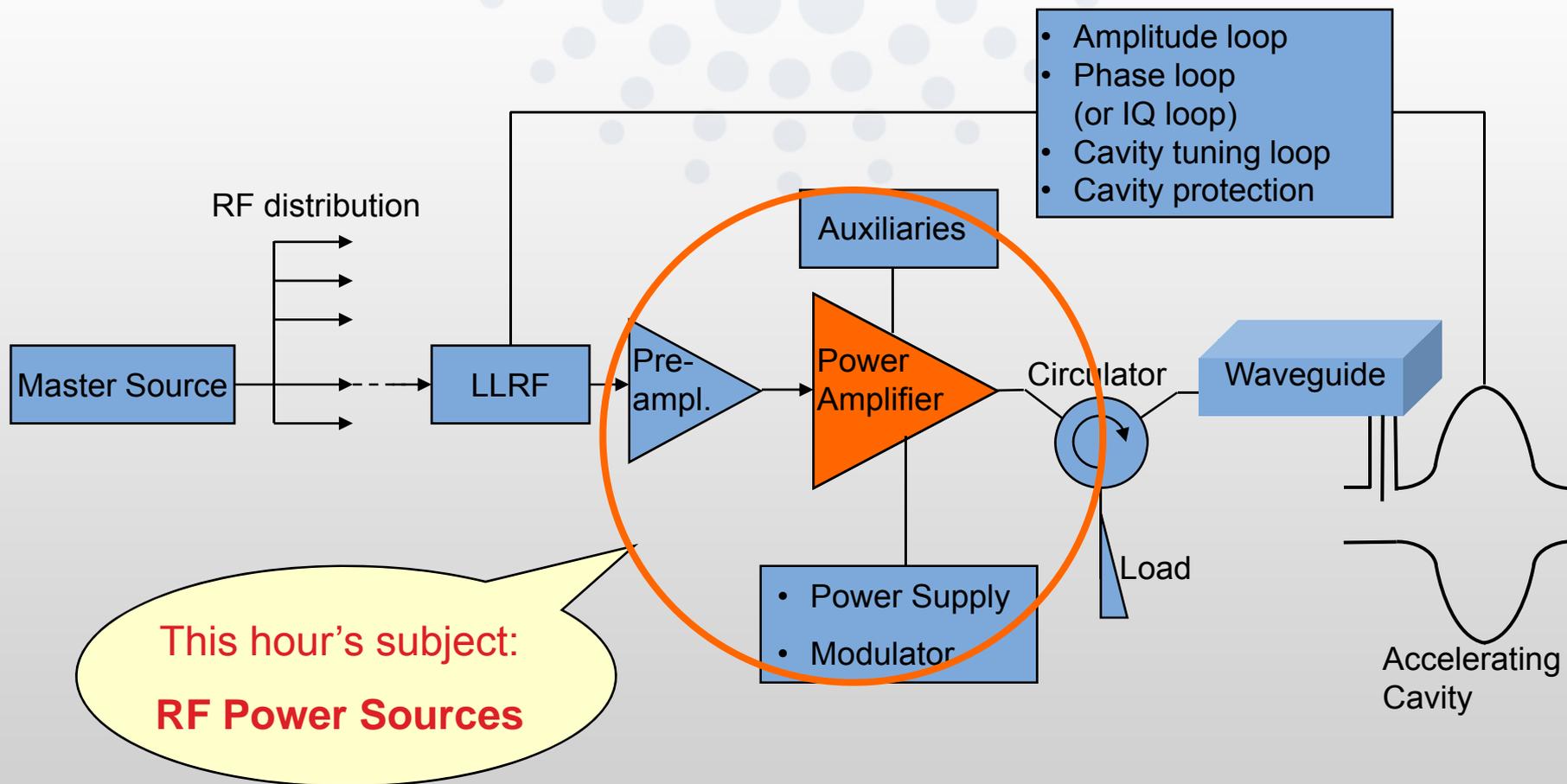


# SRF'2009 tutorial program

# RF Power Sources

Jörn Jacob, ESRF

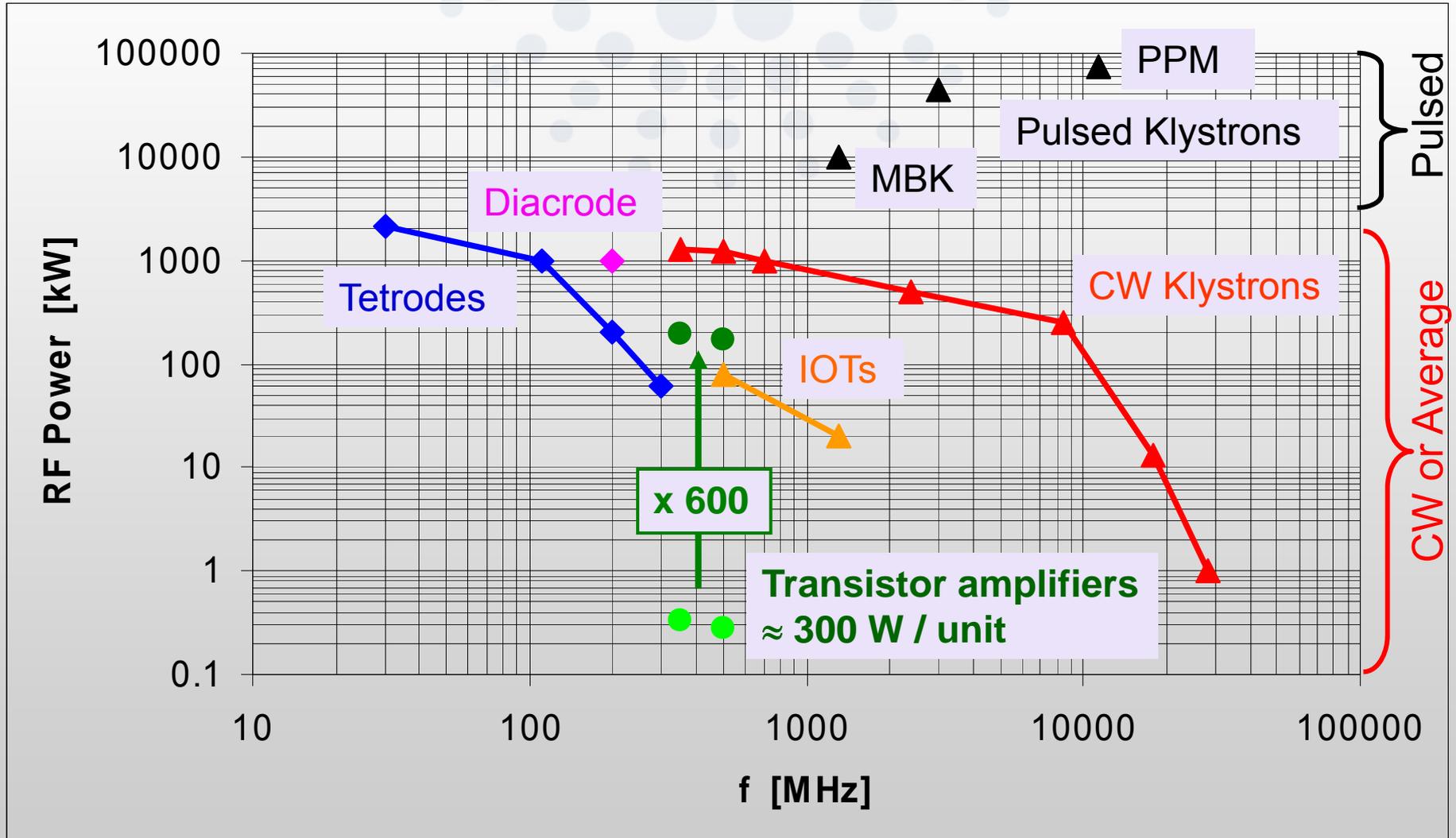
# RF transmitters for accelerating cavities



