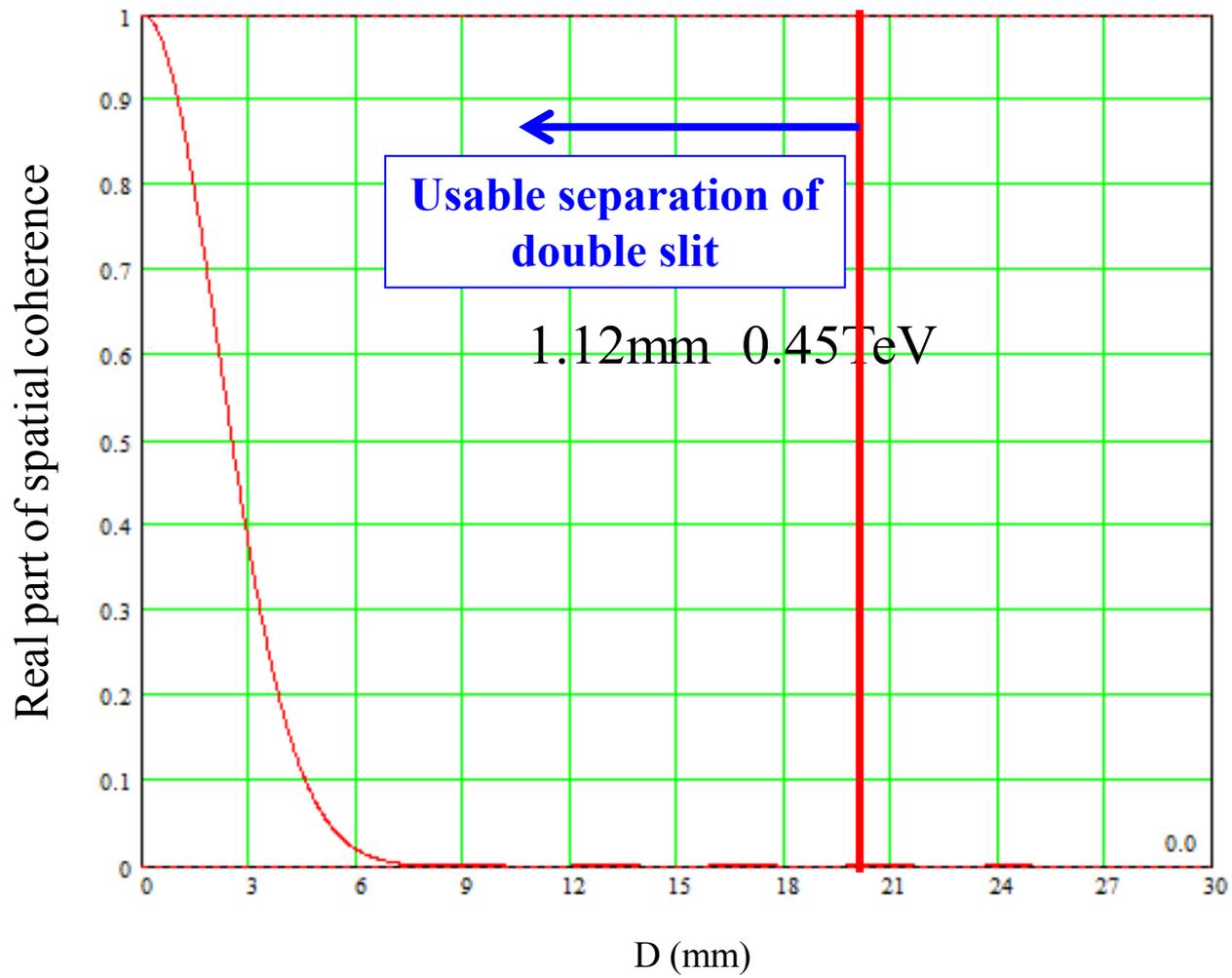
**We need a method having 10 times better resolution.**

***Use the interferometry!***



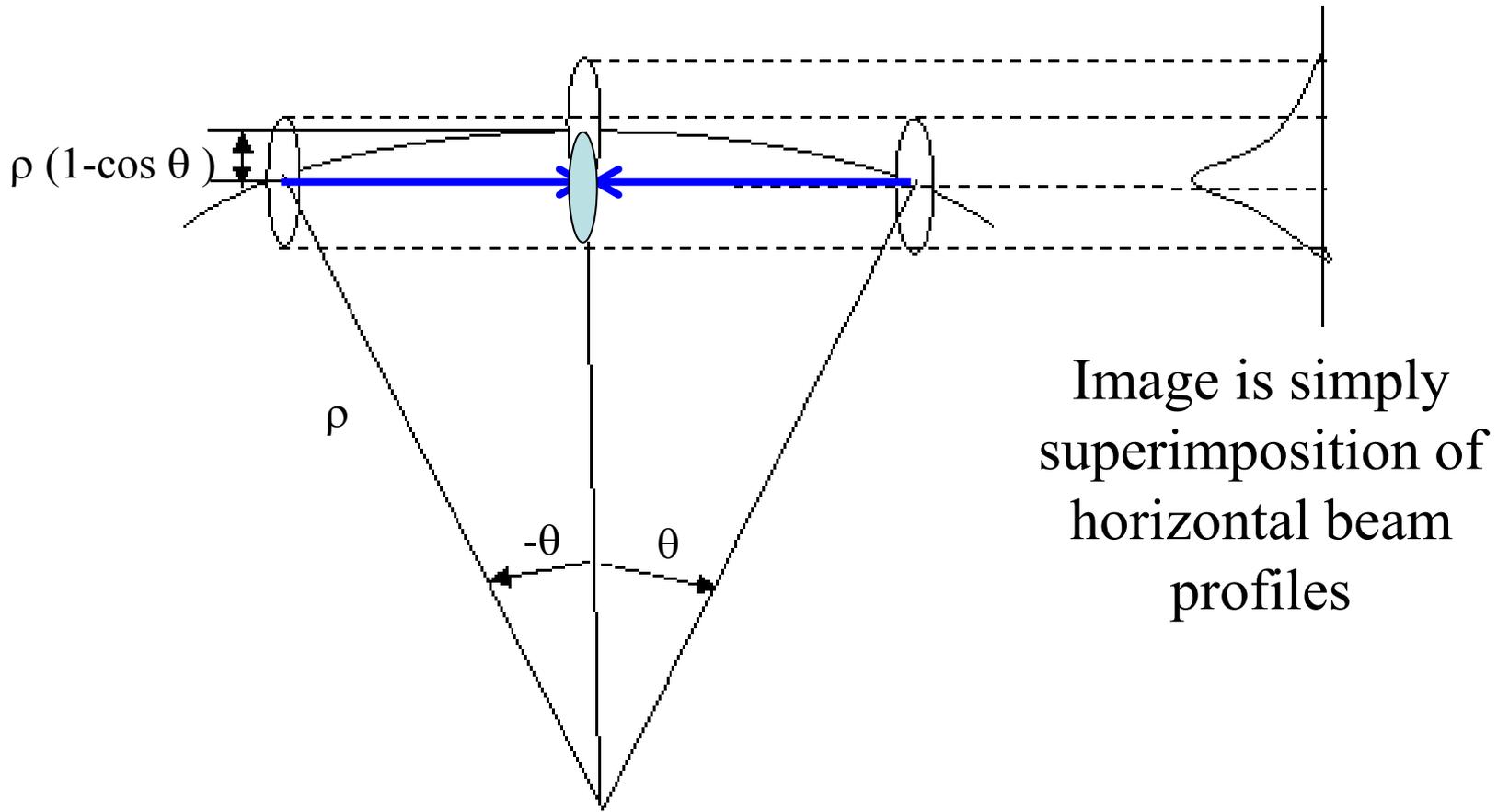
# A Schematic drawing of new interferometer line



