

# Coherent electron cooling\*

Free Electron Lasers and High-energy Electron Cooling\*\*



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*Sven Reiche, The Paul Scherrer Institute, Switzerland*



\*[Coherent Electron Cooling, Vladimir N. Litvinenko, Yaroslav S. Derbenev, Physical Review Letters 102, 114801 \(2009\)](#)

\*\* Original paper is in proceedings of FEL 2007



# FELs and colliders



*From the first talk on Coherent Electron Cooling at International FEL Conference, Novosibirsk, Russia, August, 2007*

*And so, my fellow FELers, ask not what storage rings can do for FELs;  
Ask what FELs can do for our storage rings?*

And so, my fellow Americans, ask not what your country can do for you; ask what you can do for your country?

## Measure of Collider Performance is the Luminosity

$$\dot{N}_{events} = \sigma_{A \rightarrow B} \cdot L$$
$$L = \frac{f_{coll} \cdot N_1 \cdot N_2}{4\pi\beta^* \varepsilon} \cdot g(\beta^*, h, \theta, \sigma_z)$$

## Main sources of luminosity limitation

Beam-Beam effects

Large or growing emittance

Long or growing bunch-length, i.e. Beam disruption and Hour-glass effect

Crossing angle

Beam Intensity & Instabilities

In many cases an Effective Cooling can significantly increase Luminosity



# Examples of hadron beams cooling

Machine	Species	Energy GeV/n	Trad. Stochastic Cooling, hrs	Synchrotron radiation, hrs	Trad. Electron cooling hrs	Coherent Electron Cooling, hrs 1D/3D
RHIC	Au	130	~1	20,961 $\infty$	~ 1	0.015/0.05
<b>RHIC</b>	<b>p</b>	<b>250</b>	<b>~100</b>	<b>40,246 <math>\infty</math></b>	<b>&gt; 30</b>	<b>0.1/0.3</b>
LHC	p	7,000	~ 1,000	13/26	$\infty$ $\infty$	0.3/<1

## Potential increases in luminosities:

**RHIC polarized pp > 2 fold, eRHIC > 5 fold, LHC > 2 fold**

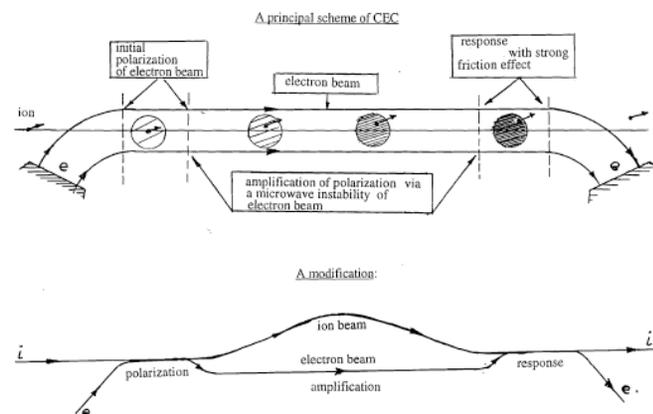
# Content

- A bit of history
- Principles of Coherent Electron Cooling (CeC)
- Analytical estimations, Simulations
- Proof of Principle test using R&D ERL at BNL
- Conclusions

# History

possibility of coherent electron cooling was discussed qualitatively by Yaroslav Derbenev about 28 years ago

- Y.S. Derbenev, Proceedings of the 7<sup>th</sup> National Accelerator Conference, V. 1, p. 269, (Dubna, Oct. 1980)
- Coherent electron cooling, Ya. S. Derbenev, Randall Laboratory of Physics, University of Michigan, MI, USA, UM HE 91-28, August 7, 1991
- Ya.S.Derbenev, Electron-stochastic cooling, DESY , Hamburg, Germany, 1995 .....



## COHERENT ELECTRON COOLING

### 1. Physics of the method in general

Ya. S. Derbenev

Randall Laboratory of Physics, University of Michigan  
Ann Arbor, Michigan 48109-1120 USA

UM HE 91-28

August 7, 1991

## CONCLUSION

The method considered above combines principles of electron and stochastic cooling and microwave amplification. Such an unification promises to frequently increase the cooling rate and stacking of high-temperature, intensive heavy particle beams. Certainly, for the whole understanding of new possibilities thorough theoretical study is required of all principle properties and other factors of the method.

## Q: What's new in today's presentation?

- This is a new Coherent electron Cooling scheme and the first with complete analytical and quantitative evaluation
- The spirit of amplifying the interaction remains the same as in 80's, but the underlying physics of interaction is different and also specific
- ERLs and FEL did advanced in last 30 years - hence, the practicality of this scheme
- Now we can analytically estimate and numerically calculate Coherent electron Cooling cooling decrements for a wide variety of cases

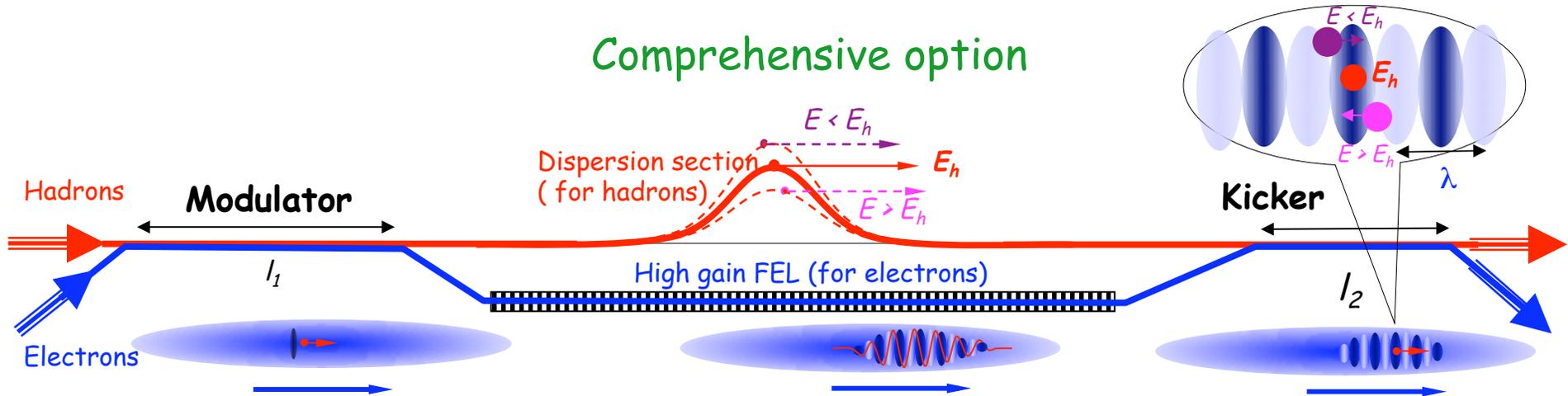
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- [1] *Coherent Electron Cooling*, V.N. Litvinenko, Y.S. Derbenev, *Physical Review Letters* 102, 114801 (Feb 2009)
- [2] *Free Electron Lasers and High-energy Electron Cooling*, V.N. Litvinenko, Y.S. Derbenev, *Proc. FEL'07*, P. 268-275 (Sep 2007)
- [3] *Use of an Electron Beam for Stochastic Cooling*, Y.S. Derbenev, *COOL'07* (2007)
- [4] *FEL-based Coherent Electron Cooling for High-energy Hadron Colliders*, V.N. Litvinenko, Y.S. Derbenev, *Proc. EPAC'09*, WEPP016 (2008)
- [5] *The Dynamics of Ion Shielding in an Anisotropic Electron Plasma*, G. Wang and M. Blaskiewicz, *Phys Rev E* 78, 026413 (2008)
- [6] *Progress with FEL-base coherent electron cooling*, V.N.Litvinenko, I. Ben Zvi, M. Blaskiewicz, Y.Hao, D.Kayran, E.Pozdeyev, G. Wang, G.I. Bell, D.L. Bruhwiler, A. Sobol, O.A. Shevchenko, N.A. Vinokurov, Y.S. Derbenev, S. Reiche, *FEL'08*, THDAU05, (2008)
- [7] *High Gain FEL Amplification of Charge Modulation Caused by a Hadron*, V.N.Litvinenko, J. Bengtsson, I. Ben Zvi, Y.Hao, D.Kayran, E.Pozdeyev, G. Wang, S. Reiche, O.A. Shevchenko, N.A. Vinokurov, *FEL'08*, MOPPH026 (2008)
- [8] *VORPAL Simulations Relevant to Coherent Electron Cooling*, G.I. Bell, D.L. Bruhwiler, A.V. Sobol, I. Ben-Zvi, V.N. Litvinenko, Y. Derbenev, *EPAC'08*, (2008)
- [9] *Simulation of Coherent Electron Cooling for High-Intensity Hadron Colliders*, D.L. Bruhwiler, G.I. Bell, A.V. Sobol, I. Ben-Zvi, V.N. Litvinenko, Y.S. Derbenev, *Proc. HB2008* (2008)
- [10] *Analytical Studies of Coherent Electron Cooling*, G. Wang, M. Blaskiewicz, V. N. Litvinenko, this conference
- [11] *Simulating Electron-Ion Dynamics in Relativistic Electron Coolers*, D.L. Bruhwiler, Invited talk, this conference
- [12] *Integrated modeling of the modulator, amplifier and kicker in a Coherent Electron Cooling system*, G.I. Bell, D.L. Bruhwiler, A.V. Sobol, V.N. Litvinenko, E. Pozdeyev and I. Ben-Zvi, this conference

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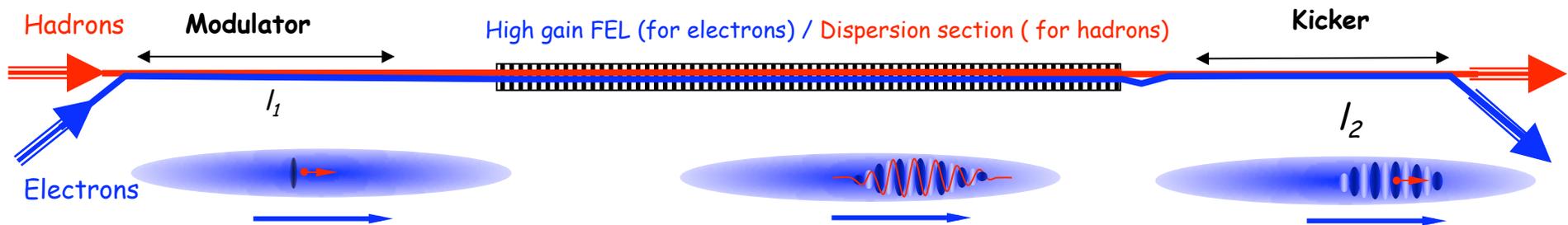
Thesis: G. Wang, SBU (def. 2008), S. Webb, SBU (since 2008)....

# Coherent Electron Cooling

## Comprehensive option



## Economic option

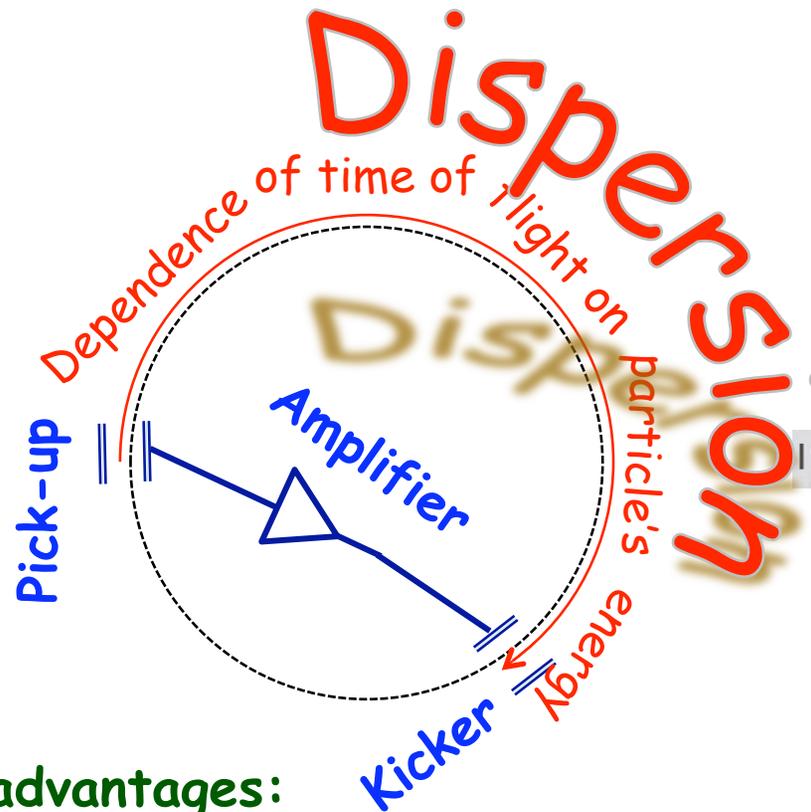


# Coherent Electron Cooling is nothing else but FEL based



version of van der Meer's longitudinal stochastic cooling

- It has pick-up (the modulator)
- It has an amplifier (the FEL)
- It uses time-of-flight dependence on energy of a particle
- It has a kicker (e-beam)