# Starting point: specification

- Frequency: *100 MHz ... 10 GHz*
- Operation: *cw or pulsed*
- Power: *10 kW (cw)... 100 MW (pulsed)*
- Gain
- Efficiency [mains to RF conversion]
- Stability, Phase noise: amplifier & power supply / modulator
  - For instance, modern light Sources, in particular FEL require extremely small phase jitter ( $< 0.1 \dots 0.01$  deg) and voltage ripple ( $< 0.1 \dots 0.01$  %)
- Reliability, MTBF
- Durability
- Availability
- Cost: procurement, operation, maintenance

# RF power sources for accelerating cavities



# Other RF power sources

Other sources generally **not used** to power **accelerating cavities**

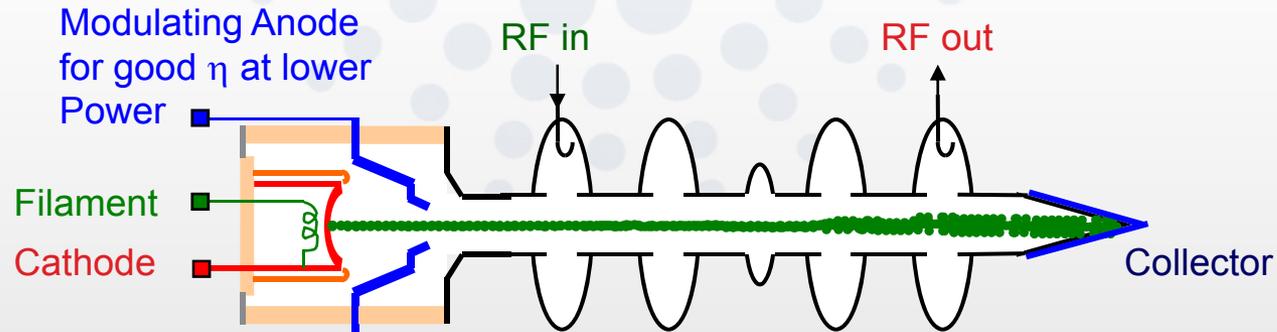
- Gyrotrons: typically 90...170 GHz, 1 MW, 5 ...10 s pulses for plasma heating (fusion) and industrial applications
- TWT: broadband RF tube, used in some broadband feedback systems
- Magnetrons: RF oscillator source
- ...

# Tetrode

- Tetrode:
  - Vacuum tube with intensity modulation of the electron beam
  - Accelerator applications up to 300...400 MHz: finite electron drift time limits the achievable gain at much higher frequencies
  - Tetrode transmitters deliver between 10 kW and 2 MW CW or average power, correspondingly more in pulsed mode.
  
- Diacrode TH 628 / Thales:
  - With its symmetric geometry optimized for the coupling to a  $\lambda/2$  coaxial resonator, the *diacrode* achieves twice as much frequency-power product as a conventional tetrode
  - 200 MHz: 1 MW cw  
4.5 MW in 500  $\mu$ s pulses / 1 % duty cycle



# Klystron

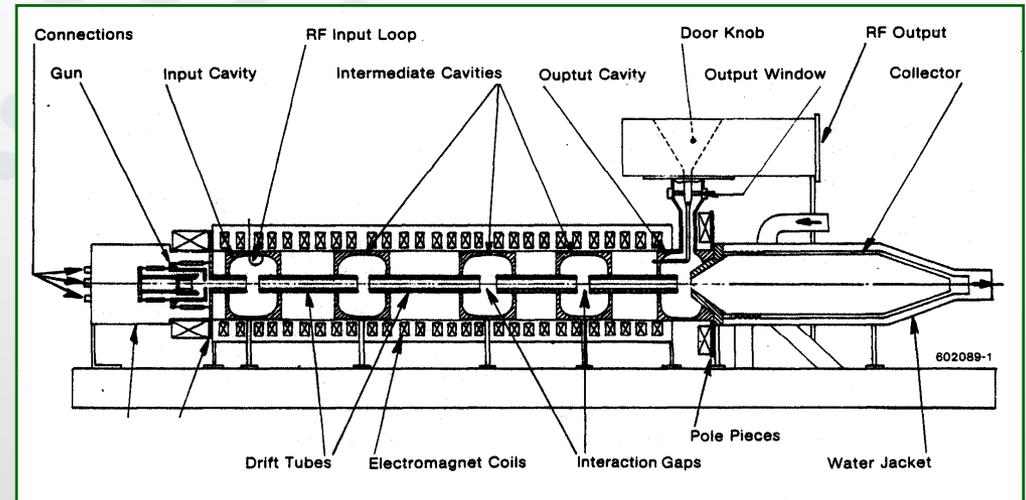


- Low power Velocity modulation with input cavity
- Drift space exploited in conjunction with several idle cavities to achieve bunching and therefore high efficient DC to RF power conversion:
- High gain
- $I_{dc} = K V_{dc}^{3/2}$ , for reasonable efficiency: beam perveance  $K < 1 \mu\text{Perv}$ 
  - High RF power  $\Rightarrow$  High Voltage
  - Increased effective perveance  $\Rightarrow$  Multibeam klystron
- CW klystrons often have a **modulating anode** for:
  - gain control
  - while RF drive power in saturation
  - allowing high efficiency operation over large dynamic range
  - However: anode modulation has low bandwidth (typically  $< 10 \text{ Hz}$ )

# CW klystrons

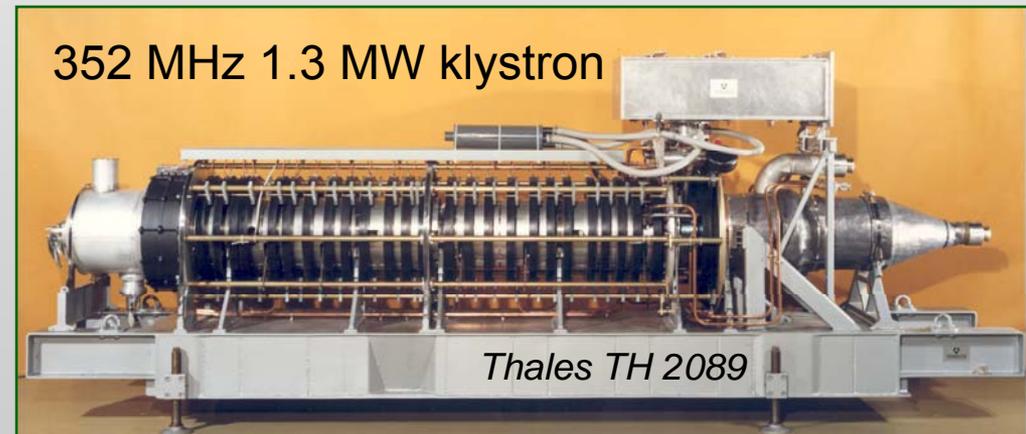
## Typical CW klystrons:

- Smaller accelerators: TV klystrons
  - 60 kW / 500 MHz
- Larger Machines: Super klystrons
  - 1...1.3 MW
  - 352, 500, 700 MHz
  - $\eta_{typ} = 62\%$
  - $G_{typ} = 42\text{ dB} \Rightarrow P_{in} \leq 100\text{ W}$

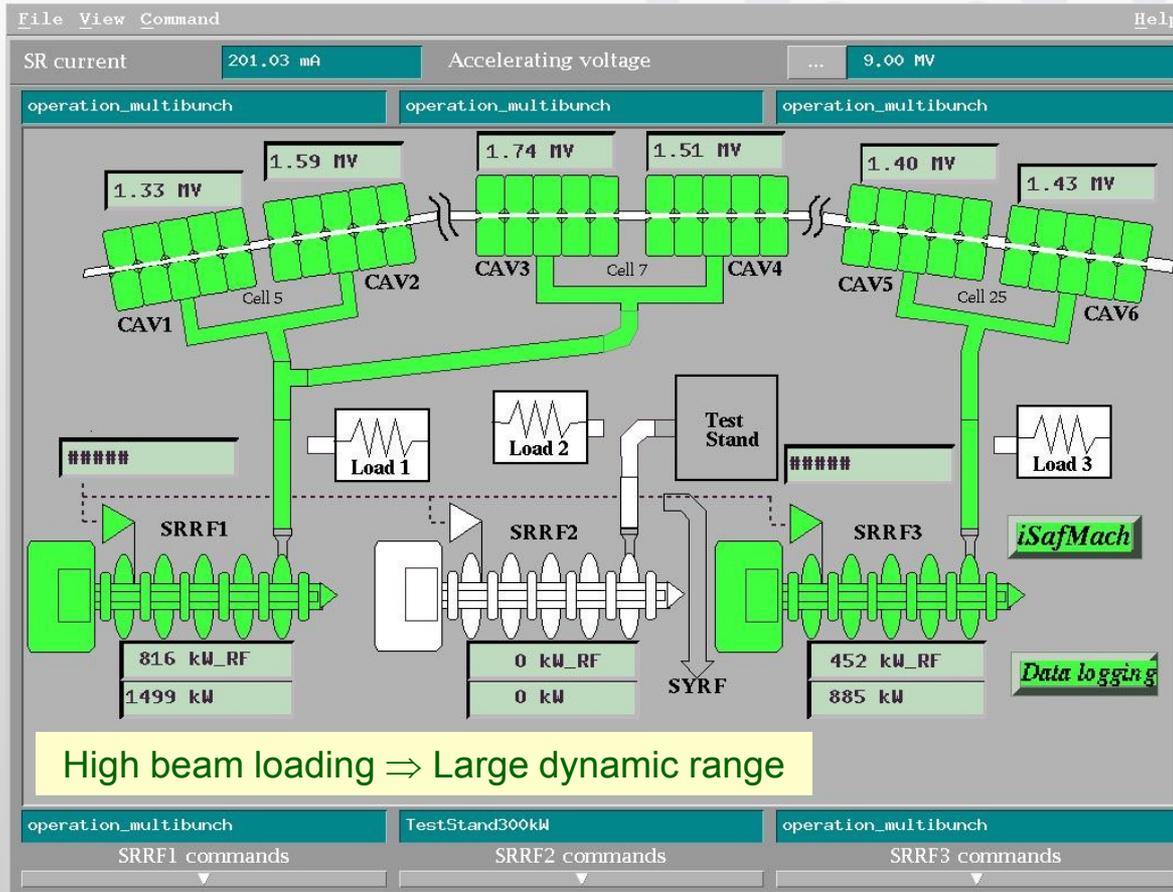


### Requires:

- 100 kV, 20 A dc High Voltage Power Supply
  - With Crowbar protection (ignitron, thyatron)
- Modern alternative: IGBT switched PS
- High voltage  $\Rightarrow$  X-ray shielding



# Example - ESRF Storage Ring: RF system in operation



- 1980's: 1.3 MW - 352.2 MHz klystrons developed for LEP/CERN
- Late 1980's:
  - ESRF = first 3<sup>rd</sup> generation light source
  - Power in the MW range
  - **No alternative to klystrons:**
    - ↳ LEP RF system ⇒ reference design for ESRF (transmitters & cavities)
  - Similar choices by APS, Spring-8, others...
- ESRF: 14 years experience with these tubes from Philips, EEV, Thales

## 1.3 MW Klystrons: **delicate** to define working point

Problem	Way out
Harmonic 2 $\rightarrow$ up to 1 kW on probe	klystron / circulator distance
Multipactoring in input cavity $\rightarrow$ reduces usable dynamic range	drive power, focusing
Gun breakdowns $\rightarrow$ backwards ions, $e^- \Rightarrow$ x-rays, ceramics charging up	focusing, conditioning
HV breakdowns	conditioning
RF breakdowns $\rightarrow$ in output coupler	
Barium pollution from cathode overheating $\rightarrow$ anode current, breakdowns	<ul style="list-style-type: none"> <li>regular heating adjustment</li> <li>low heating when no beam</li> </ul>
Sometimes	retuning of cavities

Once stable conditions  $\Rightarrow$  1000's of hours reliable operation

# High Power **Klystrons** at ESRF, continued...

## Trip statistics:

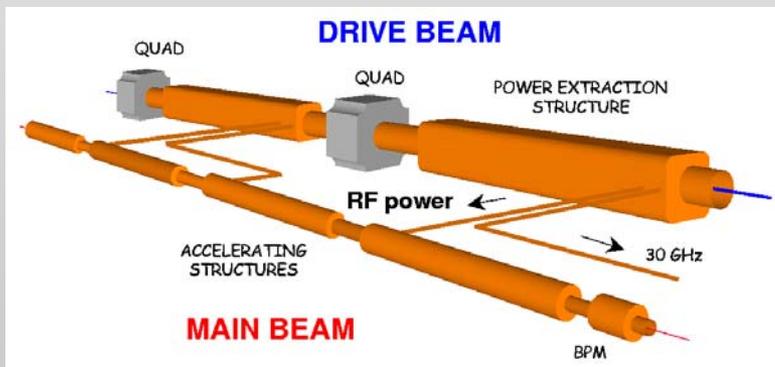
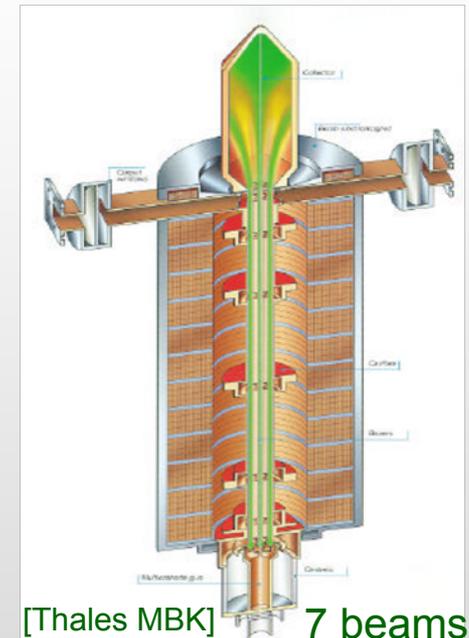
- Total RF system: 25% ... 30% of machine trips (ESRF: MTBF > 2 days, availability > 98%)
- **Klystron** failure rate < **auxiliaries'** failure rate:
  - Argument for small number / high power tubes
- 900 kW / 450 kW operation: same trip rate
  - Not much linked to power level