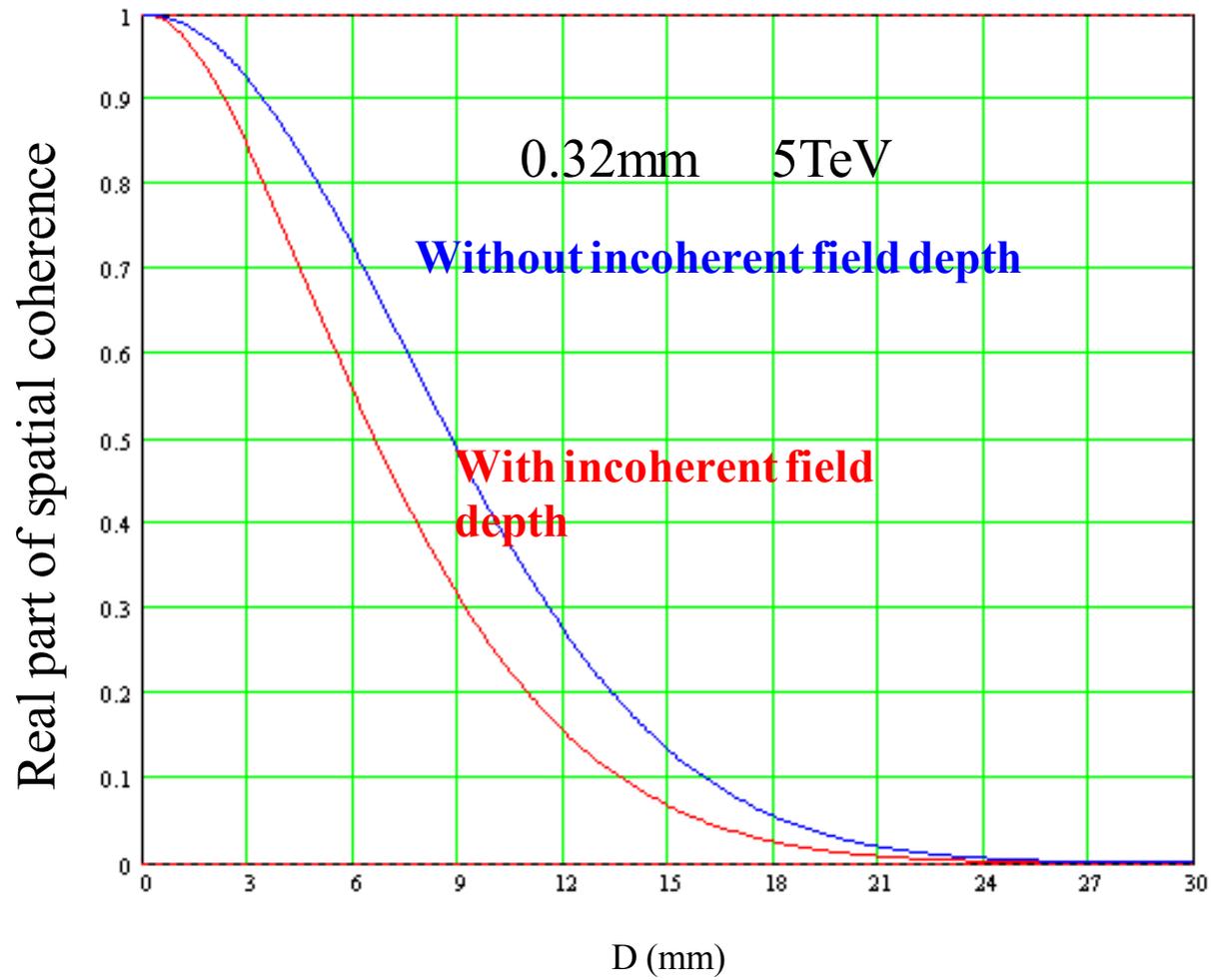


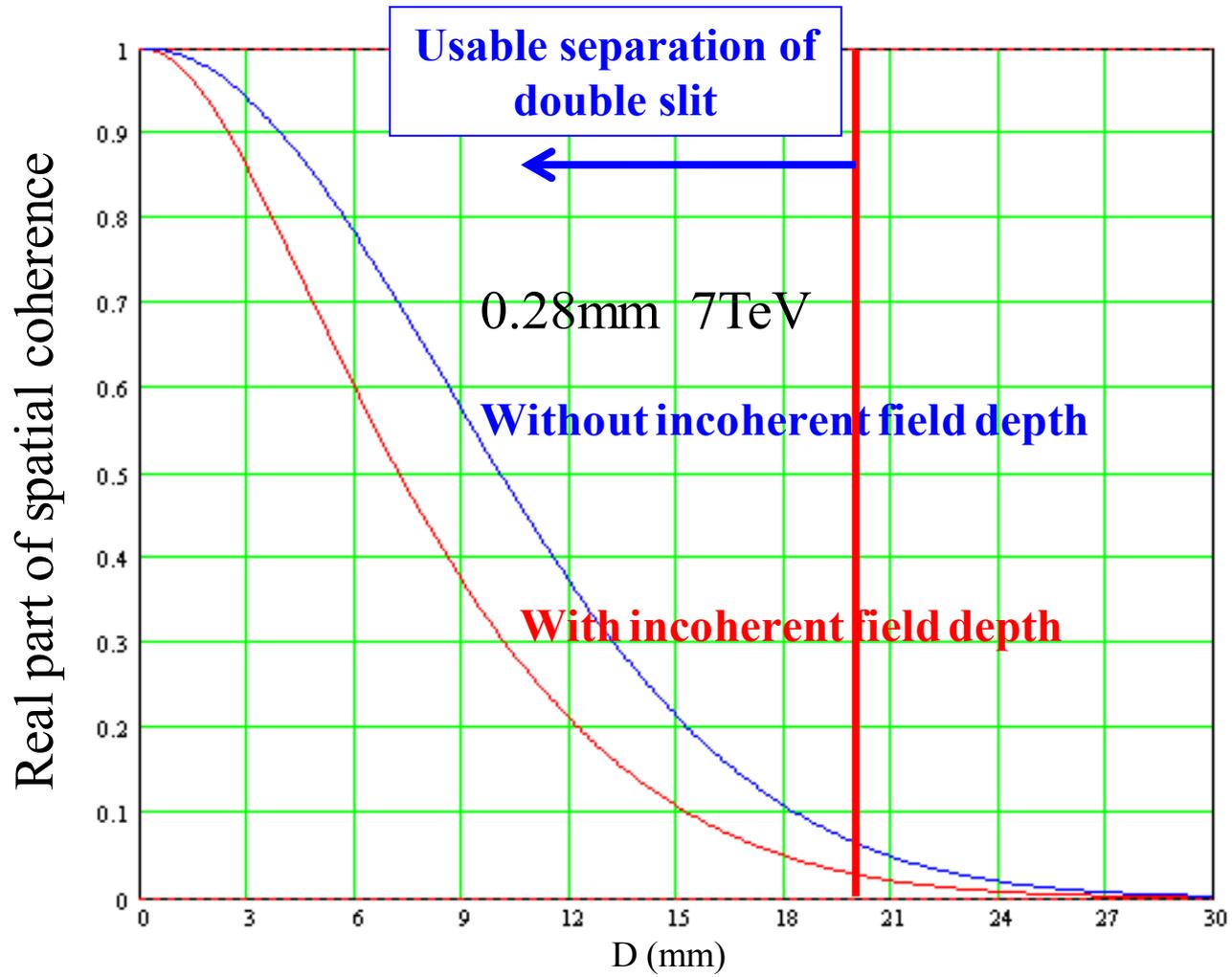
**IDOF for horizontal beam size measurement is very significant due to very long Bending radius (6013m) and narrow opening (0.2mrad) of SR at 7TeV**

# When the incoherent depth of field $<$ Airy disk length of the diffraction



For example  $\rho \approx 6013m$ ,  $\theta \approx 0.2mrad$ ,  $\rho(1 - \cos \theta) \approx 170 \mu m$



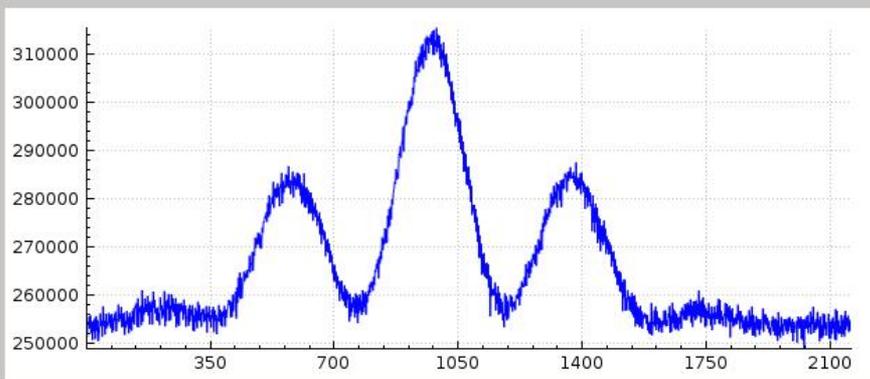
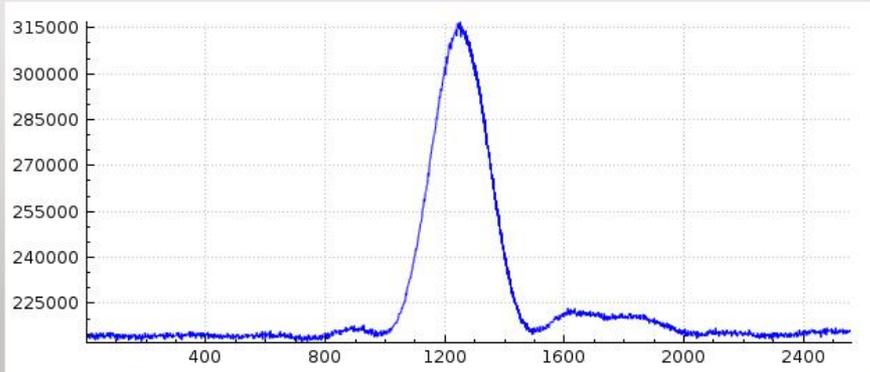
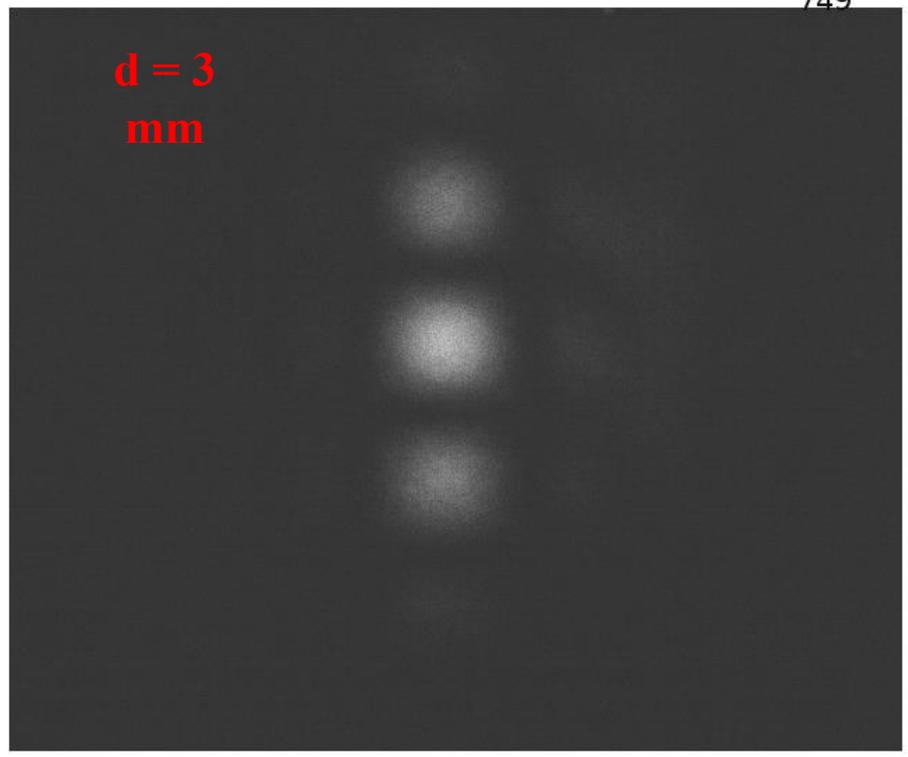