Simon van der Meer  
1984 Nobel Prize in Physics

- Main differences / advantages:
  - The use of electron beam gives a lot of flexibility
  - FEL amplifier has HUGE bandwidths of  $10^{13}$ - $10^{15}$  Hz

# Longitudinal cooling, ultra-relativistic case ( $\gamma \gg 1$ )

$$\omega_p = \sqrt{4\pi n_e e^2 / \gamma_o m_e}$$

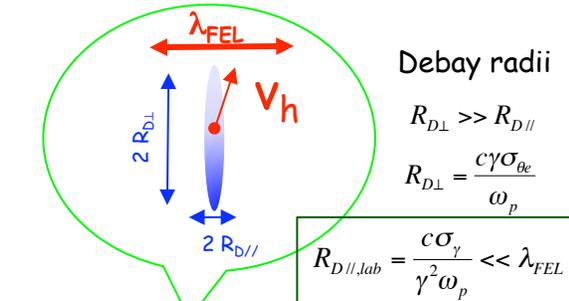
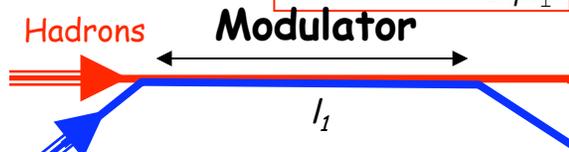
$$q = -Ze \cdot (1 - \cos\varphi_1)$$

$$\varphi_1 = \omega_p l_1 / c\gamma$$

$$q_{peak} = -2Ze$$

$$q_{\lambda_{FEL}} \approx \int_0^{\lambda_{FEL}} \rho(z) \cos(k_{FEL} z) dz$$

$$\rho_k = kq(\varphi_1); n_k = \frac{\rho_k}{2\pi\beta\epsilon_{\perp}}$$

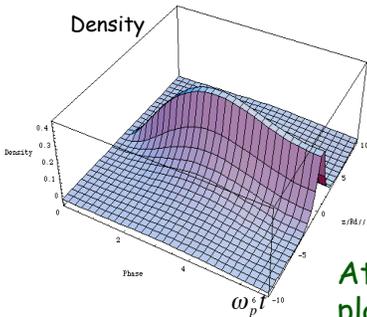


Debye radii

$$R_{D\perp} \gg R_{D\parallel}$$

$$R_{D\perp} = \frac{c\gamma\sigma_{be}}{\omega_p}$$

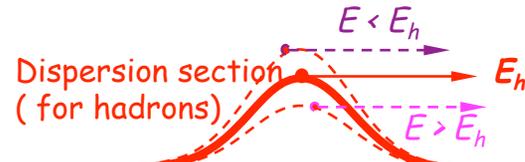
$$R_{D\parallel,lab} = \frac{c\sigma_{\gamma}}{\gamma^2\omega_p} \ll \lambda_{FEL}$$



At a half of plasma oscillation

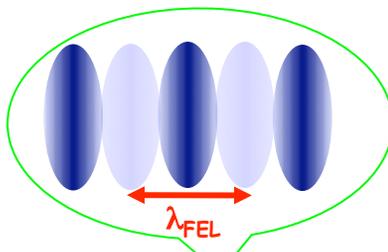
## Dispersion

$$c\Delta t = -D \cdot \frac{\gamma - \gamma_o}{\gamma_o}; D_{free} = \frac{L}{\gamma^2}; D_{chicane} = l_{chicane} \cdot \theta^2 \dots\dots$$



High gain FEL (for electrons)

Amplifier of the e-beam modulation in an FEL with gain  $G_{FEL} \sim 10^2 - 10^3$



$$\lambda_{fel} = \lambda_w (1 + \langle \vec{a}_w^2 \rangle) / 2\gamma_o^2$$

$$\vec{a}_w = e\vec{A}_w / mc^2$$

$$L_G = L_{Go} (1 + \Lambda)$$

$$G_{FEL} = e^{L_{FEL} / L_G}$$

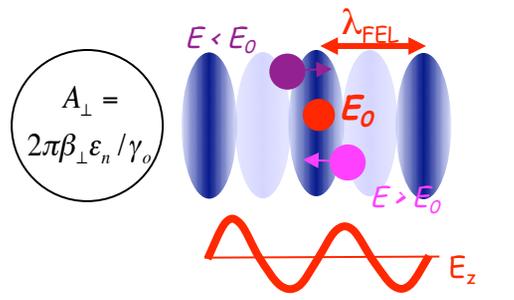
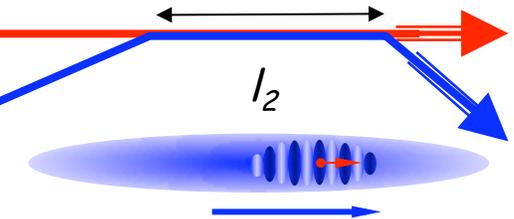
$$L_{Go} = \frac{\lambda_w}{4\pi\rho\sqrt{3}}$$

$$\Delta\varphi = \frac{L_{FEL}}{\sqrt{3}L_G}$$

$$\Delta E_h = -e \cdot \mathbf{E}_o \cdot l_2 \cdot \sin\left(k_{FEL} D \frac{E - E_o}{E_o}\right)$$

$$\left(\frac{\sin\varphi_2}{\varphi_2}\right) \cdot \left(\sin\frac{\varphi_1}{2}\right)^2 \cdot Z \cdot X; \mathbf{E}_o = 2G_o e\gamma_o / \beta\epsilon_{\perp n}$$

## Kicker



$$A_{\perp} = \frac{2\pi\beta_{\perp}\epsilon_n}{\gamma_o}$$

$$k_{FEL} = 2\pi / \lambda_{FEL}; k_{cm} = k_{FEL} / 2\gamma_o$$

$$n_{amp} = G_o \cdot n_k \cos(k_{cm} z)$$

$$\Delta\varphi = 4\pi en \Rightarrow \varphi = -\varphi_o \cdot \cos(k_{cm} z)$$

$$\vec{E} = -\vec{\nabla}\varphi = -\hat{z}\mathbf{E}_o \cdot X \sin(k_{cm} z)$$

$$\mathbf{E}_o = 2G_o\gamma_o \frac{e}{\beta\epsilon_{\perp n}}$$

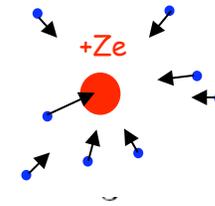
$$X = q/e \equiv Z(1 - \cos\varphi_1) \sim Z$$

# e-Density modulation caused by a hadron (co-moving frame)

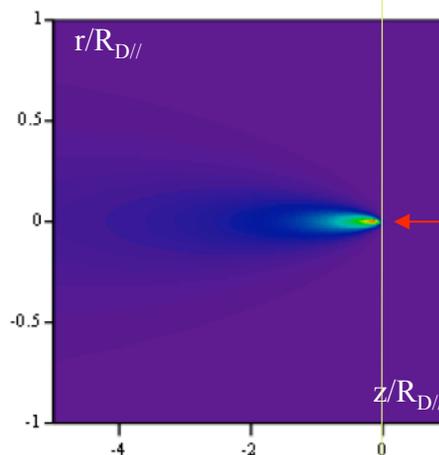
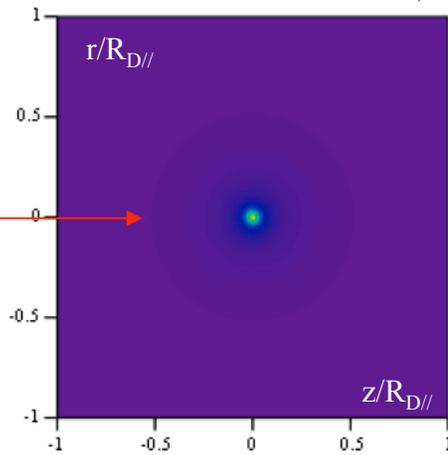
Induces charge:  $q = -Ze \cdot (1 - \cos \omega_p t)$

**Analytical:** for kappa-2 anisotropic electron plasma,  
G. Wang and M. Blaskiewicz, Phys Rev E 78, 026413 (2008)

$$\tilde{n}(\vec{r}, t) = \frac{Zn_0\omega_p^3}{\pi^2\sigma_{vx}\sigma_{vy}\sigma_{vz}} \int_0^{\omega_p t} \tau \sin \tau \left( \tau^2 + \left( \frac{x - v_{hx}\tau/\omega_p}{r_{Dx}} \right)^2 + \left( \frac{y - v_{hy}\tau/\omega_p}{r_{Dy}} \right)^2 + \left( \frac{z - v_{hz}\tau/\omega_p}{r_{Dz}} \right)^2 \right)^{-2} d\tau$$



Ion rests in c.m.  
(0,0) is the location of the ion



Density plots for a quarter of plasma oscillation

Ion moves in c.m. with

$$v_{hz} = 10\sigma_{vze}$$

(0,0) is the location of the ion

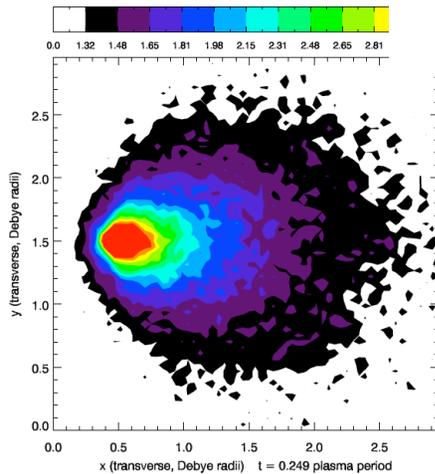


Figure 3: A transverse cross section of the wake behind a gold ion, with the color denoting density enhancement.

## Numerical: VORPAL @ TechX

### Parameters of the problem

$$R_{D\alpha} \propto (|v_\alpha| + \sigma_{v\alpha})/\omega_p; \quad \alpha = x, y, z$$

$$t = \tau/\omega_p; \quad \vec{v} = \vec{v}\sigma_{v_z}; \quad \vec{r} = \vec{\rho}\sigma_{v_z}/\omega_p; \quad \omega_p = \sqrt{\frac{4\pi e^2 n_e}{m}} \quad s = r_{Dz} = \frac{\sigma_{v_z}}{\omega_p}$$

$$R = \frac{\sigma_{v_\perp}}{\sigma_{v_z}}; \quad T = \frac{v_{hx}}{\sigma_{v_z}}; \quad L = \frac{v_{hz}}{\sigma_{v_z}}; \quad \xi = \frac{Z}{4\pi n_e R^2 s^3};$$