## Typical klystron drawbacks:

- $d\Phi/d(HV) \approx 7^\circ$  per % HV
  - Phase noise up to -50 dBc at multiples of 300 Hz / HVPS ripples
  - Beam sensitive ( $f_{\text{synchrotron}} = 1.2$  to 2 kHz)
  - Fast phase loop  $\rightarrow$  -70 dBc
  - Better (in future): switched PS, high switching frequency
- Drive power close to saturation  $\Rightarrow$  reduced gain for fast RF feedback for high beam loading  $\rightarrow$  at PEP II: digital klystron lineariser [J. Fox et al.]
- Today only one klystron supplier for 352 MHz 1.3 MW klystrons

# Pulsed Klystrons - examples

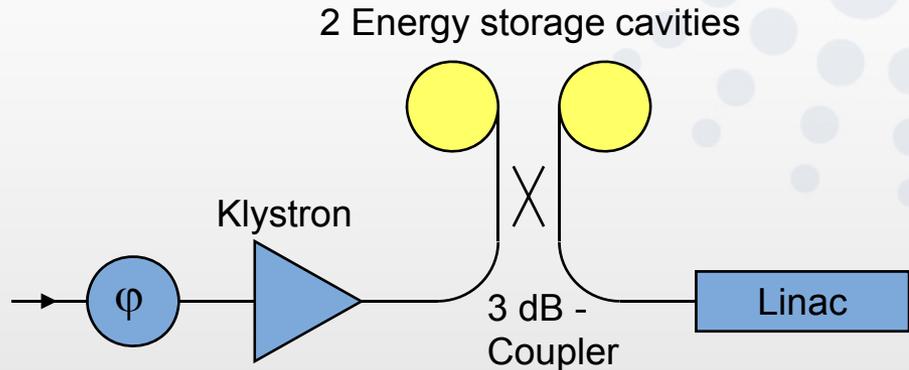
- S band klystron
  - 35 ... 45 MW at 3 GHz, pulses < 10  $\mu$ s
  - SLAC and pre-injectors of many machines, including light sources
- Recent developments:
  - Multi Beam Klystron for high efficiency, ex: 1.3 GHz (Thales, CPI, Toshiba)
    - Low Perveance to maximize  $\eta$ : 45 %  $\rightarrow$  65 %
    - High power: 10 MW / 1.5 ms pulses at "low" HV: 120 kV  
 $\Rightarrow$  cathode for several beams
    - TESLA, X-FEL, ... [see e.g. XFEL TDR]
  - Periodic Permanent Magnet – PPM klystrons
    - Example: 75 MW / 11.4 GHz for NLC: saving 80 MW of focus supply !



- Future: CLIC concept = very dedicated RF source
  - 3 GHz / 937 MHz (CTF3/CLIC) high intensity drive beam
  - $\rightarrow$  PETS: transfer  $\approx$  10 MW/cm at 30 GHz to high energy 3 TeV Linac
  - (recent modification: 12 GHz Linac achieving 100 MV/m)

[<http://clic-study.web.cern.ch>]

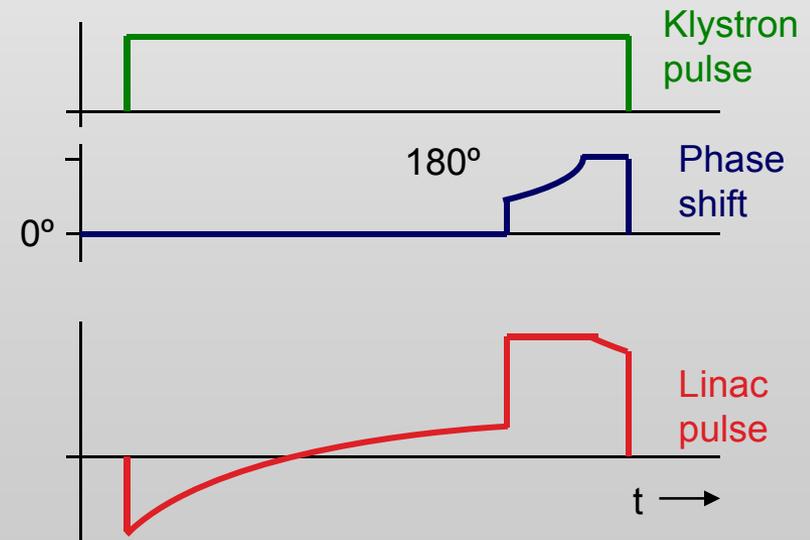
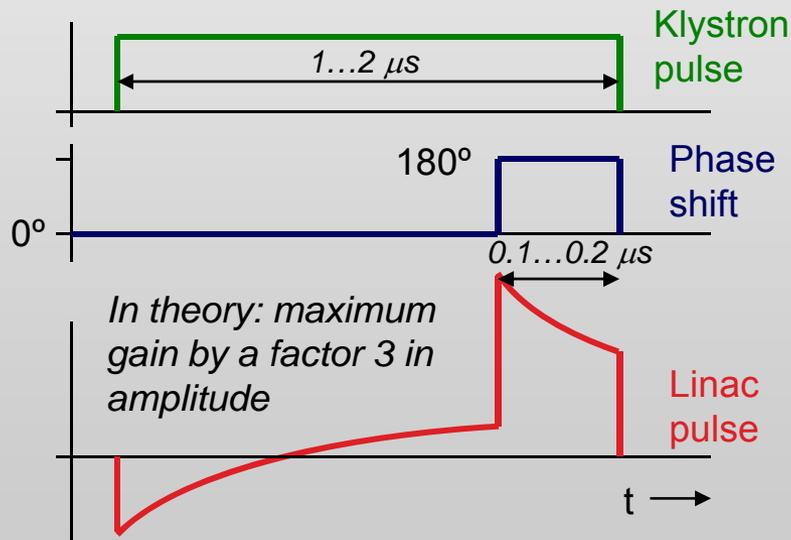
# SLED – Pulse Compression



*SLED = Stanford Linac Energy Doubler*

☞ SLED II: flatten pulses by replacing cavities with long resonant delay lines [Kroll et al., SLAC]