# **First interferograms at LHC**

**Taken at 6.5TeV with  
pilot bunch having  $5 \times 10^9$  protons**

749

**d = 3  
mm**

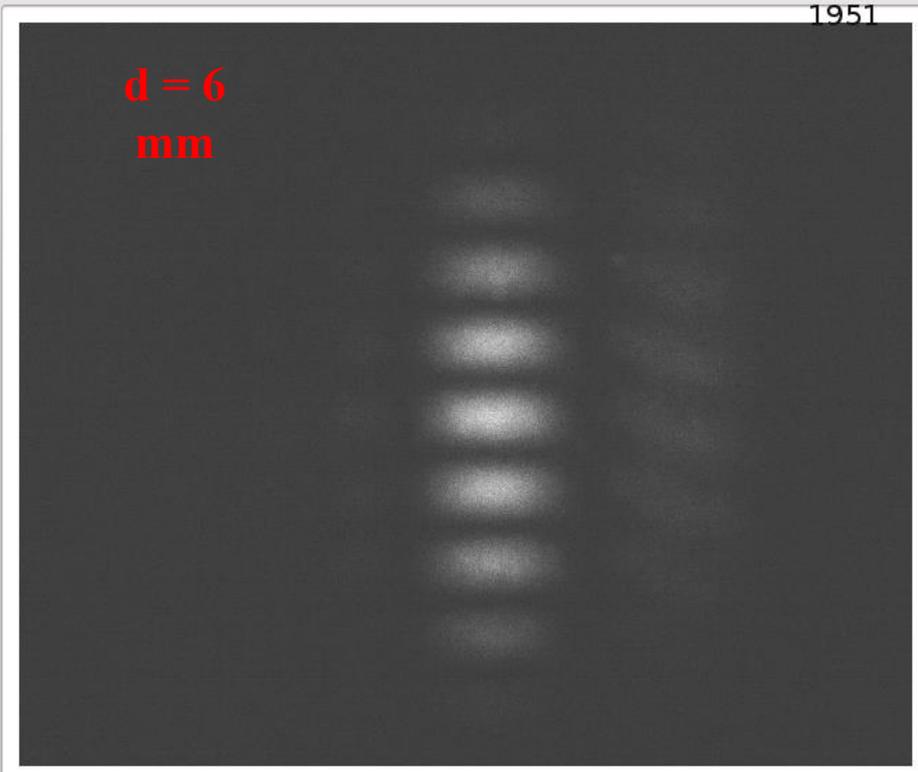


INI LIVE 0.1 Exposure (r) STOP QUI

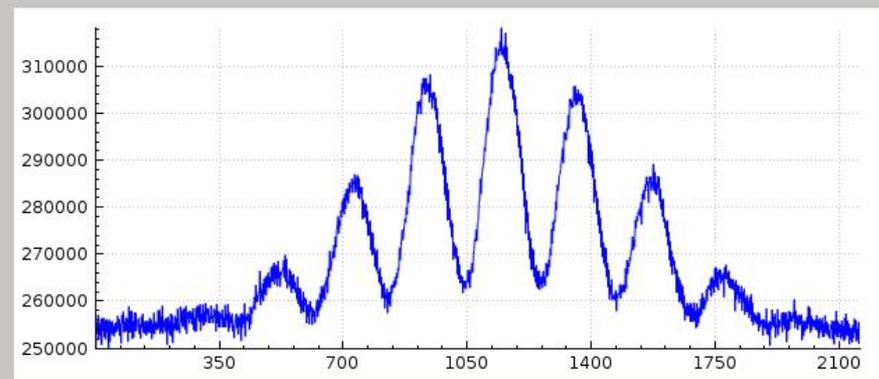
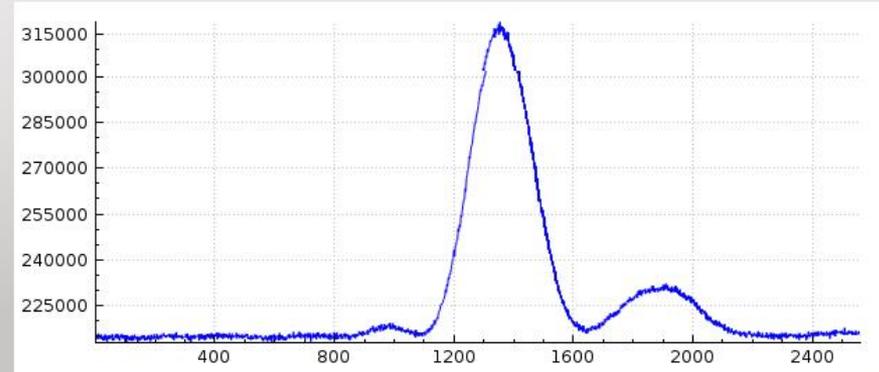
a = 1.5 mm