$$A = \frac{a}{s}; \quad X = \frac{X_{ho}}{a}; \quad Y = \frac{Y_{ho}}{a}.$$

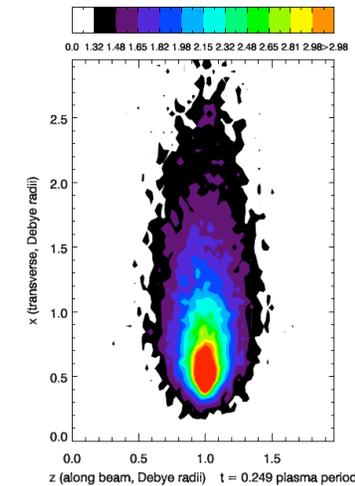


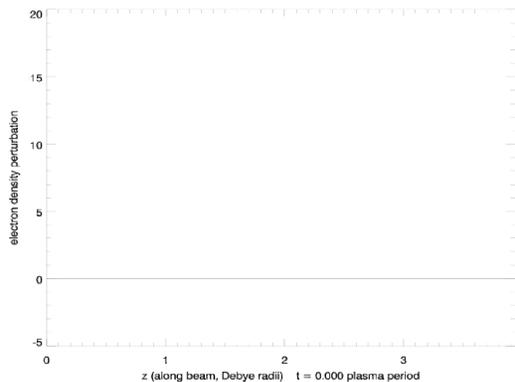
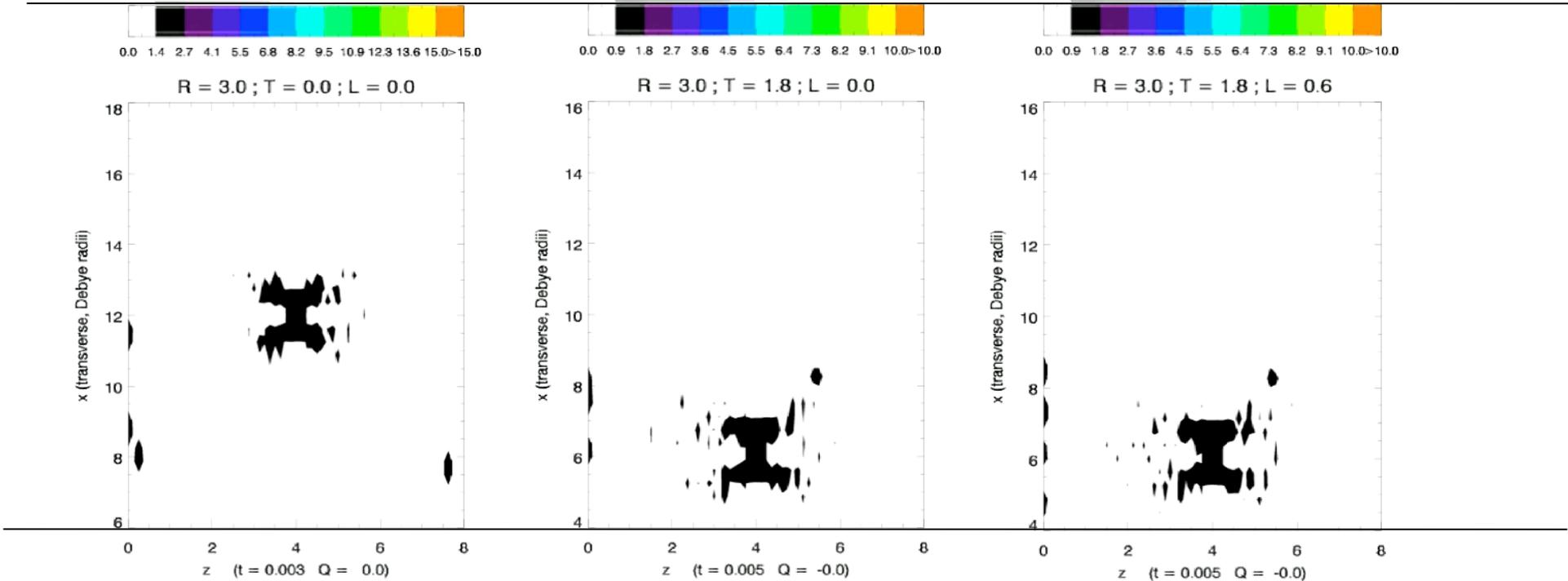
Figure 4: A longitudinal cross section of the wake behind a gold ion, with the color denoting density enhancement.

# Numerical simulations (VORPAL @ TechX)

## Provides for simulation with arbitrary distributions and finite electron beam size



VORPAL Simulations Relevant to Coherent Electron Cooling, G.I. Bell et al., EPAC'08, (2008)

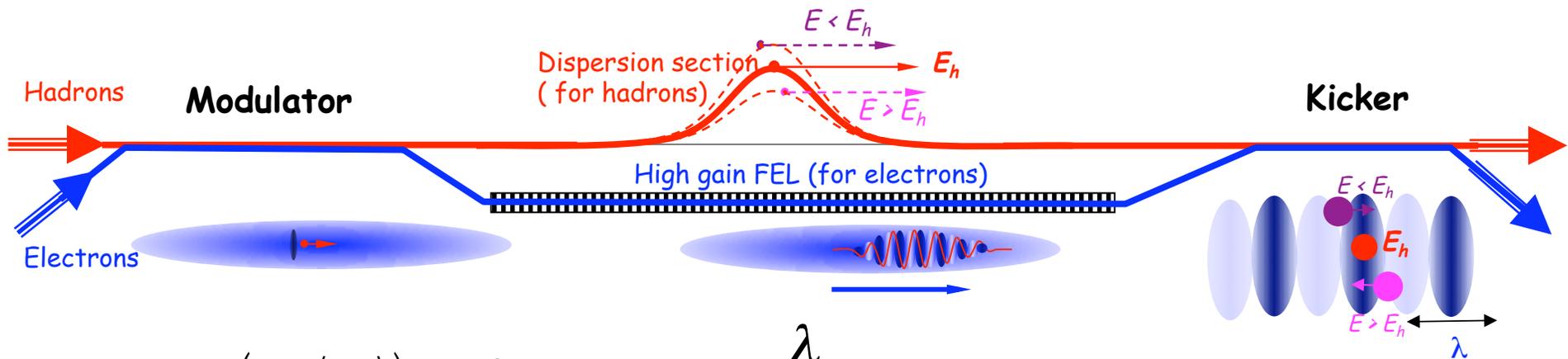


$$R = \frac{\sigma_{v_{\perp}}}{\sigma_{v_z}}; \quad T = \frac{v_{hx}}{\sigma_{v_z}}; \quad L = \frac{v_{hz}}{\sigma_{v_z}}$$

$$q = -Ze \cdot (1 - \cos \omega_p t)$$

# Central Section of Coherent electron Cooling

$$D = D_{free} + D_{lattice}; \quad D_{free} = \frac{L}{\gamma^2}; \quad D_{chicane} = l_{chicane} \cdot \theta^2$$



$$\lambda_{fel} = \lambda_w \left( 1 + \langle \vec{a}_w^2 \rangle \right) / 2\gamma_o^2$$

$$L_{Go} = \frac{\lambda_w}{4\pi\rho\sqrt{3}}$$

$$L_G = L_{Go} (1 + \Lambda)$$

Electron density modulation is amplified in the FEL and made into a train with duration of  $N_c \sim L_{gain}/\lambda_w$  alternating hills (high density) and valleys (low density) with period of FEL wavelength  $\lambda$ . Maximum gain for the electron density of High Gain FEL is  $\sim 10^3$ .

$$v_{group} = (c + 2v_{||})/3 = c \left( 1 - \frac{1 + a_w^2}{3\gamma^2} \right) = c \left( 1 - \frac{1}{2\gamma^2} \right) + \frac{c}{3\gamma^2} (1 - 2a_w^2) = v_{hadrons} + \frac{c}{3\gamma^2} (1 - 2a_w^2)$$

**Economic option requires:  $2a_w^2 < 1$  !!!**

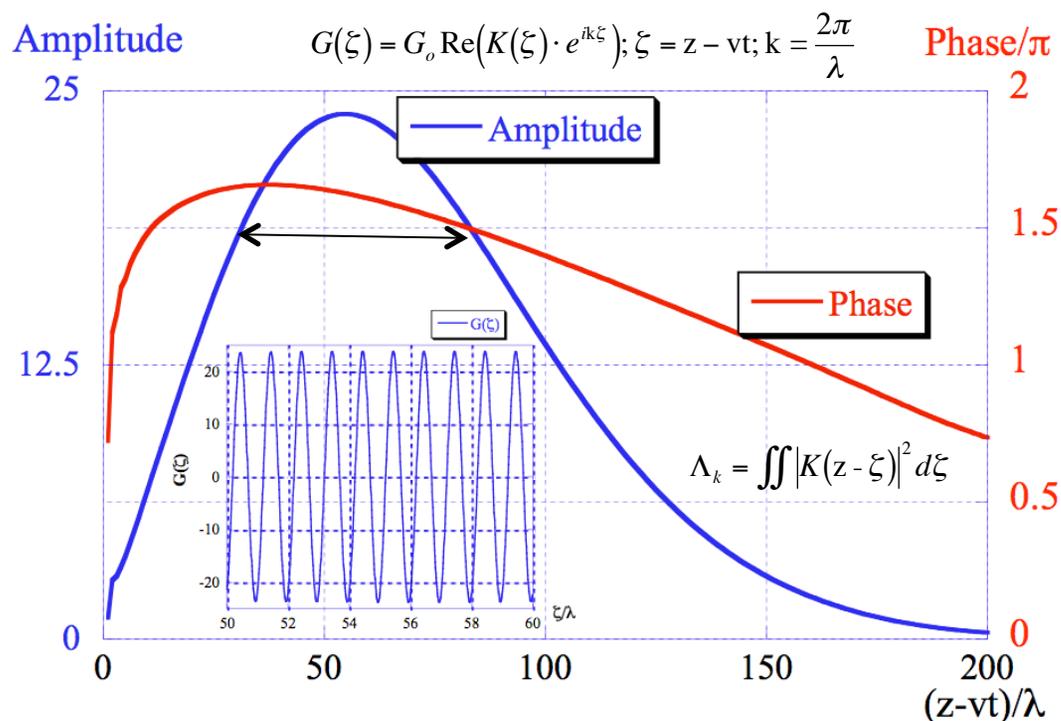
# 3D FEL response calculated Genesis 1.3, confirmed by RON



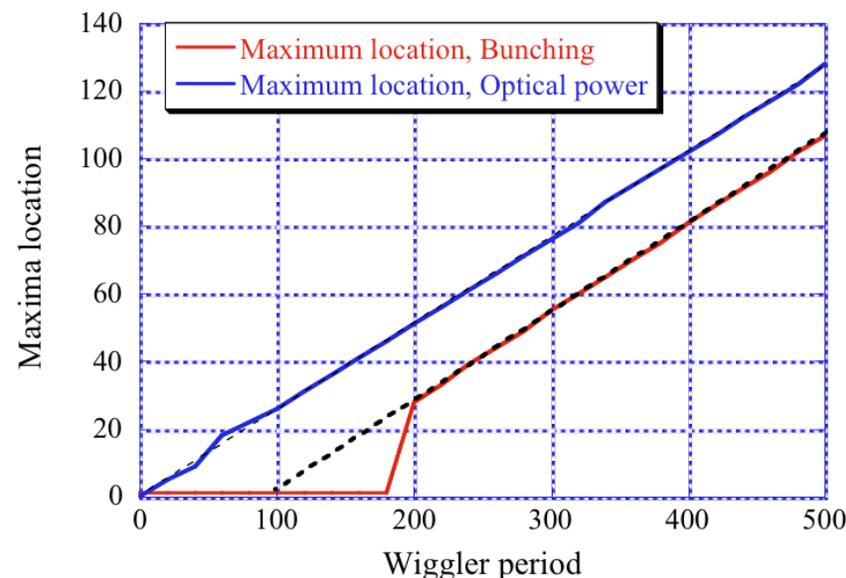
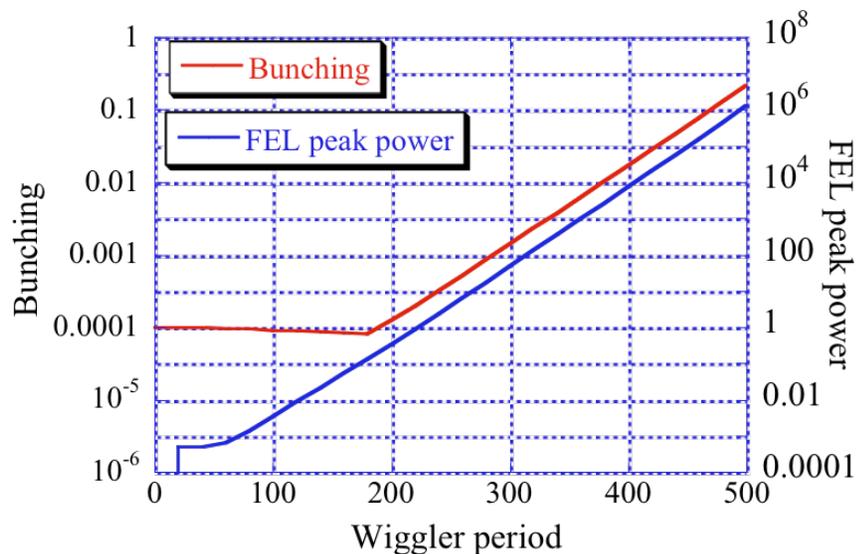
Main FEL parameters for eRHIC with 250 GeV protons

Energy, MeV	136.2	$\gamma$	266.45
Peak current, A	100	$\lambda_o$ , nm	700
Bunchlength, psec	50	$\lambda_w$ , cm	5
Emittance, norm	5 mm mrad	$a_w$	0.994
Energy spread	0.03%	Wiggler	Helical

The amplitude (**blue line**) and the **phase (red line)**, in the units of  $\pi$ ) of the FEL gain envelope after 7.5 gain-lengths (300 period). Total slippage in the FEL is  $300\lambda$ ,  $\lambda=0.5 \mu\text{m}$ . A clip shows the central part of the full gain function for the range of  $\xi=\{50\lambda, 60\lambda\}$ .