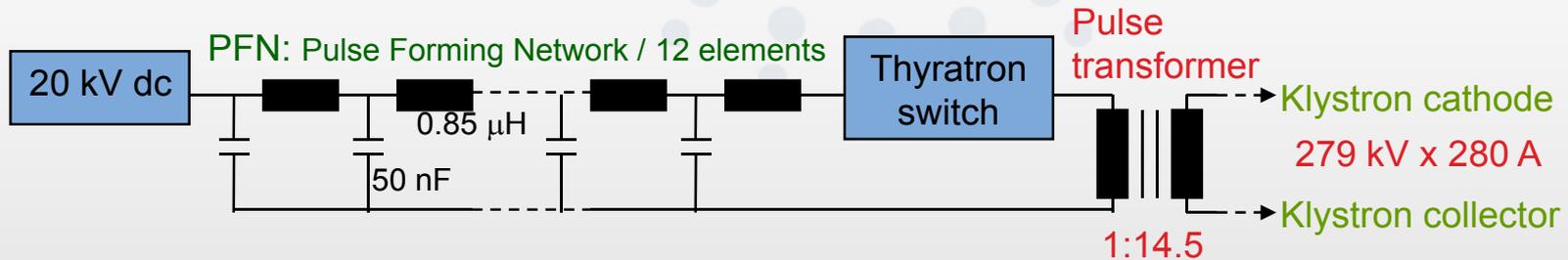
☞ Continuous phase shift [Fiebig & Schieblich, CERN]:



# Examples of Modulators for **pulsed** Klystrons

- PFN modulator of ESRF 3 GHz Linac:

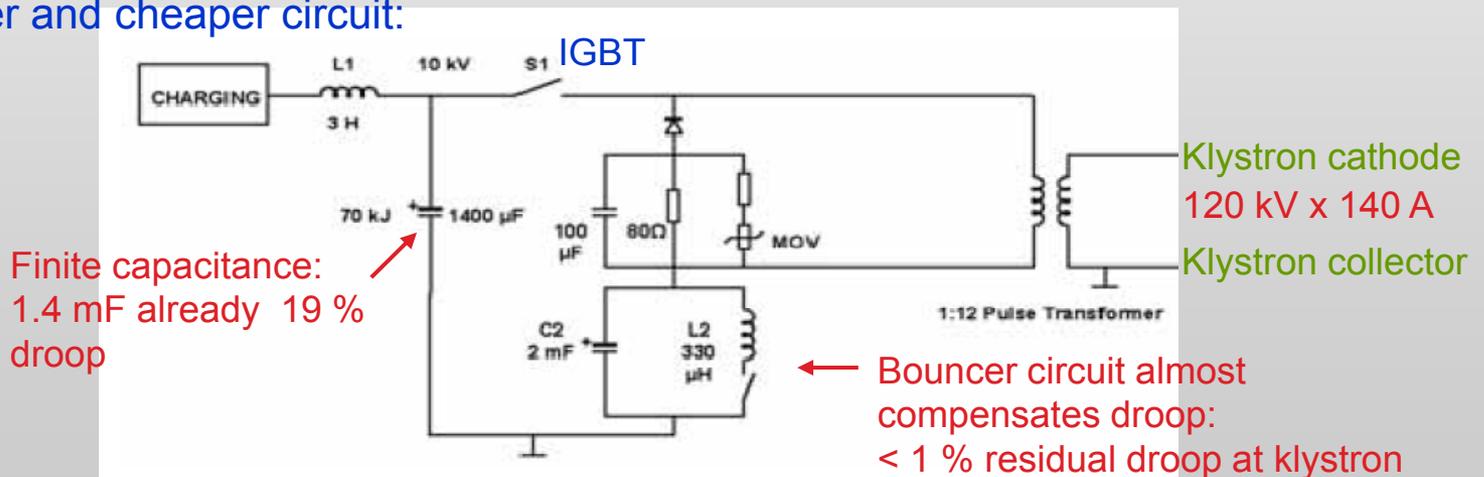
10 Hz - 78 MW HV pulse / 3.5  $\mu$ s flat top / ripple  $< \pm 0.5\%$   $\Rightarrow$  37 MW RF pulse



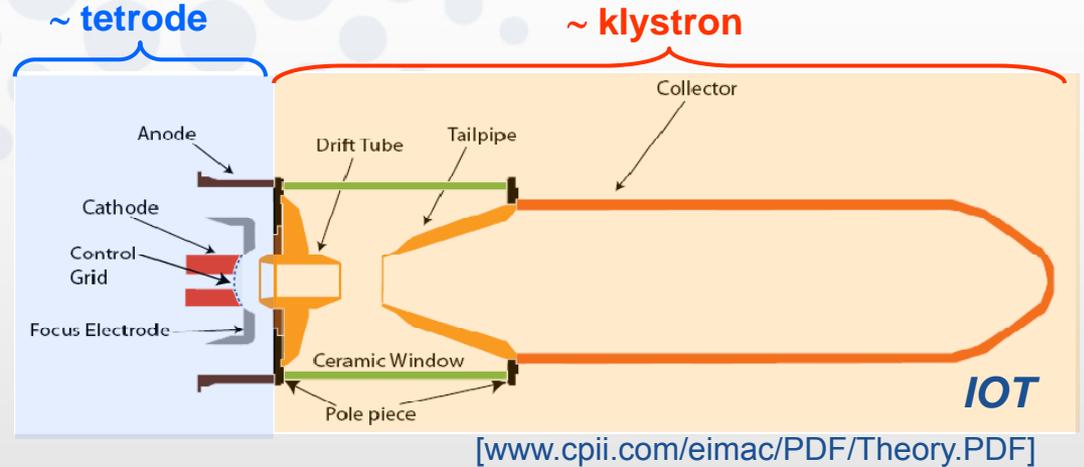
- Bouncer modulator for FLASH or XFEL 1.3 GHz klystrons [ [XFEL TDR](#) ]

10... 30 Hz - 17 MW HV pulse / 1.5 ms flat top / 10 MW RF pulse

Simpler and cheaper circuit:



# IOT - Inductive Output Tubes or klystrons



☞ Often with external in-air cavities allowing easy IOT exchange

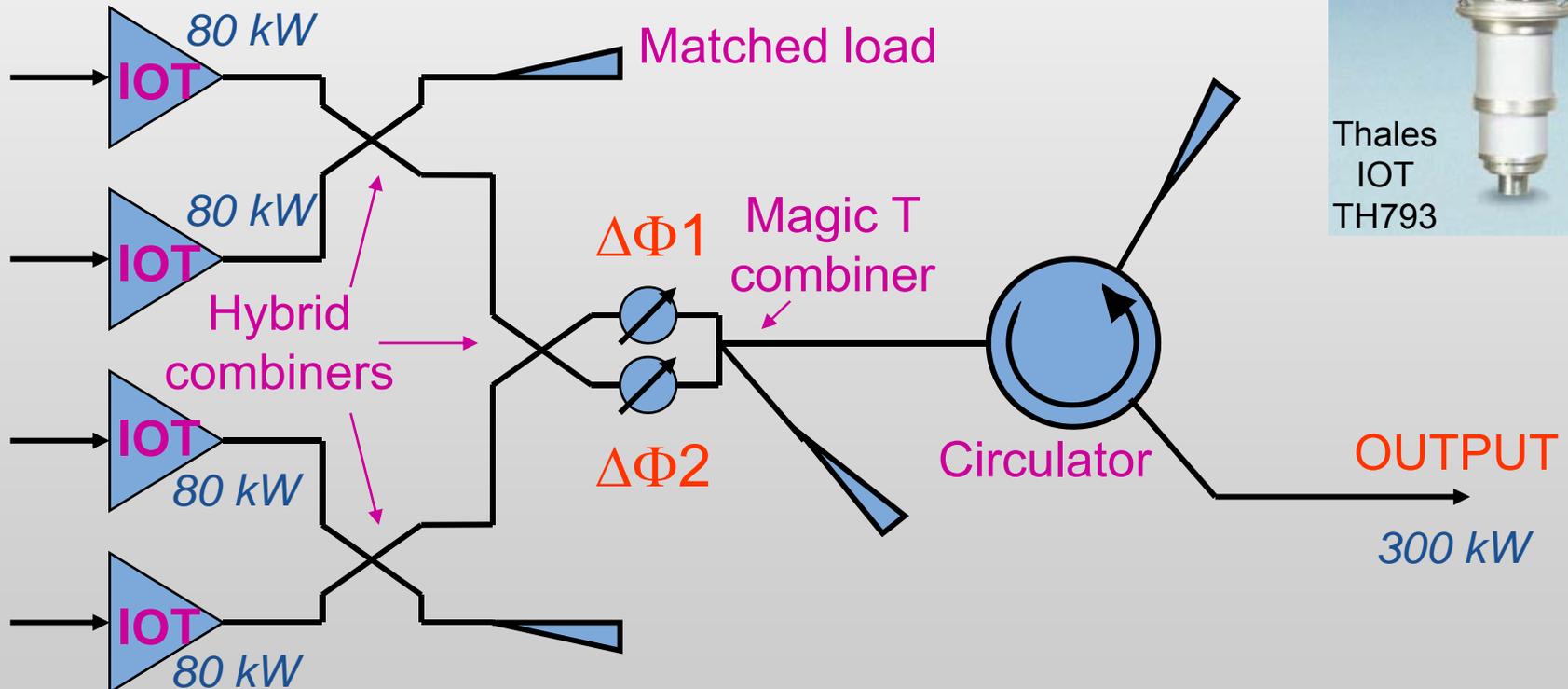
- TV IOT: typically 60 kW at 460 – 860 MHz
- IOT developed for accelerators [Thales, CPI]:
  - 80 kW CW at 470 – 760 MHz
  - $\eta \approx 70\%$  ☞ operation in class B
  - Intrinsic low Gain = 20 ... 22 dB  $\Rightarrow P_{in} = 1$  kW
  - Compact, external cavity  $\Rightarrow$  easy to handle
  - BUT: low unit power  $\Rightarrow$  power combiners

## • 1.3 GHz IOT for cw X-FEL Linacs & ERLs

- 16...20 kW
- $\eta \approx 55$  to 65% [Thales, CPI, E2V]
- No adequate klystron on the market
- Superiority of IOTs:
  - ☞ Higher efficiency
  - ☞ Less amplitude & phase sensitivity to HV ripples
  - ☞ No collector overheating after loss of drive
  - ☞ Expected lower costs

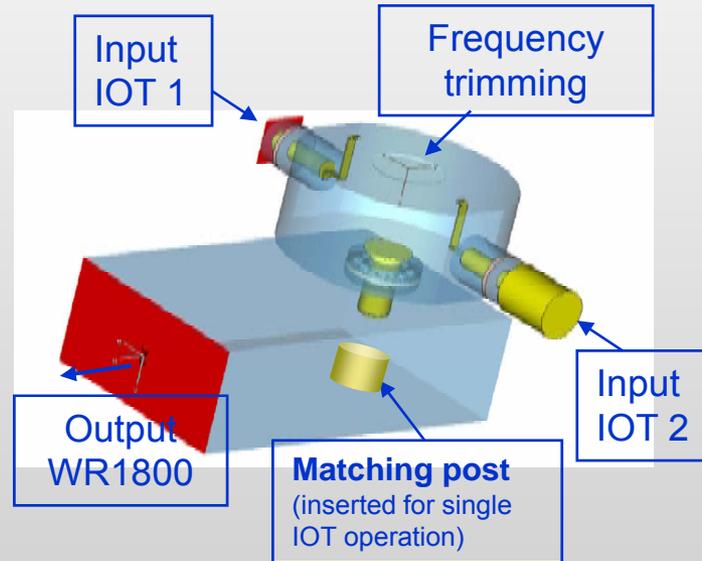
# Example: IOT transmitters for SC-Cavities of DIAMOND

- **First Storage Ring in the world powered with IOTs**
  - 300 kW / SC cavity (2, ultimately 3 cavities)
  - Waveguide type power combiner 4 x 80 kW
  - One IOT failure  $\Rightarrow$  still 188 kW if  $\Delta\Phi 1$  and  $\Delta\Phi 2$  are set properly
  - Turn key transmitters & TH793 IOTs from Thales



# Example: IOTs with cavity combiners for ALBA

- 150 kW / NC cavity (6 cavities)
- Compact **Cavity type** power combiner 2 x 80 kW
- Turn key transmitters & TH793 IOTs : from Thales