h = 6 mm

$\lambda = 560$  nm



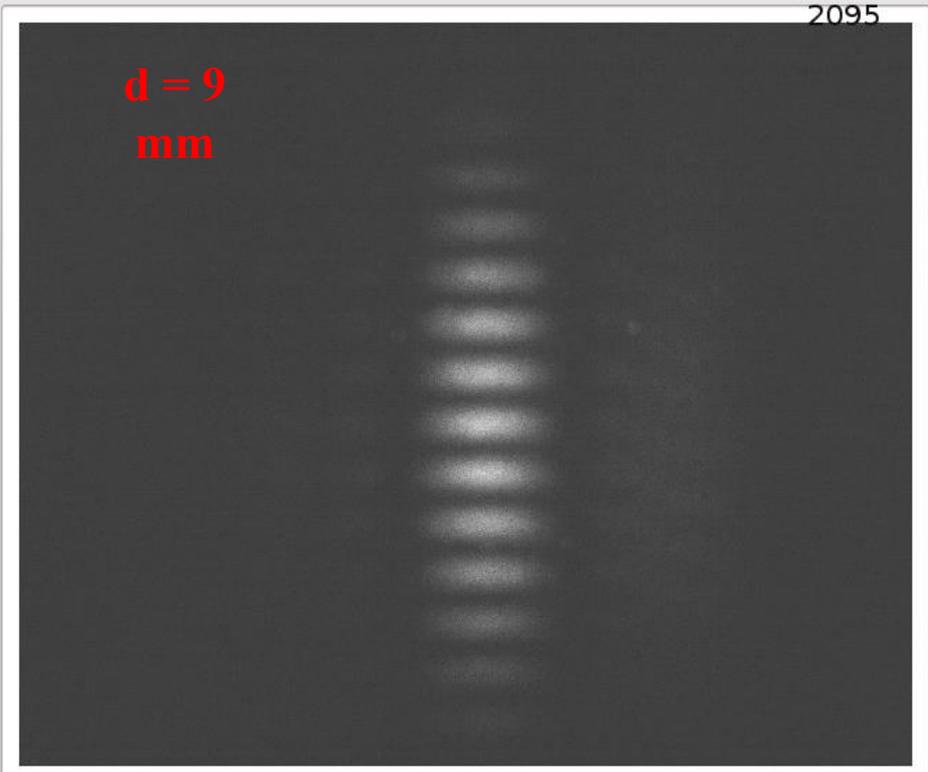
INI LIVE 0.1 Exposure (r) STOP QUI



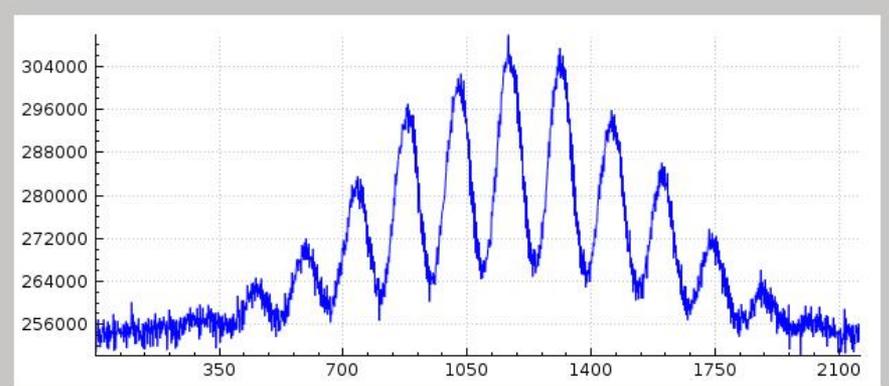
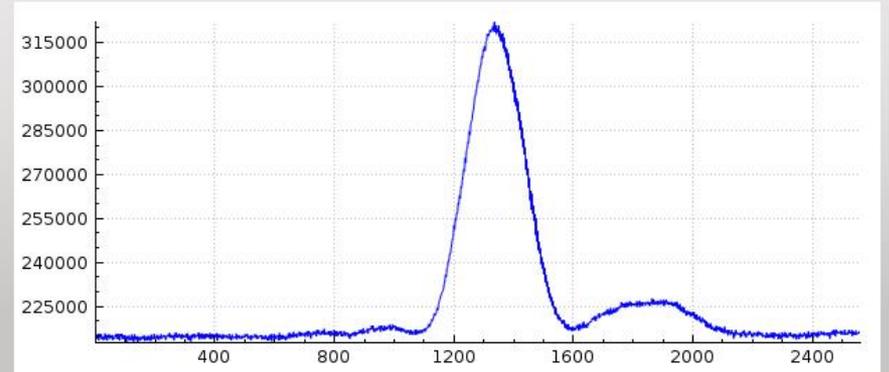
a = 1.5 mm

h = 6 mm

$\lambda = 560$  nm



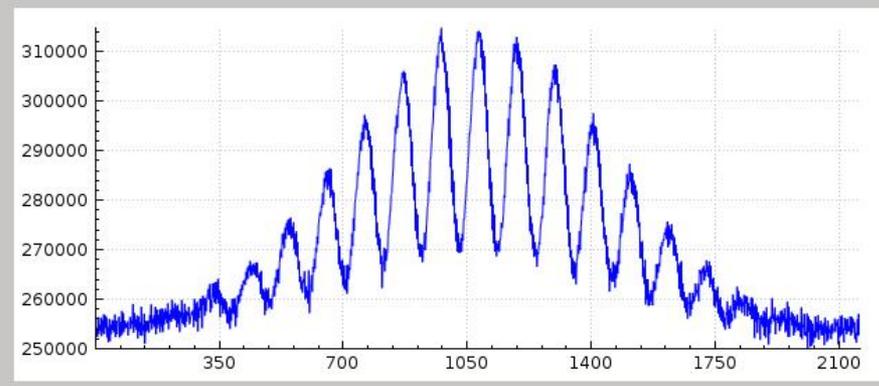
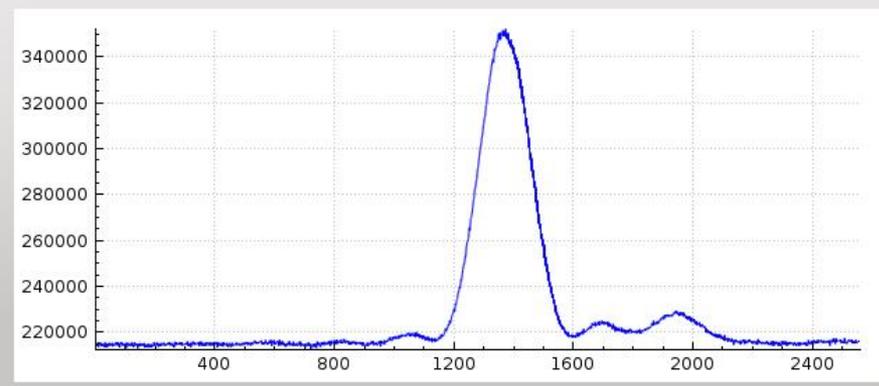
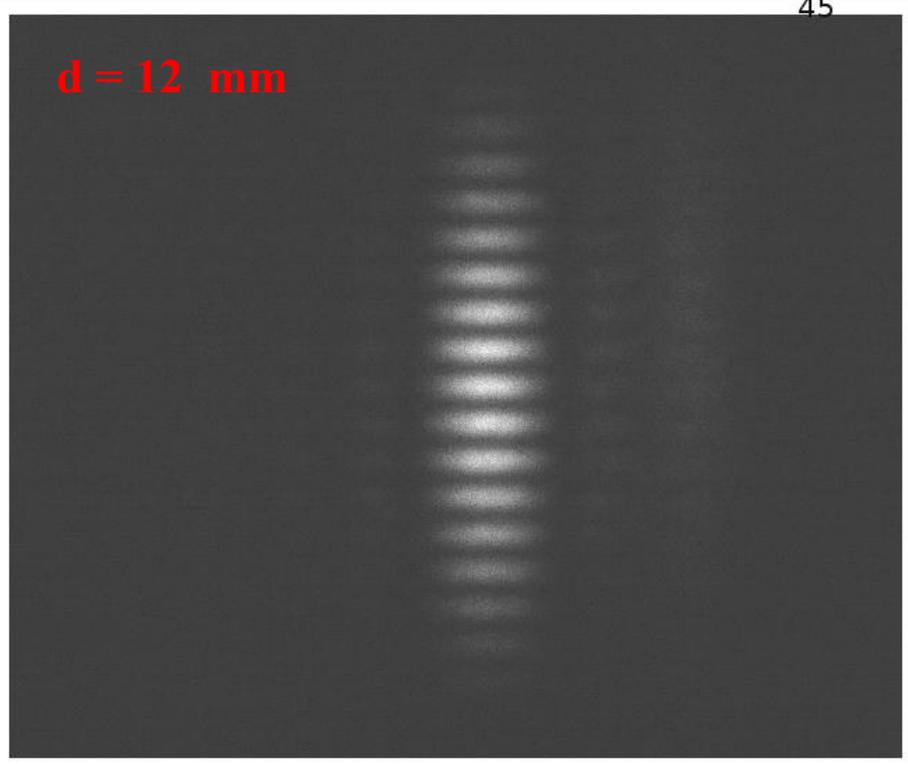
INIT  Exposure (s) 0.1 STOP QUIT