# Genesis: 3D FEL



Evolution of the maximum bunching in the e-beam and the FEL power simulated by *Genesis*. The location of the maxima, both for the optical power and the bunching progresses with a lower speed compared with prediction by 1D theory, i.e. electrons carry ~75% for the "information"

Evolution of the maxima locations in the e-beam bunching and the FEL power simulated by *Genesis*. Gain length for the optical power is 1 m (20 periods) and for the amplitude/modulation is 2m (40 periods)

$$v_g \cong \frac{c + 3\langle v_z \rangle}{4} = c \left( 1 - \frac{3}{8} \frac{1 + a_w^2}{\gamma_o^2} \right)$$

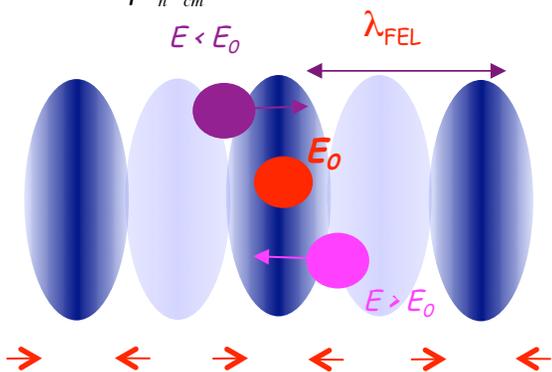
©Y.Hao, V.Litvinenko

# The Kicker

A hadron with central energy ( $E_0$ ) phased with the hill where longitudinal electric field is zero, a hadron with higher energy ( $E > E_0$ ) arrives earlier and is decelerated, while hadron with lower energy ( $E < E_0$ ) arrives later and is accelerated by the collective field of electrons

## Analytical estimation

$$\Delta\varphi = 4\pi\rho \Rightarrow \varphi = -\frac{8G \cdot Ze}{\pi\beta\epsilon_n k_{cm}} \cdot \cos(k_{cm}z); \quad \vec{E} = -\vec{\nabla}\varphi = -\hat{z} \frac{8G \cdot Ze}{\pi\beta\epsilon_n} \cdot \sin(k_{cm}z)$$



## Periodical longitudinal electric field

$$\frac{d\mathbf{E}}{dz} = -eE_{peak} \cdot \sin\left\{kD \frac{\mathbf{E} - \mathbf{E}_0}{\mathbf{E}_0}\right\}; \quad kD\sigma_\delta \sim 1$$

$$\sigma_\delta = \frac{\sigma_E}{\mathbf{E}_0}$$

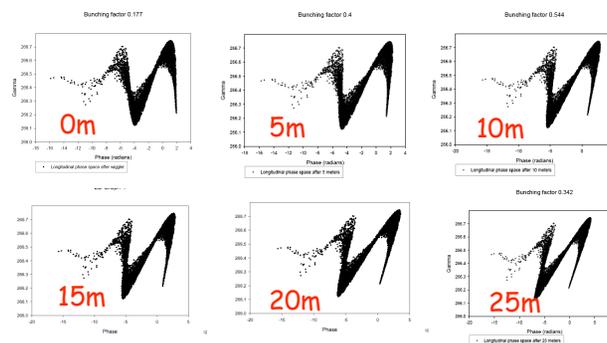
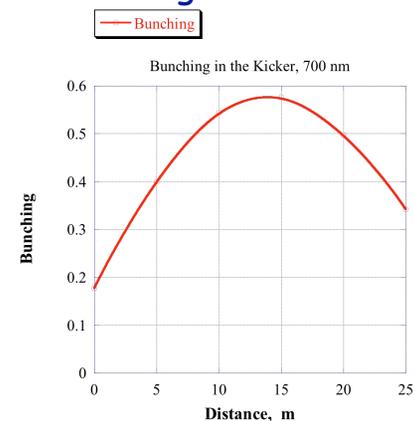
$$\xi_{CEC} = -\frac{\Delta\mathbf{E}}{\mathbf{E} - \mathbf{E}_0} \approx \frac{e \cdot E_0 \cdot l_2}{\gamma_0 m_p c^2 \cdot \sigma_\epsilon} \cdot \frac{Z^2}{A}$$

## Simulations: only started

Step 1: use 3D FEL code out output + tracking  
First simulation indicate that equations on the left significantly underestimate the kick, i.e. the density modulation continues to grow after beam leaves the FEL

Output from Genesis propagated for 25 m

©I.Ben Zvi



Step 2:  
use VORPAL with input from Genesis, in preparation

# Analytical formula for damping decrement

- 1/2 of plasma oscillation in the modulator creates a pancake of electrons with the charge  $-2Ze$
- electron clamp is well within  $\Delta z \sim \lambda_{FEL} / 2\pi$
- gain in SASE FEL is  $G \sim 10^2 - 10^3$
- electron beam is wider than  $2\gamma_o \lambda_{FEL}$  - it is 1D field
- Length of the kicker is  $\sim \beta$ -function

$$\delta = a \cdot \sin \Omega_s t$$

$$\langle \delta^2 \rangle' = - \left\langle 2A \cdot a^2 \cdot \cos^2 \Omega_s t \cdot \sin \left( \frac{a}{\sigma_\delta} \cdot \chi \cdot \sin \Omega_s t \right) \right\rangle$$

$$= -2A \cdot \langle \delta^2 \rangle \cdot J_1 \left( \chi \cdot \frac{a}{\sigma_\delta} \right)$$

$$\xi = - \frac{\Delta E_i}{E - E_o} = A \cdot \frac{L_2}{\beta} \cdot \chi \cdot \frac{\sin \varphi_3}{\varphi_3} \cdot \frac{\sin \varphi_2}{\varphi_2} \cdot \left( \sin \frac{\varphi_1}{2} \right)^2$$

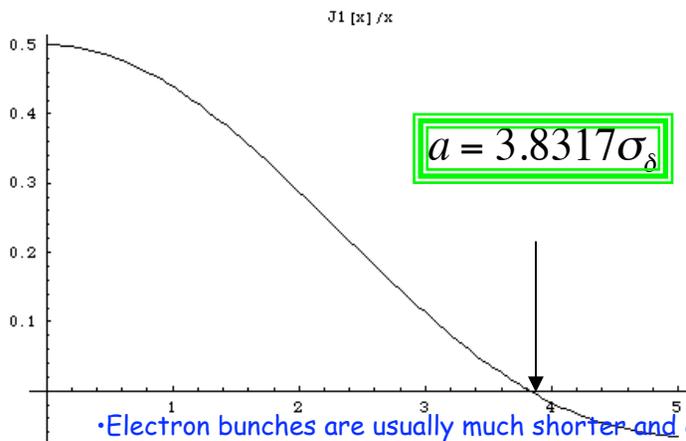
$$A = 2G_o \frac{Z^2}{A} \cdot \frac{r_p}{\varepsilon_{\perp n} \sigma_\delta}; \quad \chi = k_{FEL} D \cdot \sigma_\delta;$$

$$\varphi_3 = k_{FEL} D \delta; \quad \delta = \frac{E - E_o}{E_o}$$

$$\frac{L_2}{\beta} \cdot \chi \cdot \text{sinc}(\varphi_3) \cdot \text{sinc} \varphi_2 \cdot \left( \sin \frac{\varphi_1}{2} \right)^2 \sim 1$$

Beam-Average decrement

$$\int \frac{2J_1(x)}{x} e^{-x^2/2} dx = 0.889$$



$$\chi = 1$$

$$\langle \xi_{CeC} \rangle = \xi \frac{\sigma_{z,e}}{\sigma_{z,h}} = 2 \frac{G_o \sigma_{z,e}}{\sigma_\delta \sigma_{z,h}} \frac{Z^2 r_p}{A \varepsilon_{\perp n}} \cdot \kappa;$$

$$\kappa \sim 1$$

$\varepsilon_1$

## Analytical formula for damping decrement

$$\langle \xi_{CeC} \rangle = \xi \frac{\sigma_{\tau,e}}{\sigma_{\tau,h}} = K \cdot 2G_o \cdot \frac{Z^2}{A} \cdot \frac{r_p \cdot \sigma_{\tau,e}}{\epsilon_{\perp n} (\sigma_{\delta} \cdot \sigma_{\tau,h})}; \quad K \sim 1$$

$$\langle \xi_{CeC} \rangle \sim \frac{1}{\epsilon_{long,h} \epsilon_{trans,h}}$$

Note that damping decrement

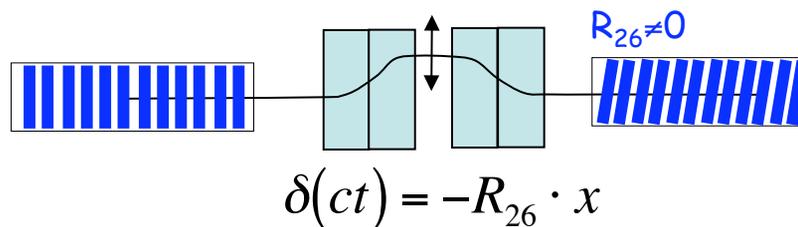
- a) Does not depend on the energy of particles !
- b) Improves as cooling goes on

*It makes it realistic to think about cooling intense proton beam in RHIC & LHC at 100s of GeV and 7 TeV energies  
Even though LHC needs one more trick (back up slides)*

# Transverse cooling

- Transverse cooling can be obtained by using coupling with longitudinal motion via transverse dispersion
- Sharing of cooling decrements is similar to sum of decrements theorem for synchrotron radiation damping, i.e. decrement of longitudinal cooling can be split into appropriate portions to cool both transversely and longitudinally:  $J_s + J_h + J_v = 1$
- Vertical (better to say the second eigen mode) cooling is coming from transverse coupling

Non-achromatic chicane installed at the exit of the FEL before the kicker section turns the wave-fronts of the charged planes in electron beam



$$\Delta E = -eZ^2 \cdot E_o \cdot l_2 \cdot \sin \left\{ k \left( D \frac{\mathbf{E} - \mathbf{E}_o}{E_o} + R_{16}x' - R_{26}x + R_{36}y' + R_{46}y \right) \right\};$$

$$\Delta x = -D_x \cdot eZ^2 \cdot E_o \cdot L_2 \cdot kR_{26}x + \dots$$

$$\xi_{\perp} = J_{\perp} \xi_{CeC}; \quad \xi_{\parallel} = (1 - 2J_{\perp}) \xi_{CeC};$$

$$\frac{d\varepsilon_x}{dt} = -\frac{\varepsilon_x}{\tau_{CeC\perp}}; \quad \frac{d\sigma_{\varepsilon}^2}{dt} = -\frac{\sigma_{\varepsilon}^2}{\tau_{CeC\parallel}}$$

$$\tau_{CeC\perp} = \frac{1}{2J_{\perp} \xi_{CeC}}; \quad \tau_{CeC\parallel} = \frac{1}{2(1 - 2J_{\perp}) \xi_{CeC}};$$

# Example: Coherent electron Cooling vs. IBS at RHIC



J.LeDuff, "Single and Multiple Touschek effects",  
 Proceedings of CERN Accelerator School,  
 Rhodes, Greece, 20 September - 1 October, 1993,  
 Editor: S.Turner, CERN 95-06, 22 November 1995, Vol. II, p. 573

$$\frac{\sigma_\varepsilon^2}{\tau_{IBS//}} = \frac{Nr_c^2 c}{2^5 \pi \gamma^3 \varepsilon_x^{3/2} \sigma_s} \left\langle \frac{f(\chi_m)}{\beta_y v} \right\rangle; \quad \frac{\varepsilon_x}{\tau_{IBS\perp}} = \frac{Nr_c^2 c}{2^5 \pi \gamma^3 \varepsilon_x^{3/2} \sigma_s} \left\langle \frac{H}{\beta_y^{1/2}} f(\chi_m) \right\rangle; \kappa = 1$$

$$f(\chi_m) = \int_{\chi_m}^{\infty} \frac{d\chi}{\chi} \ln\left(\frac{\chi}{\chi_m}\right) e^{-\chi}; \quad \chi_m = \frac{r_c m^2 c^4}{b_{\max} \sigma_E^2}; b_{\max} \equiv n^{-1/3}; r_c = \frac{e^2}{mc^2}; \quad (e \rightarrow Ze; m \rightarrow Am)$$

*IBS in RHIC for 250 GeV,  $N_p = 2 \cdot 10^{11}$  were scaled from the data below  
 Reference value was provided by A.Fedotov using Beta-cool code @ Dubna*

$$X = \frac{\varepsilon_x}{\varepsilon_{x0}}; S = \left(\frac{\sigma_s}{\sigma_{s0}}\right)^2 = \left(\frac{\sigma_E}{\sigma_{E0}}\right)^2;$$

$$\frac{dX}{dt} = \frac{1}{\tau_{IBS\perp}} \frac{1}{X^{3/2} S^{1/2}} - \frac{\xi_{\perp}}{\tau_{CeC}} \frac{1}{S};$$

$$\frac{dS}{dt} = \frac{1}{\tau_{IBS//}} \frac{1}{X^{3/2} S^{1/2}} - \frac{1-2\xi_{\perp}}{\tau_{CeC}} \frac{1}{X};$$