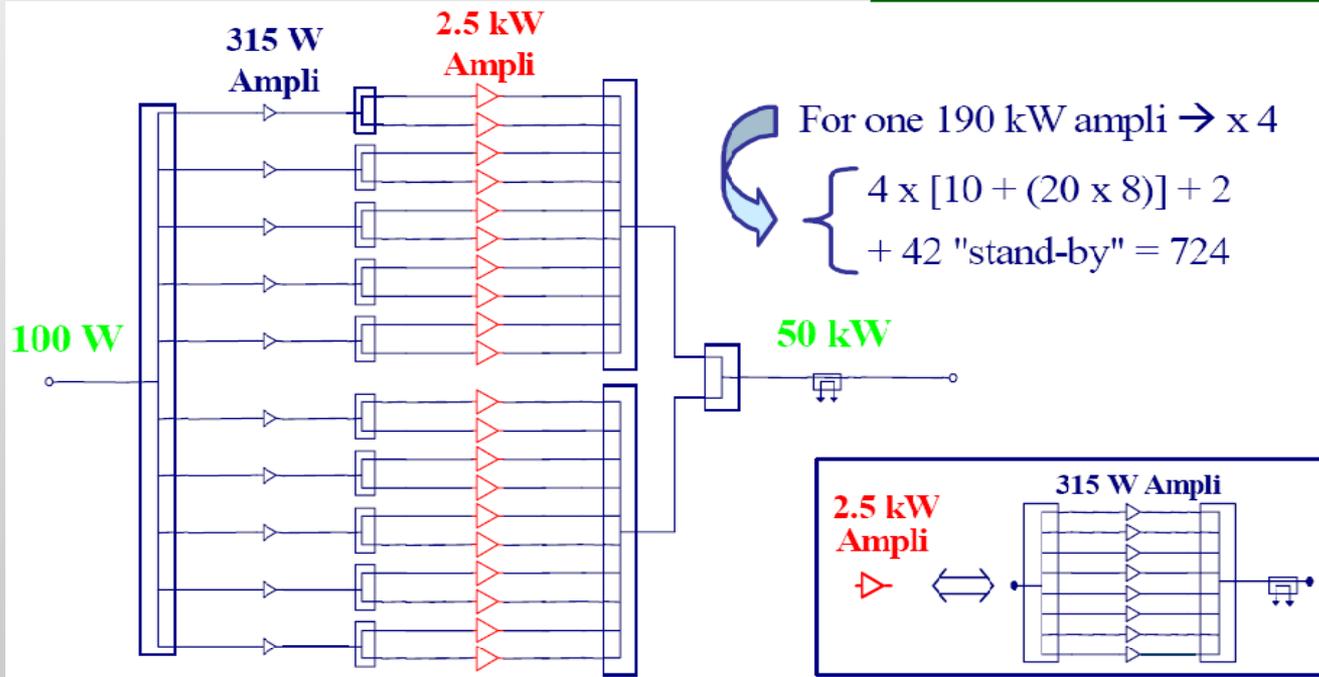
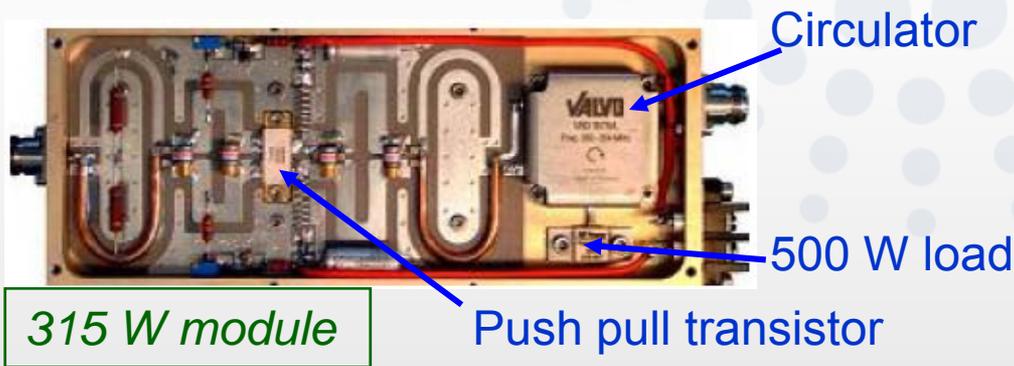
## Cavity Combiner - “CaCo”

- MWS design / ALBA
- 100 % match for 2 IOTs
- One IOT off and detuned:
  - ⇒ Adjust tuning plunger in output waveguide
  - ⇒ Re-establish match > 99%

- Compact and modular design
- Unit power of IOT & Cavity well matched (factor 2)
- Extendable: 1 Caco to combine many more IOT's

[P. Sanchez & M. Langlois, ALBA, ELSL RF, Oct. 2006]

# SOLEIL 352 MHz Solid State Amplifier



[P. Marchand, Ti Ruan et al., see e.g. PRST AB, 2007]

# RF Power **Combiners** and **Splitters**

**Power Combiner**



200 kW



100 kW

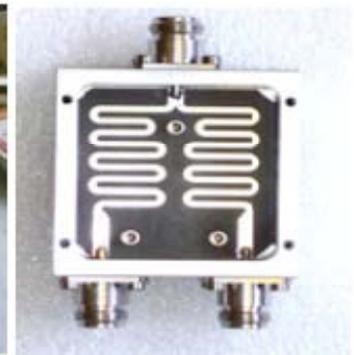


25 kW



2.5 kW

**Power Splitter**



# SOLEIL 352 MHz **Solid State Amplifier**



First 2 SSA powering  
2 SC SOLEIL cavities:

1 SSA = 4 x 45 kW towers → max: 180 kW

## SOLEIL storage ring:

- no IOT at 352 MHz,
- no klystron for 160 kW / SC cavity
- ⇒ Development of tailored solid state amplifiers for each of the 4 cavities
- Features confirmed after 3 years of operation of the storage ring
  - Extreme modularity
  - High redundancy: no interruption if some modules fail ⇒ reliable operation
  - No need for HV
  - No need for a high power circulator
  - Simple start up procedures
  - Easy operation and maintenance

# Upgrade of **ESRF 352.2 MHz RF system**

## Existing Operation at 200 mA

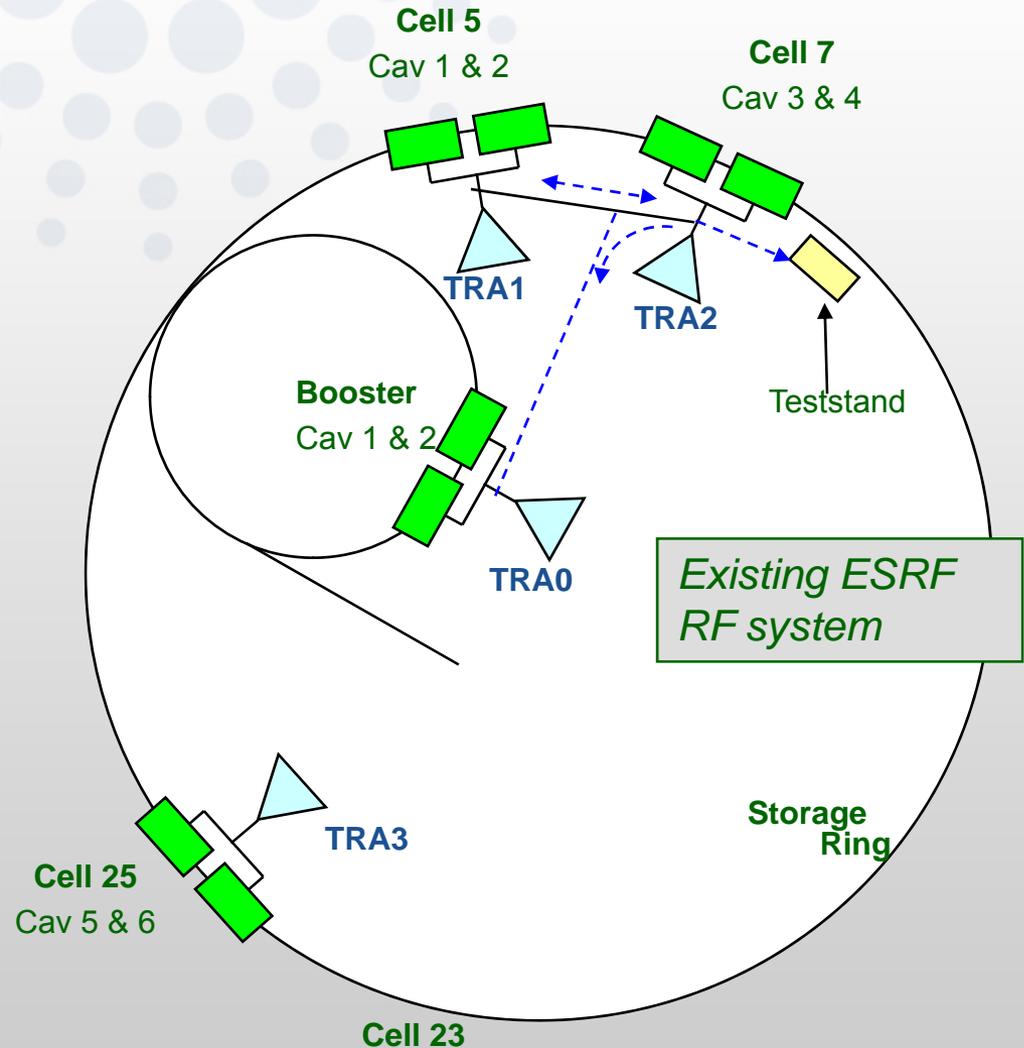
- Redundancy in case of any transmitter failure
- Suppression of HOM driven Longitudinal Coupled Bunch Instabilities by Cavity Temperature regulation