a = 1.5 mm  
h = 6 mm  
 $\lambda = 560$  nm

45

**d = 12 mm**

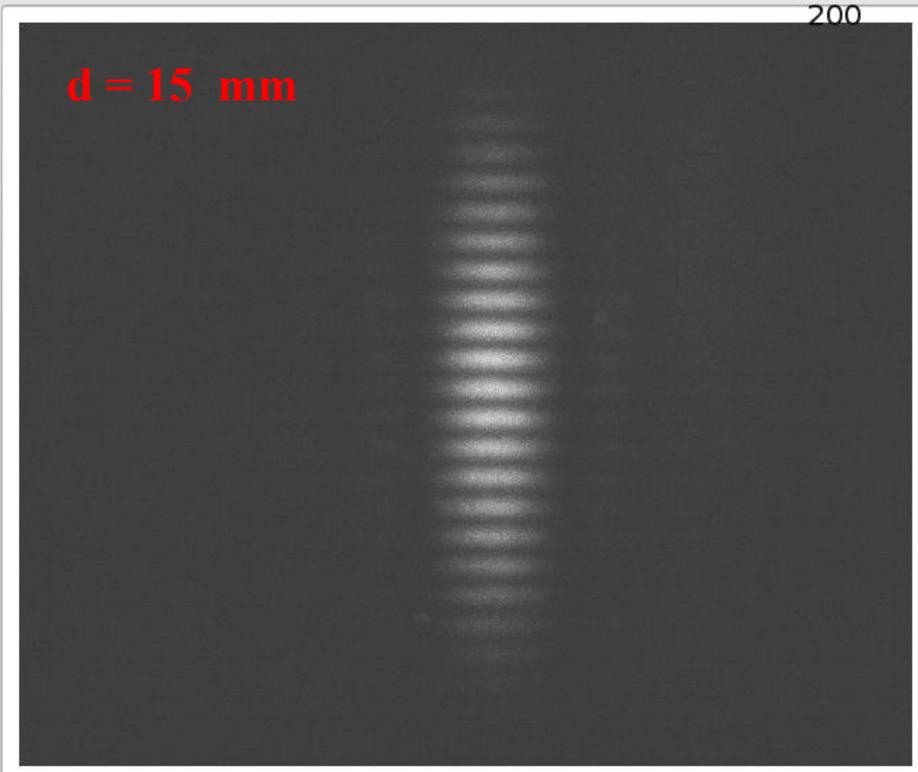


INI LIVE 0.1 Exposure (s) STOP QUI

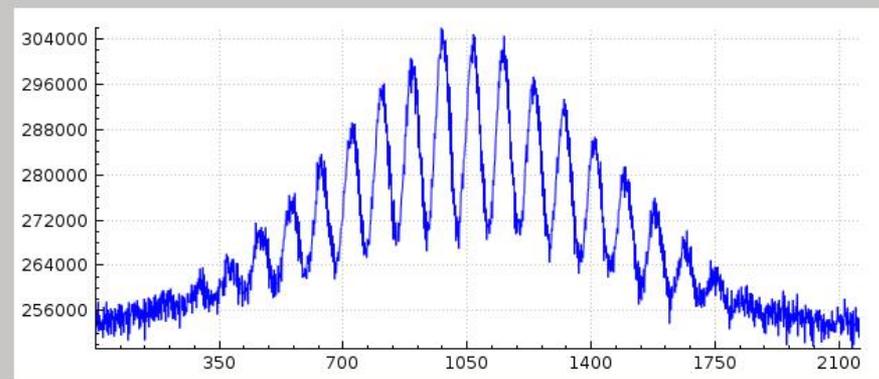
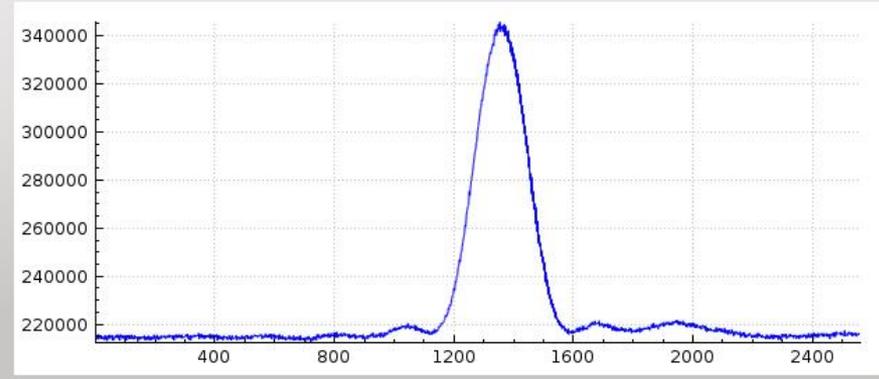
a = 1.5 mm