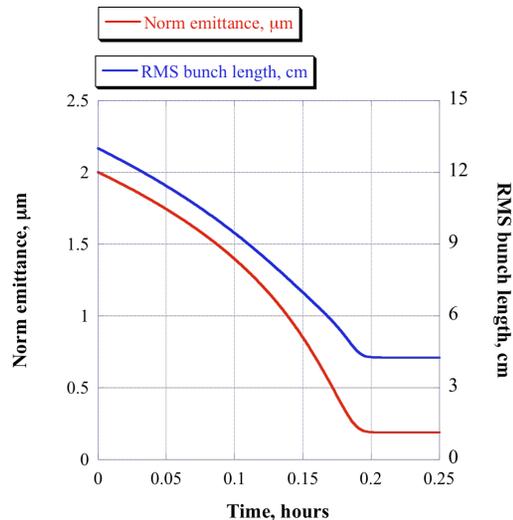
$$\varepsilon_{x0} = 2 \mu\text{m}; \sigma_{s0} = 13 \text{ cm}; \sigma_{\delta 0} = 4 \cdot 10^{-4}$$

$$\tau_{IBS\perp} = 4.6 \text{ hrs}; \tau_{IBS//} = 1.6 \text{ hrs};$$

*Stationary solution:*

$$X = \frac{\tau_{CeC}}{\sqrt{\tau_{IBS//} \tau_{IBS\perp}}} \frac{1}{\sqrt{\xi_{\perp} (1-2\xi_{\perp})}}; \quad S = \frac{\tau_{CeC}}{\tau_{IBS//}} \cdot \sqrt{\frac{\tau_{IBS\perp}}{\tau_{IBS//}}} \cdot \frac{\xi_{\perp}}{\sqrt{(1-2\xi_{\perp})^3}}$$

$$\varepsilon_{xn} = 0.2 \mu\text{m}; \sigma_s = 4.9 \text{ cm}$$



**This may allow**

- a) RHIC pp - keep the luminosity at beam-beam limit all the time
- b) RHIC pp - reduce bunch length to few cm (from present 1 m)
  1. to reduce hourglass effect
  2. To concentrate event in short vertexes of the detectors
- c) eRHIC - reduce polarized beam current down to 50 mA while keeping the same luminosity
- d) eRHIC - increase electron beam energy to 20 GeV
- e) Both - increase luminosity by reducing  $\beta^*$  to 5-10 cm from present 0.5m

# Effects of the surrounding particles



Each charged particle causes generation of an electric field wave-packet proportional to its charge and synchronized with its initial position in the bunch

$$\mathbf{E}_{total}(\xi) = \mathbf{E}_o \cdot \text{Im} \left( X \cdot \sum_{i, \text{hadrons}} K(\xi - \xi_i) e^{ik(\xi - \xi_i)} - \sum_{j, \text{electrons}} K(\xi - \xi_j) e^{ik(\xi - \xi_j)} \right) \quad \mathbf{E}_o = 2G_o \cdot \gamma_o \cdot \frac{e}{\beta \epsilon_{\perp n}}$$

$$X = q/e \cong Z(1 - \cos \varphi_1) \sim Z$$

Evolution of the RMS value resembles stochastic cooling!  
 Best cooling rate achievable is  $\sim 1/N_{eff}$ ,  $N_{eff}$  is effective number of hadrons in coherent sample ( $\Lambda_k = N_c \lambda$ )

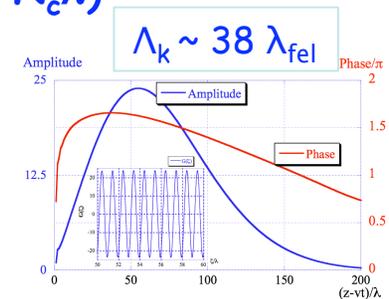
$$\langle \delta^2 \rangle' = -2\xi \langle \delta^2 \rangle + D$$

$$\xi = -g \langle \delta_i \text{Im}(K(\Delta \xi_i) e^{ik\Delta \xi_i}) \rangle / \langle \delta^2 \rangle; \quad D = g^2 N_{eff} / 2;$$

$$g = G_o \frac{Z^2}{A} \frac{r_p}{\epsilon_{\perp n}} \left\{ 2f(\varphi_2)(1 - \cos \varphi_1) \frac{l_2}{\beta} \cdot \right\},$$

$$\Lambda_k = \iint |K(z - \xi)|^2 d\xi$$

$$N_{eff} \cong N_h \frac{\Lambda_k}{\sqrt{4\pi\sigma_{z,h}}} + \frac{N_e}{X^2} \frac{\Lambda_k}{\sqrt{4\pi\sigma_{z,e}}}$$

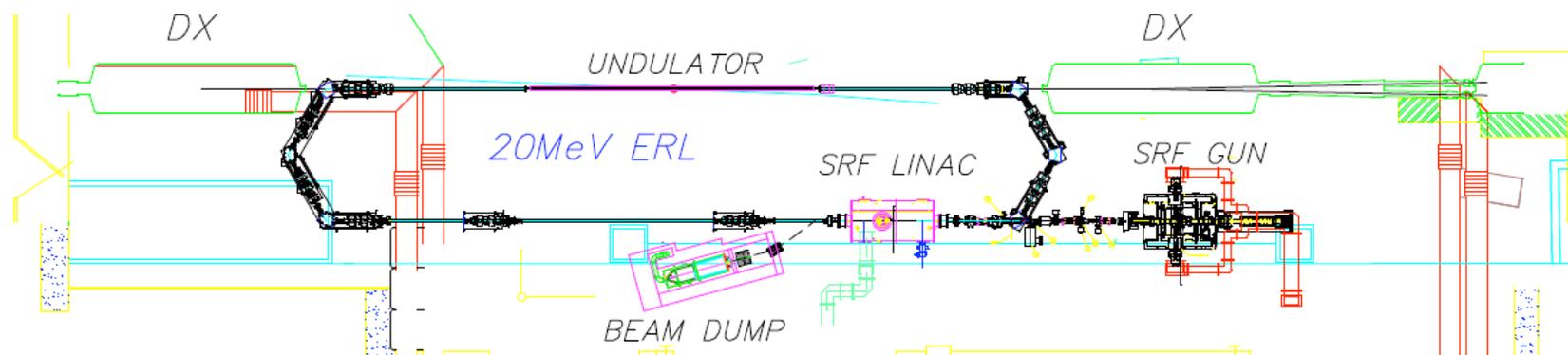


$$\xi_{CeC}(\text{max}) = \frac{\Delta}{2\sigma_\gamma} = \frac{2}{N_{eff}} (kD\sigma_\epsilon) \propto \frac{1}{N_{eff}}$$

Fortunately, the bandwidth of FELs  $\Delta f \sim 10^{13}$ - $10^{15}$  Hz is so large that this limitation does not play any practical role in most HE cases

## Possible layout using 20 MeV BNL's R&D ERL for the Proof-of-principle of Coherent Electron Cooling

Ions, N per bunch	1 10 <sup>9</sup>	Z, A	79, 197
Energy Au, GeV/n	40	$\gamma$	42.63
RMS bunch length, nsec	3.2	Relative energy spread	0.037%
Emittance norm, $\mu\text{m}$	2.5	$\beta_{\perp}$ , m*	8
Electrons, energy, MeV	21.79	Peak current, A	60
Charge per bunch, nC	5 (or 4 x 1.4)	Bunch length, RMS, psec	83
Emittance norm, $\mu\text{m}$	5 (4)	Relative energy spread	0.15%
$\beta_{\perp}$ , m	5	Modulator, m	4



$\lambda_{\text{FEL}}$ , $\mu\text{m}$	18	$\lambda_w$ , cm	5
$a_w$	0.555	$L_{G0}$ , m	0.67
Amplitude gain = 150, $L_w$ , m	6.75 (7)	$L_{G3D}$ , m	1.35
Kicker, m	3	Cooling time, local, minimum	0.05 minutes
$N_{\text{turns}}$ , $\tilde{N}$ , 5% BW	$8 \cdot 10^6 > 6 \cdot 10^4$	Cooling time, beam, min	2.6 minutes

# Conclusions



- Coherent electron cooling has potential of cooling high intensity TeV scale hadron beams for significant luminosity increases in hadron colliders from RHIC to LHC
- Electron accelerator of choice for such cooler is energy recovery linac (ERL)
- ERL seems to be capable of providing required beam quality for such coolers
- Majority of the technical limitation and requirements on the beam and magnets stability are well within limit of current technology, even though satisfying all of them in nontrivial fit
- We plan a proof of principle experiment of coherent electron cooling with Au ions in RHIC at  $\sim 40$  GeV/n and existing R&D ERL as part of eRHIC R&D

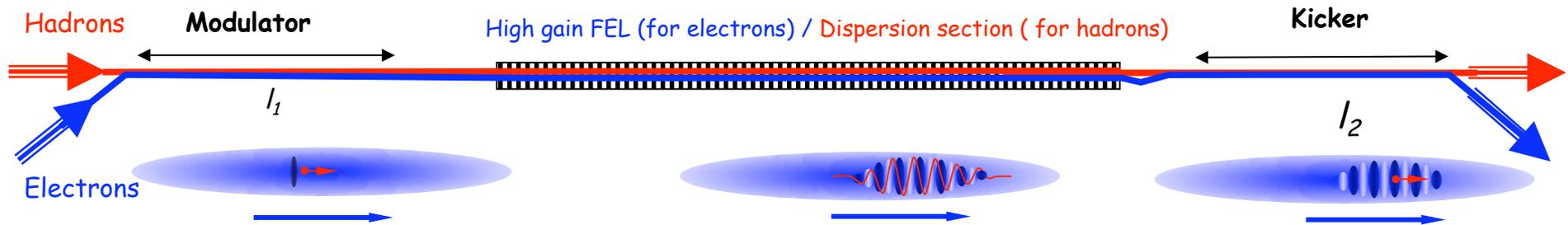
*Supported by the Office on Nuclear Physics, US DoE*

# Back-ups

# Coherent electron cooling, ultra-relativistic case ( $\gamma \gg 1$ )



## Economic option



**Electrons** Modulator: region 1  
a quarter to a half  
of plasma oscillation

**Amplifier of the e-beam  
modulation via High Gain  
FEL and  
Longitudinal dispersion  
for hadrons**

**Kicker: region 2**

Electron density modulation is amplified in the FEL and made into a train with duration of  $N_c \sim L_{\text{gain}}/\lambda_w$  alternating hills (high density) and valleys (low density) with period of FEL wavelength  $\lambda$ . Maximum gain for the electron density of HG FEL is  $\sim 10^3$ .

$$v_{\text{group}} = (c + 2v_{\parallel})/3 = c \left( 1 - \frac{1 + a_w^2}{3\gamma^2} \right) = c \left( 1 - \frac{1}{2\gamma^2} \right) + \frac{c}{3\gamma^2} (1 - 2a_w^2) = v_{\text{hadrons}} + \frac{c}{3\gamma^2} (1 - 2a_w^2)$$

**Economic option requires:  $2a_w^2 < 1$  !!!**

# Response - 1D FEL after 10 gain lengths

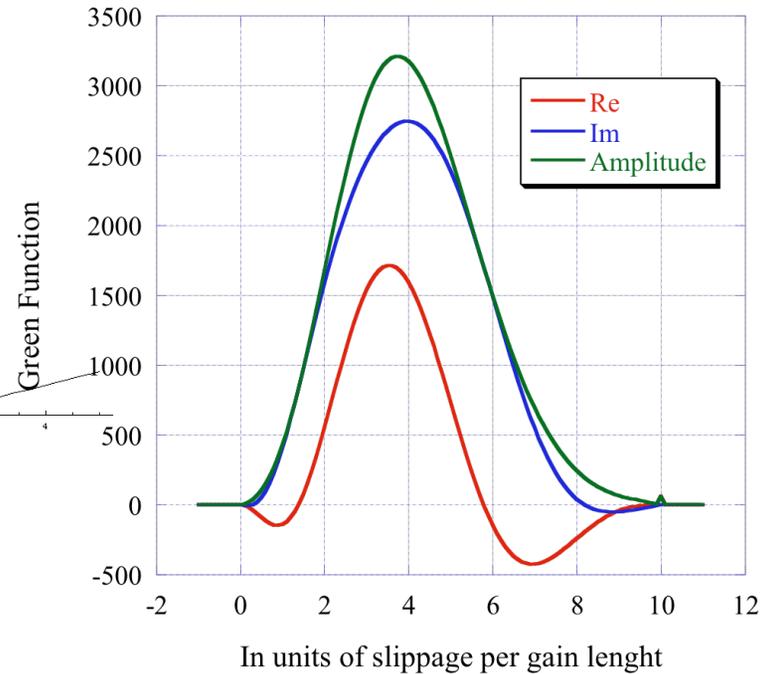


$$\left\{ \left\{ x \rightarrow \frac{1}{3} \left( -2ic - \frac{2^{1/3} c^2}{(27i - 2ic^3 + 3\sqrt{3}\sqrt{-27+4c^3})^{1/3}} + \frac{(27i - 2ic^3 + 3\sqrt{3}\sqrt{-27+4c^3})^{1/3}}{2^{1/3}} \right) \right\}, \right.$$

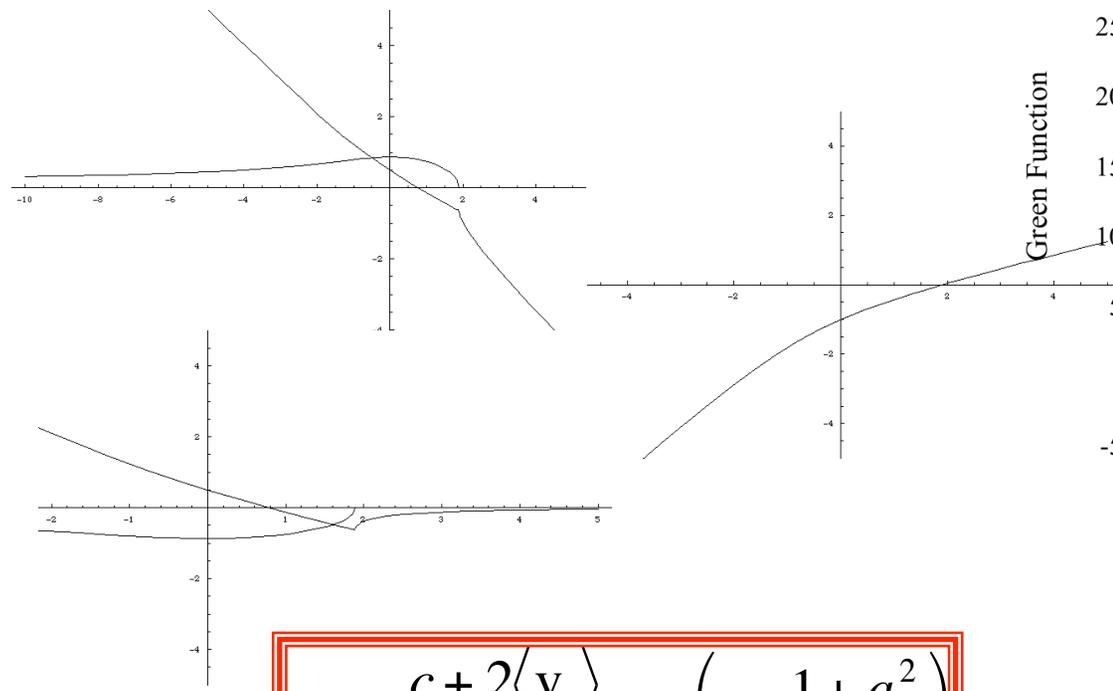
$$\left\{ x \rightarrow -\frac{2ic}{3} + \frac{(1+i\sqrt{3})c^2}{3 \cdot 2^{2/3} (27i - 2ic^3 + 3\sqrt{3}\sqrt{-27+4c^3})^{1/3}} - \frac{(1-i\sqrt{3})(27i - 2ic^3 + 3\sqrt{3}\sqrt{-27+4c^3})^{1/3}}{6 \cdot 2^{1/3}} \right\},$$

$$\left. \left\{ x \rightarrow -\frac{2ic}{3} + \frac{(1-i\sqrt{3})c^2}{3 \cdot 2^{2/3} (27i - 2ic^3 + 3\sqrt{3}\sqrt{-27+4c^3})^{1/3}} - \frac{(1+i\sqrt{3})(27i - 2ic^3 + 3\sqrt{3}\sqrt{-27+4c^3})^{1/3}}{6 \cdot 2^{1/3}} \right\} \right\}$$

Green-function envelope (Abs, Re and Im)



Maximum located at 3.744 slippage units, (i.e. just a bit further than expected 3 and 1/3)  
 The Green function (with oscillations) had effective RMS length of 1.48 slippage units.



$$v_g = \frac{c + 2\langle v_z \rangle}{3} = c \left( 1 - \frac{1 + a_w^2}{3\gamma_o^2} \right)$$

# FEL's Green Function\_

1D - analytical approach  $G(\tau; z) = \text{Re}(\tilde{G}_z(\tau) e^{i\omega_o\tau})$

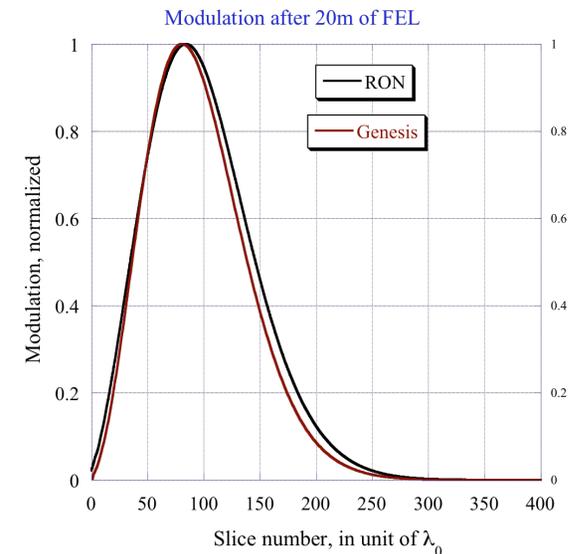
3D - 3D FEL codes RON and Genesis 1.3

## FEL parameters for Genesis 1.3 and RON simulations

FEL gain length: 1 m (power), 2m (amplitude)

Main FEL parameters for eRHIC with 250 GeV protons

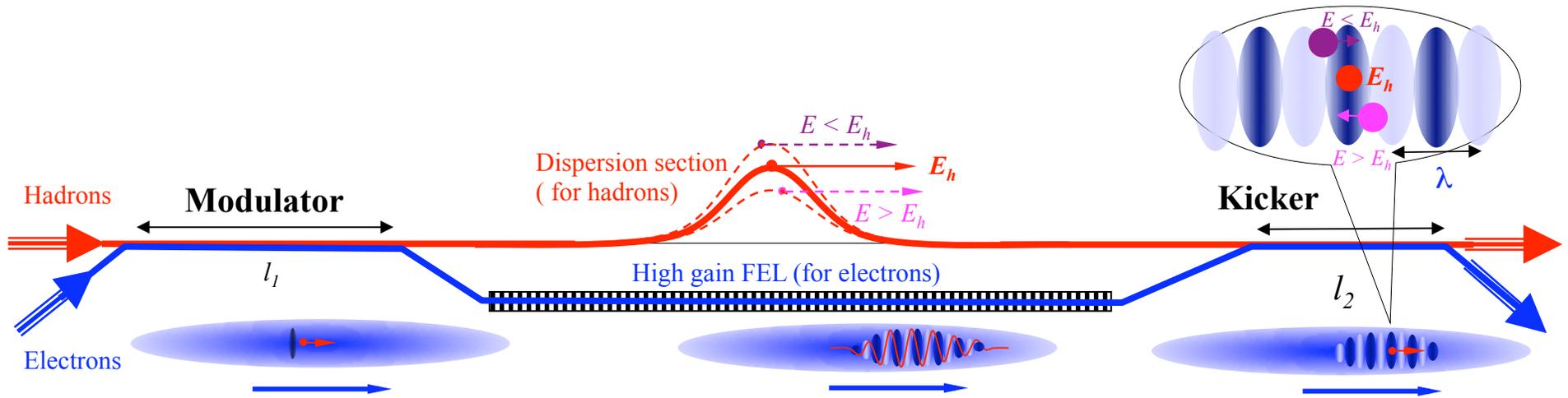
Energy, MeV	136.2	$\gamma$	266.45
Peak current, A	100	$\lambda_o$ , nm	700
Bunchlength, psec	50	$\lambda_w$ , cm	5
Emittance, norm	5 mm mrad	$a_w$	0.994
Energy spread	0.03%	Wiggler	Helical



# Coherent electron Cooling: FEL response

$$f_{input}(\vec{r}_{\perp}, \vec{p}, t) = f_{o\ input}(\vec{r}_{\perp}, \vec{p}) + \delta f(\vec{r}_{\perp}, \vec{p}, t)$$

$$f_{exit}(\vec{r}_{\perp}, \vec{p}, t) = f_{o\ exit}(\vec{r}_{\perp}, \vec{p}) + \int K(\vec{r}_{\perp}, \vec{p}, \vec{r}_{\perp 1}, \vec{p}_1, t - t_1) \cdot \delta f(\vec{r}_1, \vec{p}_1, t_1) \cdot d\vec{r}_{\perp 1} d\vec{p}_1 dt_1$$



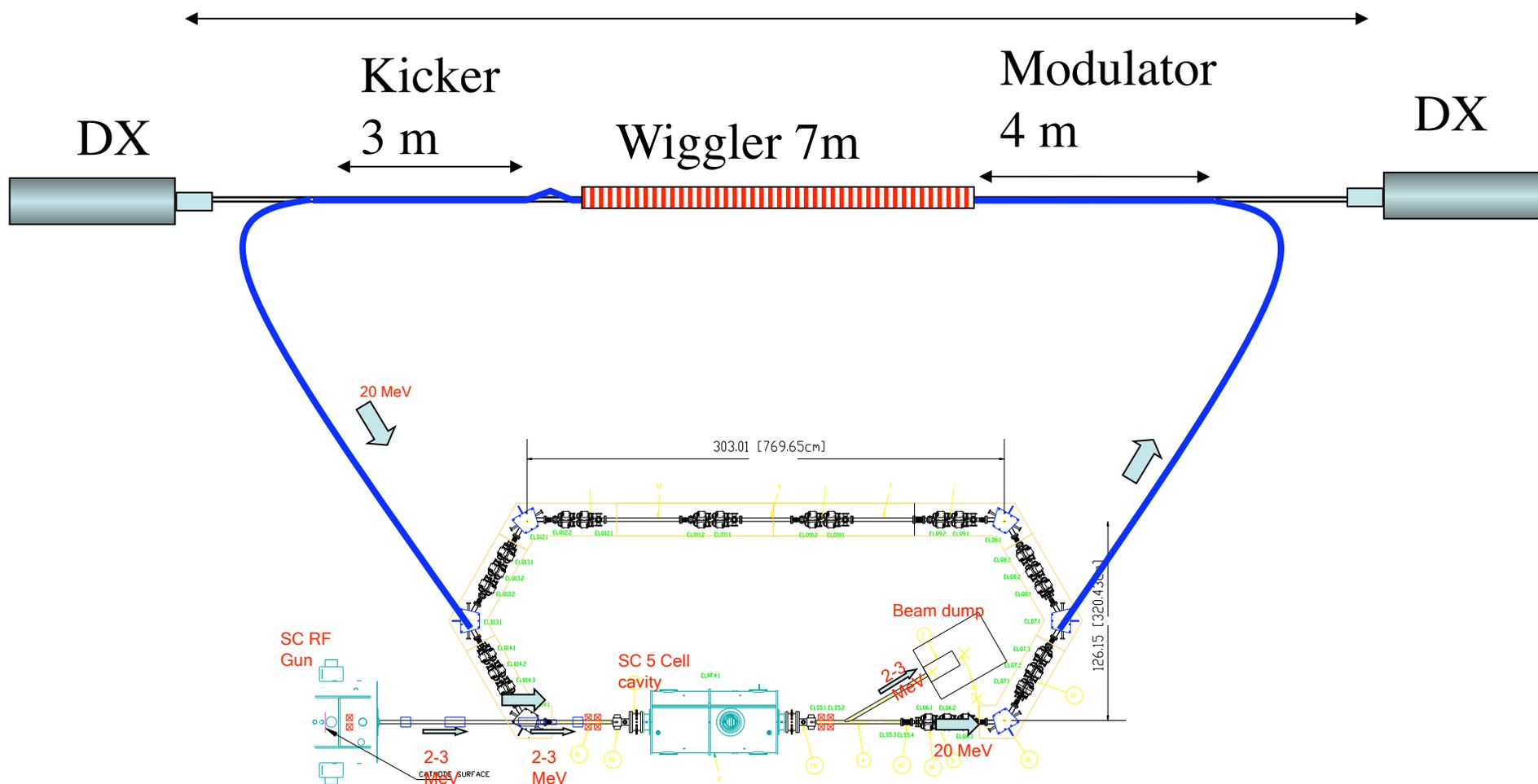
## 1D FEL response

$$\rho_{exit}(t; z) = \rho_o + \int G(\tau; z) \cdot \delta\rho(t - \tau; 0) \cdot d\tau$$

$$G(\tau; z) = \text{Re}\left(\tilde{G}_z(\tau) e^{i\omega_o\tau}\right) \quad \omega_o = \frac{2\pi c}{\lambda_o}$$

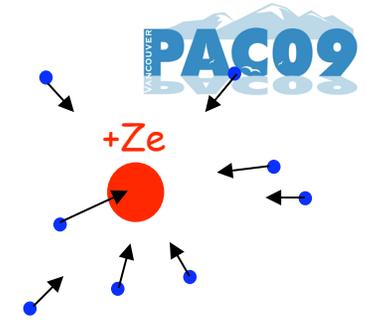
# Possible layout for Coherent Electron Cooling proof-of-principle experiment