h = 6 mm

$\lambda = 560$  nm



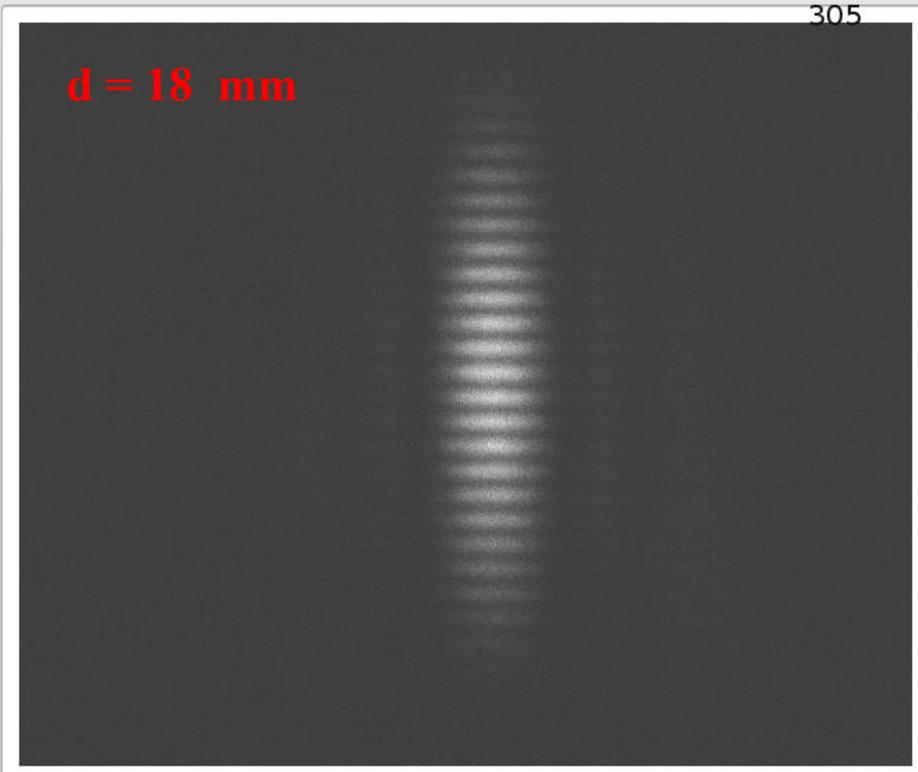
INI LIVE 0.1 Exposure (r) STOP QUI



a = 1.5 mm

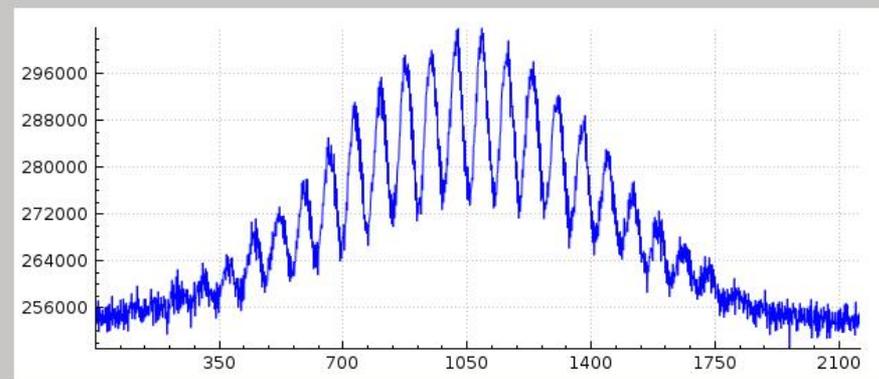
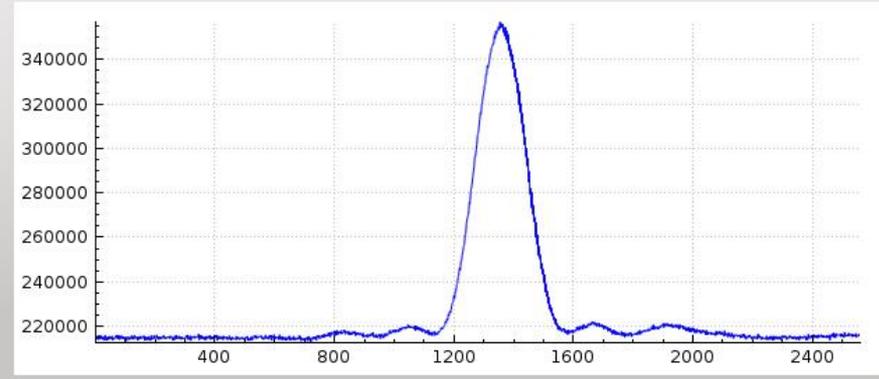
h = 6 mm

$\lambda = 560$  nm



Control panel with a slider and buttons:

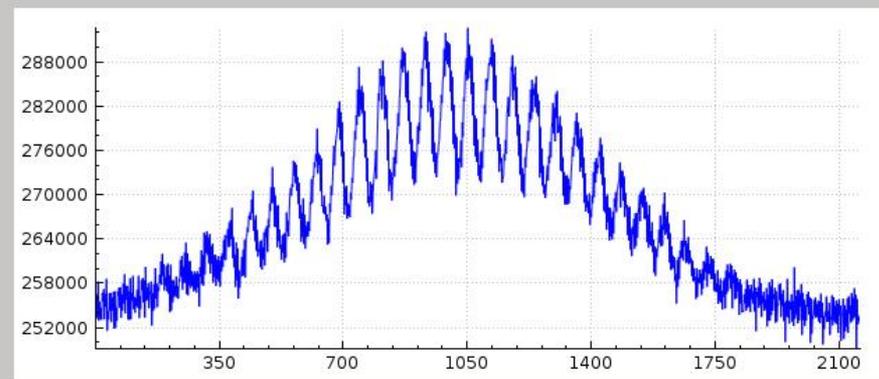
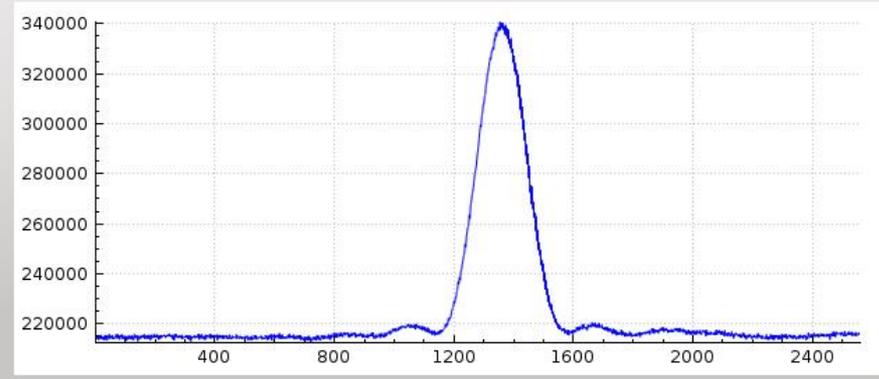
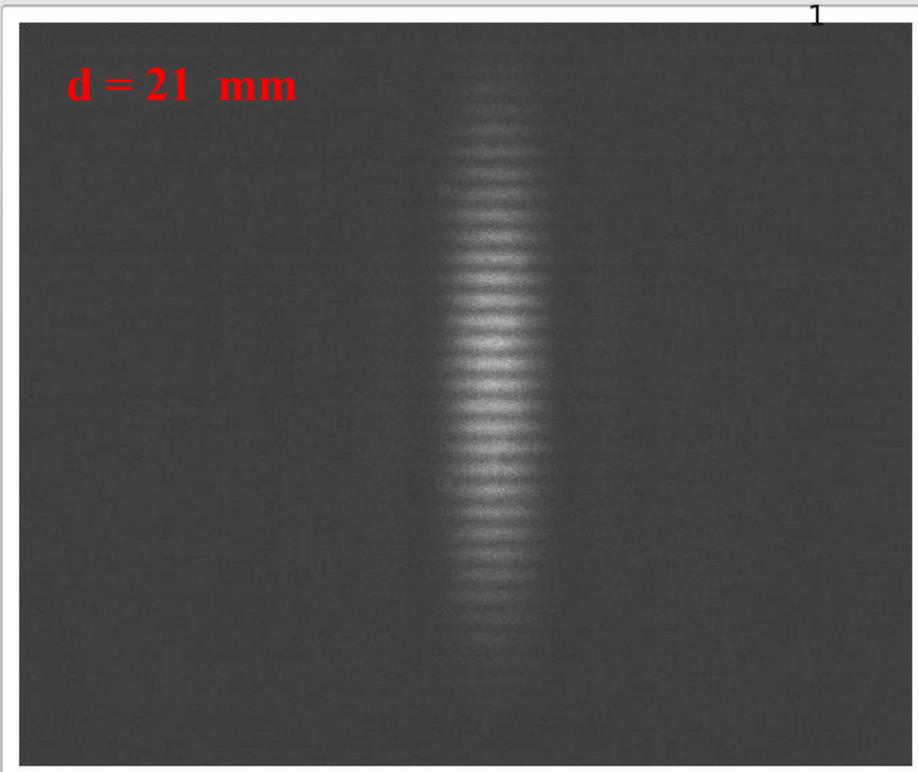
- Slider: INIT (green), LIVE, STOP, QUIT
- Exposure (s): 0.1



a = 1.5 mm

h = 6 mm

$\lambda = 560$  nm



INI LIVE 0.1 Exposure (r) STOP QUI

a = 1.5 mm

h = 6 mm

$\lambda = 560$  nm

Very rough estimation of the vertical beam size

At 6.5TeV

Visibility from the interferogram at  $D=21\text{mm}$  is roughly 0.24

Corresponding vertical beam size is about  $200\mu\text{m}$

# SUMMARY

1. Van Cittert-Zernike's theorem introduced

Design of SR interferometer

Interferograms for vertical and horizontal is discussed.

2. The theoretical resolution and the practical errors are discussed.

We can measure the vertical beam size down to 3-4 $\mu\text{m}$ .

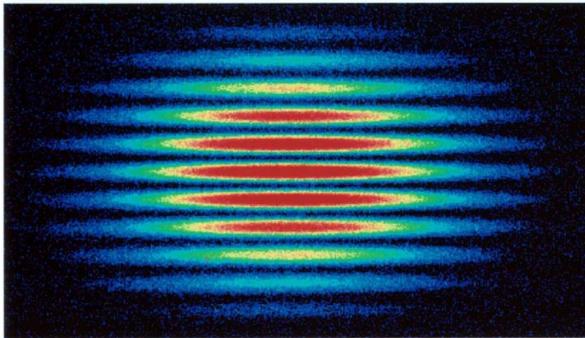
3. Recent trends in measurements for vertical and horizontal beam sizes in ALBA, SPEAR3, ASLS and ATF were reviewed.

4. As a fresh topic, SR interferometry for LHC was also introduced.

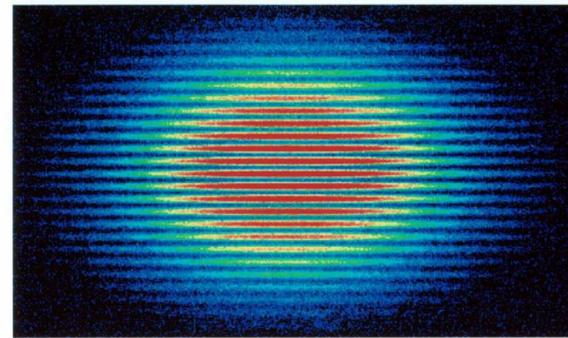
**The beam size measurement with SR interferometry is now popular worldwide, not only for electron machines but also for high-energy the proton machines such as the LHC.**

# Thank you very much for your attention.

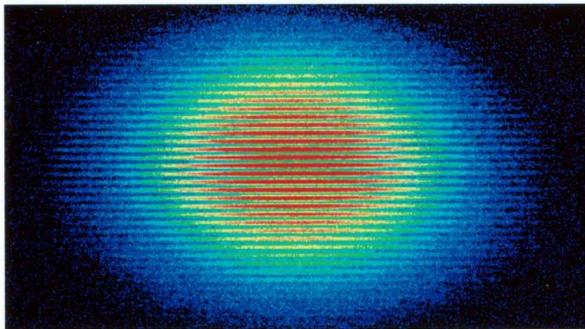
$\lambda = 550\text{nm}$



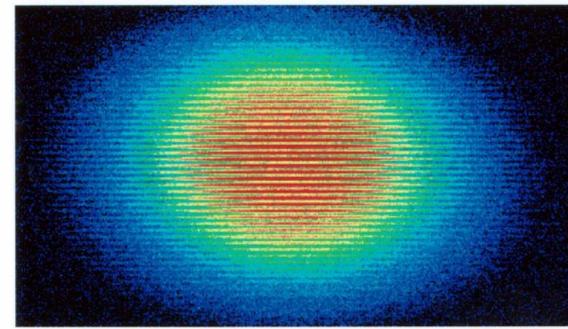
D=6.7mm (1.79mrad)



D=14.7mm (3.92mrad)



D=22.7mm (6.05mrad)



D=28.7mm (7.65mrad)