19.6 m



# Modulator

## Dimensionless equations of motion



$$\frac{\partial f_e}{\partial t} + \frac{\partial f_e}{\partial \vec{v}} \cdot \frac{e\vec{E}}{m} + \frac{\partial f_e}{\partial \vec{r}} \cdot \vec{v} = 0; \quad \vec{r}_h(t) \cong \vec{r}_o + \vec{v}_h t; \quad \frac{\partial f_e}{\partial \tau} + \frac{\partial f_e}{\partial \vec{v}} \cdot \vec{g} + \frac{\partial f_e}{\partial \vec{\rho}} \cdot \vec{v} = 0; \quad \vec{g} = \frac{e\vec{E}}{m\omega_p^2 s};$$

$$(\vec{\nabla} \cdot \vec{E}) = 4\pi en_e \left( \frac{Z}{n_e} \delta(\vec{r} - \vec{r}_h(t)) - \int f_e d\vec{v}^3 \right). \quad (\vec{\nabla}_n \cdot \vec{g}) = \frac{Z}{s^3 n_e} \delta(\vec{\rho} - \vec{\rho}_i(t)) - \int f_e d\vec{v}^3; \quad \vec{\nabla}_n \equiv \partial_{\vec{\rho}}.$$

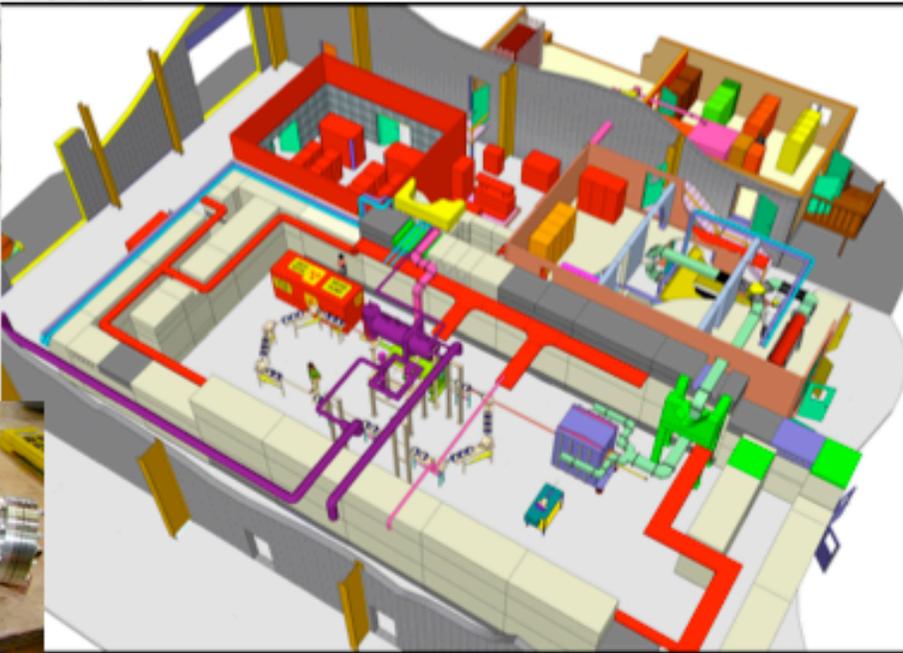
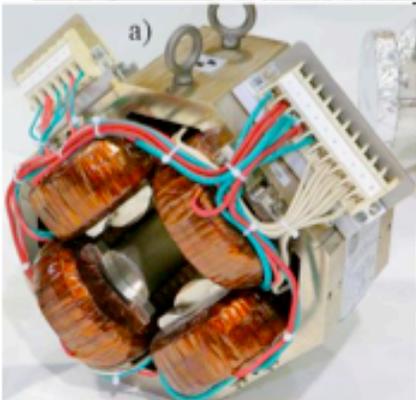
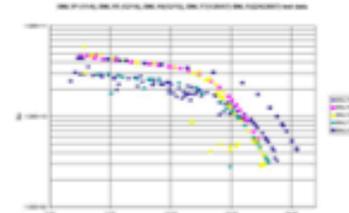
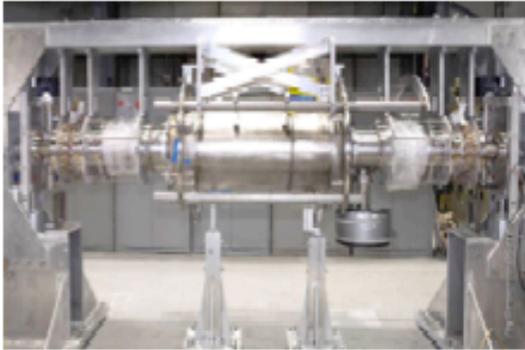
$$t = \tau / \omega_p; \quad \vec{v} = \vec{v} \sigma_{v_z}; \quad \vec{r} = \vec{\rho} \sigma_{v_z} / \omega_p; \quad \omega_p^2 = \frac{4\pi e^2 n_e}{m} \quad s = r_{D_z} = \sigma_{v_z} / \omega_p$$

## Parameters of the problem

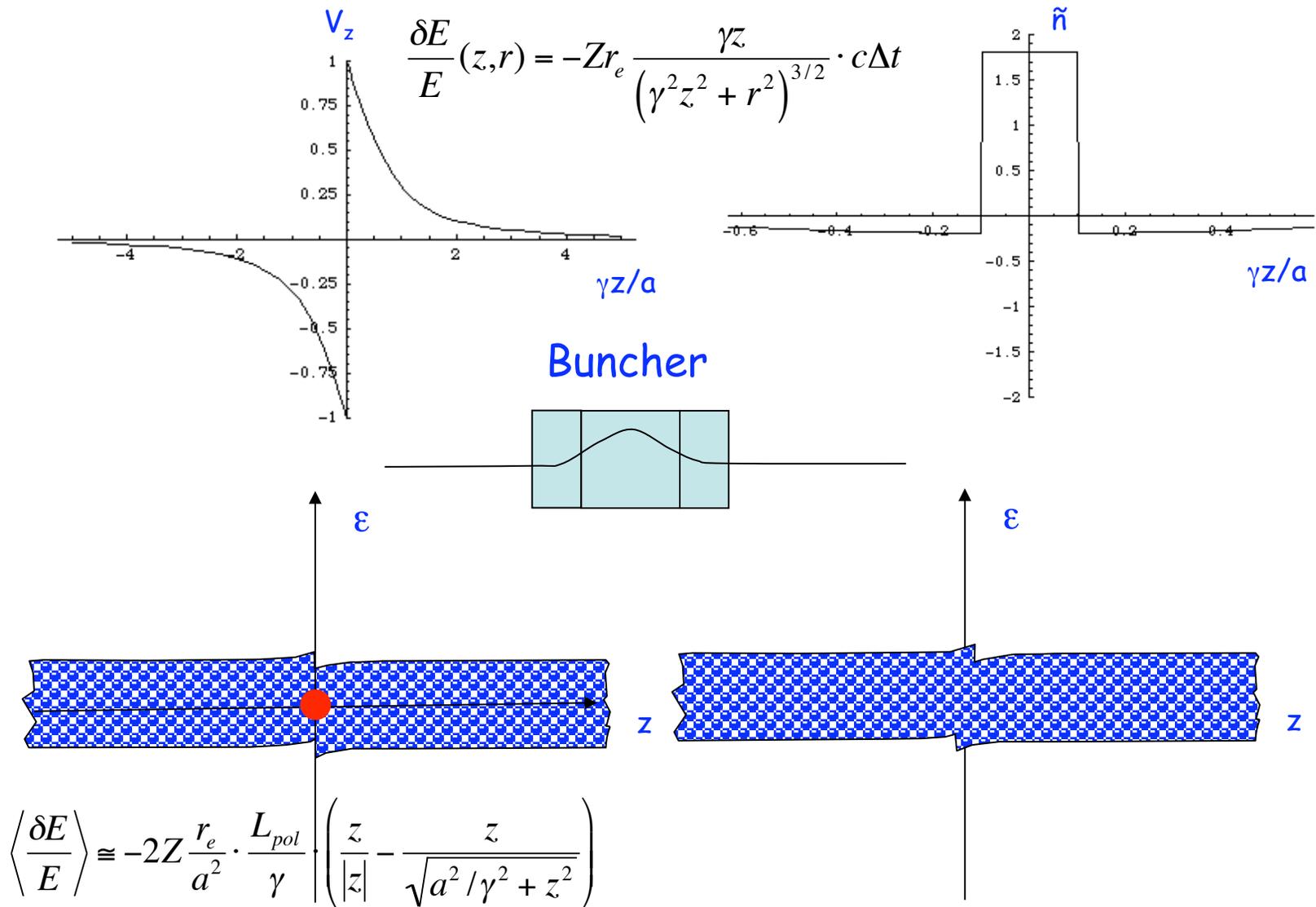
$$R = \frac{\sigma_{v_\perp}}{\sigma_{v_z}}; \quad T = \frac{v_{hx}}{\sigma_{v_z}}; \quad L = \frac{v_{hz}}{\sigma_{v_z}}; \quad \xi = \frac{Z}{4\pi n_e R^2 s^3};$$

$$A = \frac{a}{s}; \quad X = \frac{x_{ho}}{a}; \quad Y = \frac{y_{ho}}{a}.$$

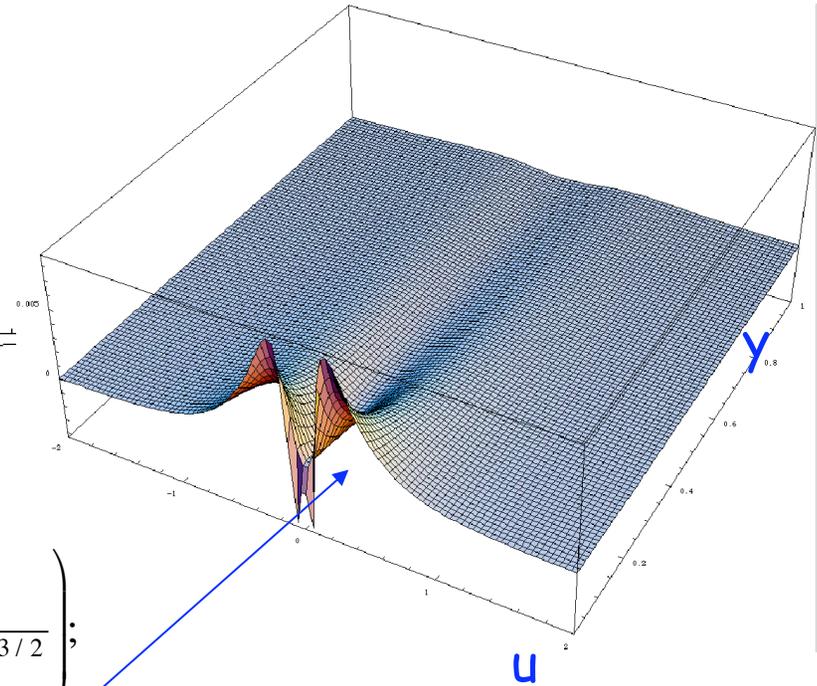
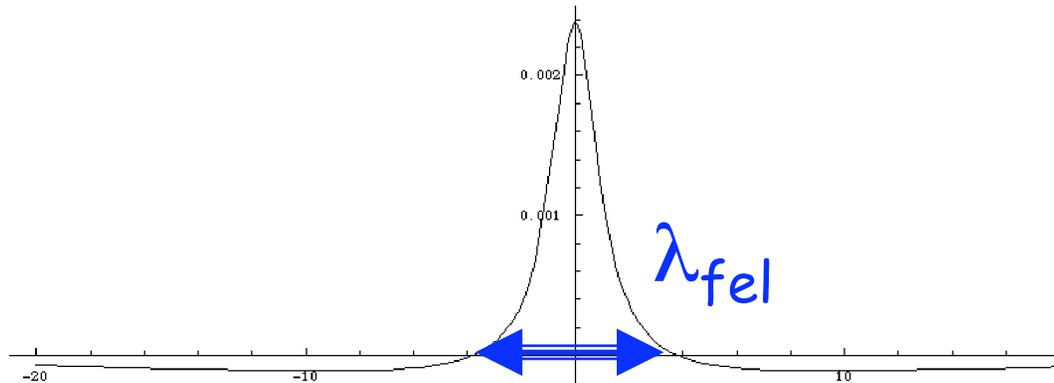
# R&D ERL Commissioning start 2009



# Velocity map & buncher ( $\gamma > 1000$ )



# Exact calculations: solving Vlasov equation



$$f_o(r, \vec{p}_\perp, z, \gamma) = \frac{\theta(r-a) \cdot \theta(z-l_z)}{a^2/2 \cdot l_z} \cdot \frac{1}{\sqrt{2\pi}\sigma_\gamma} e^{-\frac{(\gamma-\gamma_o)^2}{2\sigma_\gamma^2}} \cdot g(\vec{p}_\perp)$$

$$\frac{\delta\gamma}{\gamma_o} = \frac{\delta\gamma_i}{\gamma_o} - A \frac{\gamma_o z_i}{(r_i^2 + \gamma_o^2 z_i^2)^{3/2}}; \quad \vec{z} = \vec{z}_i + D \left( \frac{\delta\gamma_i}{\gamma_o} - A \frac{\gamma_o z_i}{(r_i^2 + \gamma_o^2 z_i^2)^{3/2}} \right);$$

$$l_z \rho(z) = \Phi(s) = \frac{1}{\kappa^2 \sqrt{2\pi}} \int_0^{\kappa^2} dy \int_{-L/2}^{L/2} \exp \left\{ -\frac{1}{2} \left( s - u \left( 1 - \frac{G}{(y+u^2)^{3/2}} \right) \right)^2 \right\} \exp \left\{ -\frac{(s-u)^2}{2} \right\} du;$$

$$G = Z \frac{r_e L_{\text{mod}} |D|}{(\gamma_o \sigma_{p_1} |D|)^3}; \quad \kappa = \frac{a}{\gamma_o \sigma_{p_1} |D|}; \quad L = \frac{l_z}{\sigma_{p_1} |D|}$$

$$u = \frac{x_1}{\sigma_{p_1} |D|}; \quad s = \frac{z}{\sigma_{p_1} |D|}; \quad y = \frac{r^2}{(\gamma_o \sigma_{p_1} |D|)^2}$$

For 7 TeV p in LHC CeC case: simple "gut-feeling" estimate gave 22.9 boost in the induced charge by a buncher, while exact calculations gave 21.7.

# Comprehensive studies

Analytical, Numerical and Computer Tools to:

1. find reaction (*distortion of the distribution function of electrons*) on a presence of moving hadron inside an electron beam

$$\frac{\partial f_e}{\partial t} + \frac{\partial f_e}{\partial \vec{v}} \cdot \frac{e\vec{E}}{m} + \frac{\partial f_e}{\partial \vec{r}} \cdot \vec{v} = 0; \quad \vec{r}_h(t) \equiv \vec{r}_o + \vec{v}_h t;$$

$$(\vec{\nabla} \cdot \vec{E}) = 4\pi en_e \left( \frac{Z}{n_e} \delta(\vec{r} - \vec{r}_h(t)) - \int f_e d\vec{v}^3 \right).$$

$$f \Rightarrow f_o + \delta f$$

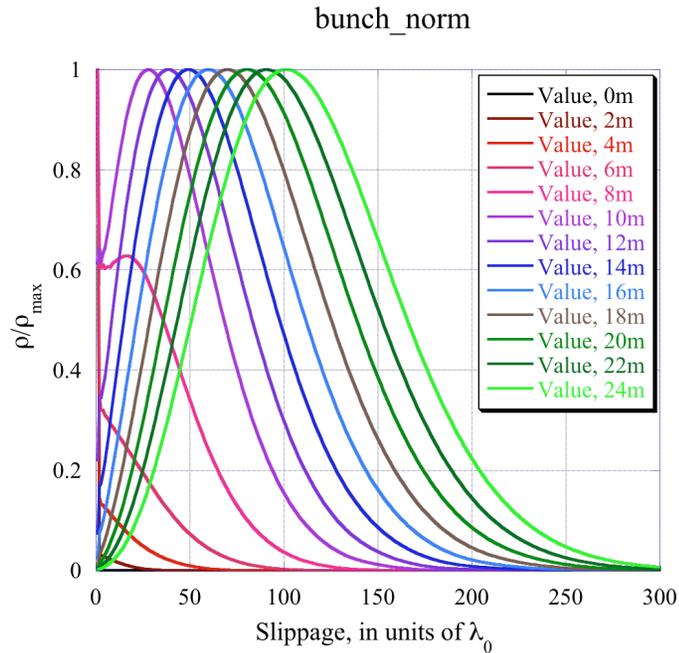
- 2a. Find how an arbitrary  $\delta f$  is amplified in high-gain FEL

$$f_{exit}(\vec{r}_\perp, \vec{p}, t) = f_{o\ exit}(\vec{r}_\perp, \vec{p}) + \int K(\vec{r}_\perp, \vec{p}, \vec{r}_{\perp 1}, \vec{p}_1, t - t_1) \cdot \delta f(\vec{r}_1, \vec{p}_1, t_1) \cdot d\vec{r}_{\perp 1} d\vec{p}_1 dt_1$$

- 2b. Design cost effective lattice for hadrons + coupling

3. Find how the amplified reaction of the e-beam acts on the hadron (including coupling to transverse motion)

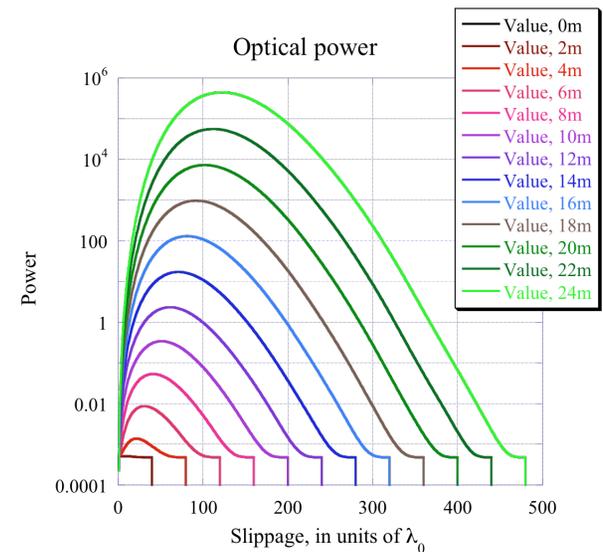
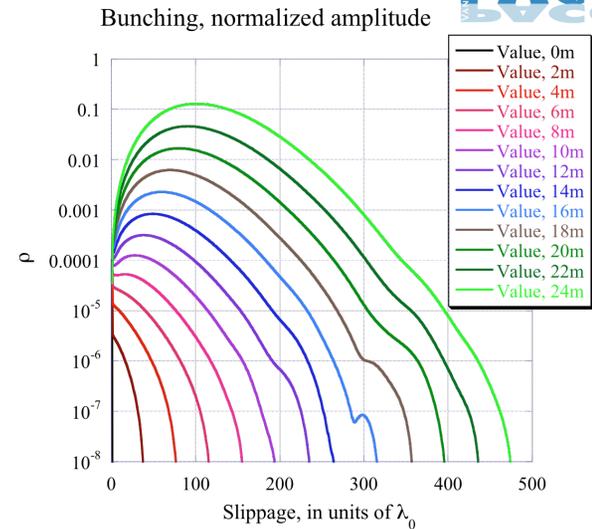
# Genesis: 3D FEL



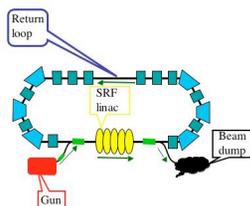
Evolution of the normalized bunching envelope

The Green function (with oscillations) after 10 gain-lengths had also smaller effective RMS length [1] of 0.96 slippage units (i.e. about 38 optical wavelengths, or 27 microns)

©Y.Hao, V.Litvinenko, S.Reiche



Evolution of the bunching and optical power envelopes (vertical scale is logarithmic)



## PoP test using BNL R&D ERL: Au ions in RHIC with 40 GeV/n, $L_{\text{cooler}} = 14$ m

N per bunch	$1 \cdot 10^9$	Z, A	79, 197
Energy Au, GeV/n	40	$\gamma$	42.63
RMS bunch length, nsec	3.2	Relative energy spread	0.037%
Emittance norm, $\mu\text{m}$	2.5	$\beta_{\perp}$ , m*	8
Energy $e^-$ , MeV	21.79	Peak current, A	60
Charge per bunch, nC	5 (or $4 \times 1.4$ )	Bunch length, RMS, psec	83
Emittance norm, $\mu\text{m}$	5 (4)	Relative energy spread	0.15%
$\beta_{\perp}$ , m	5	$L_1$ (lab frame) ,m	4
$\omega_{pe}$ , CM, Hz	$5.03 \cdot 10^9$	Number of plasma oscillations	0.256
$\lambda_{D\perp}$ , $\mu\text{m}$	611	$\lambda_{D\parallel}$ , $\mu\text{m}$	3.3
$\lambda_{\text{FEL}}$ , $\mu\text{m}$	18	$\lambda_w$ , cm	5
$a_w$	0.555	$L_{G0}$ , m	0.67
Amplitude gain =150, $L_w$ , m	6.75 (7)	$L_{G3D}$ , m	1.35
$L_2$ (lab frame) ,m	3	Cooling time, local, minimum	0.05 minutes
$N_{\text{turns}}$ , $\tilde{N}$ , 5% BW	$8 \cdot 10^6 > 6 \cdot 10^4$	Cooling time, beam, min	2.6 minutes

## 325 GeV polarized protons in RHIC, $L_{\text{cooler}}$ fits in IR

N per bunch	$2 \cdot 10^{11}$	Z, A	1, 1
Energy Au, GeV/n	250	$\gamma$	266.45
RMS bunch length, nsec	1	Relative energy spread	0.04%
Emittance norm, $\mu\text{m}$	2.5	$\beta_{\perp}$ , m	10
Energy $e^{-}$ , MeV	136.16	Peak current, A	100
Charge per bunch, nC	5	Bunch length, nsec	0.2
Emittance norm, $\mu\text{m}$	3	Relative energy spread	0.04%
$\beta_{\perp}$ , m	10	$L_1$ (lab frame) ,m	30
$\omega_{pe}$ , CM, Hz	$4.19 \cdot 10^9$	Number of plasma oscillations	0.25
$\lambda_{DL}$ , $\mu\text{m}$	1004	$\lambda_{D  }$ , $\mu\text{m}$	0.17
$\lambda_{FEL}$ , $\mu\text{m}$	0.5	$\lambda_w$ , cm	5
$a_w$	0.648	$L_{G0}$ , m	0.87
Amplitude gain =100, $L_w$ , m	13 (-> 15)	$L_{G3D}$ , m	1.22
$L_2$ (lab frame) ,m	10	Cooling time, local, min	1.96
$N_{\text{min turns}}$ or $\tilde{N}$ in 10% BW	$6.7 \cdot 10^6 > 5.9 \cdot 10^6$	Cooling time, beam, min	49.2

# Au ions in RHIC with 100 GeV/n, $L_{\text{cooler}} \sim 20$ m

N per bunch	$2 \cdot 10^9$	Z, A	79, 197
Energy Au, GeV/n	100	$\gamma$	106.58
RMS bunch length, nsec	1	Relative energy spread	0.1%
Emittance norm, $\mu\text{m}$	2.5	$\beta_{\perp}$ , m	5
Energy $e^-$ , MeV	54.5	Peak current, A	50
Charge per bunch, nC	5	Bunch length, nsec	0.1
Emittance norm, $\mu\text{m}$	3	Relative energy spread	0.1%
$\beta_{\perp}$ , m	10	$L_1$ (lab frame) ,m	8.5
$\omega_{pe}$ , CM, Hz	$5.9 \cdot 10^9$	Number of plasma oscillations	0.25
$\lambda_{D\perp}$ , $\mu\text{m}$	78	$\lambda_{D\parallel}$ , $\mu\text{m}$	0.75
$\lambda_{\text{FEL}}$ , $\mu\text{m}$	3	$\lambda_w$ , cm	5
$a_w$	0.603	$L_{G0}$ , m	0.5
Amplitude gain =200, $L_w$ , m	8.11 (-> 9)	$L_{G3D}$ , m	0.77
$L_2$ (lab frame) ,m	5	Cooling time, local, minimum	0.08 minutes
$N_{\text{min turns}}$ or $\tilde{N}$ in 5% BW	$6 \cdot 10^5 > 2 \cdot 10^5$	Cooling time, beam, min	1.93 minutes

# 7 TeV protons in LHC: CeC ~200m

## Potential of 4x increase in luminosity



N per bunch	$1.4 \cdot 10^{11}$	Z, A	1, 1
Energy Au, GeV/n	7000	$\gamma$	7460
RMS bunch length, nsec	0.25	Relative energy spread	0.0113%
Emittance norm, $\mu\text{m}$	3.8	$\beta_{\perp}$ , m	47
Energy $e^{-}$ , MeV	3,812	Peak current, A	100
Charge per bunch, nC	5	Bunch length, nsec	0.05
Emittance norm, $\mu\text{m}$	3	Relative energy spread	0.01%
$\beta_{\perp}$ , m	59	$L_1$ (lab frame) ,m	70
$\omega_{pe}$ , CM, Hz	$2.44 \cdot 10^9$	Number of plasma oscillations	0.0121
$\lambda_{D\perp}$ , mm	3.7	$\lambda_{D\parallel}$ , $\mu\text{m}$	0.17
$\lambda_{FEL}$ , $\mu\text{m}$	0.01	$\lambda_w$ , cm	5
$a_w$	4.61	$L_{G0}$ , m	2.7
Amplitude gain =1000, $L_w$ , m	61.8	$L_{G3D}$ , m	3.9
$L_2$ (lab frame) ,m	35	Cooling time, local, min	3 minutes
$N_{\min}$ turns or $\tilde{N}$ in 10% BW	$2 \cdot 10^6 \gg 2.8 \cdot 10^5$	Cooling time, beam	23 minutes