## Current upgrade to 300 mA

- No transmitter redundancy
- Need LFB to stabilize HOM driven instabilities
- Increased voltage to master Robinson Instability

## Long term

- Only 1 klystron manufacturer left, possible obsolescence
- That particular design: stability issues

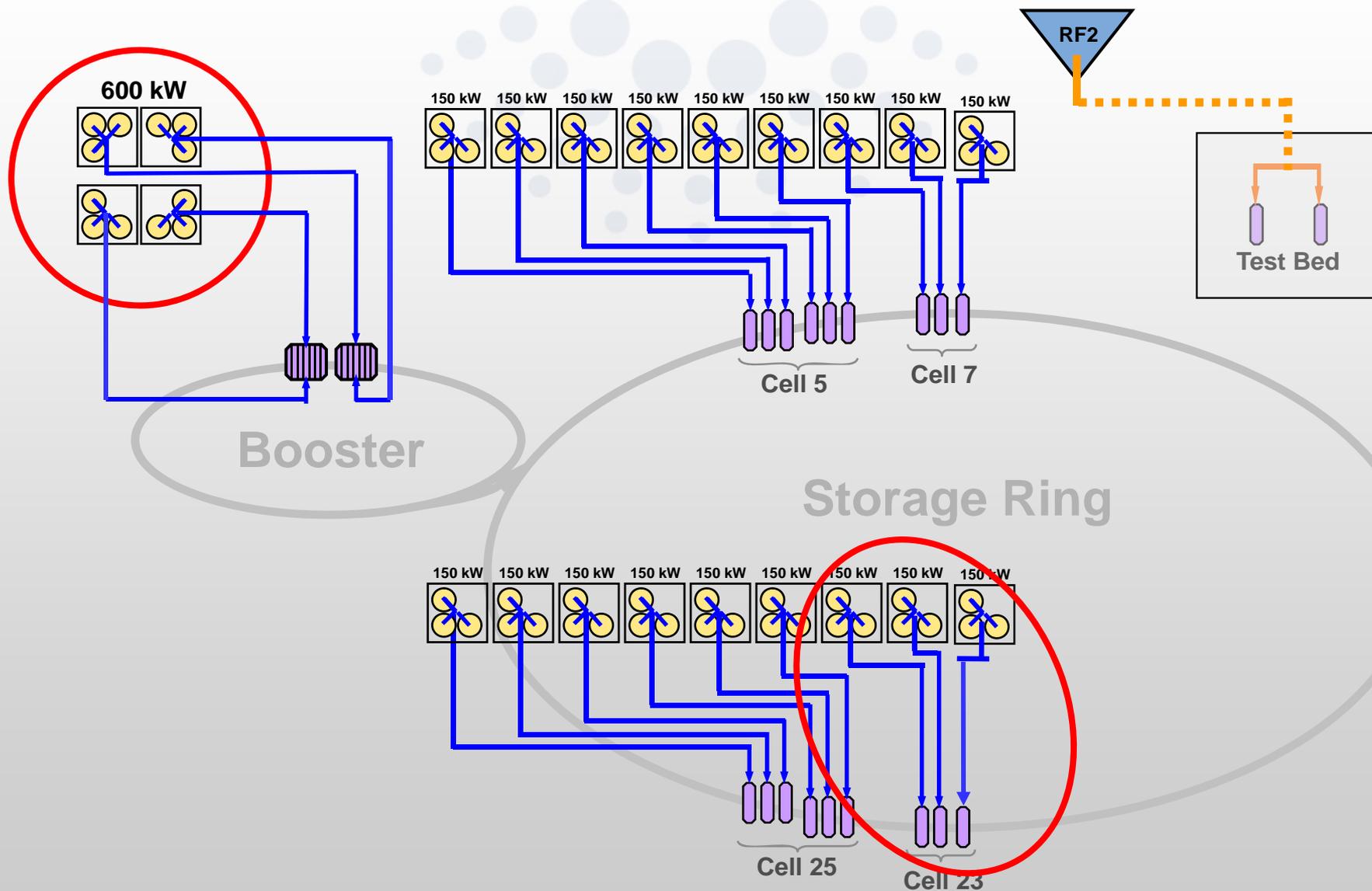


# ESRF RF upgrade

- Replacement of 6 five-cell cavities with 18 new **HOM damped Cavities** for the Storage Ring
- Transmitter upgrade with **150 kW Solid State Amplifiers (SSA)**:
  - **SSA highly modular**  $\Rightarrow$  **redundant**  $\Rightarrow$  **intrinsically reliable**
  - Good experience at SOLEIL
  - 20 dB less phase noise
  - No HV
  - No X rays
  - Easy maintenance
  - Likely to become the new standard for high power CW RF applications

- **Phase 1 has started: procurement of 7 x 150 kW SSA:**
  - 4 x 150 kW for the booster RF
  - 3 x 150 kW for the new RF in cell 23
- **Similar layout as for SOLEIL, but with**
  - **Next - 6th generation LDMOS transistors**
  - **500...700 W, i.e. almost doubled RF power per module**
  - **2 x 75 kW towers, also almost doubled RF power per tower**
  - **Reduced space requirement**
- **Status: Order for phase 1 about to be placed**

# Overview of ESRF RF upgrade



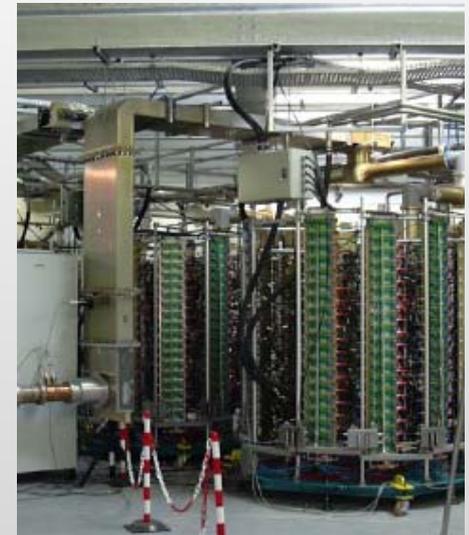
# Summary (1)

1. Clear trend towards compact and modular RF transmitters for frequencies  $\leq 1.3$  GHz
2. As for recent TV transmitters, IOTs are increasingly selected for accelerators:
  - High  $\eta = 65 \dots 70 \%$
  - Up to 300 kW at 500 MHz by power combining schemes
  - Combiners designed to sustain operation if 1 IOT fails
  - Modularity, ease of manipulation: attractive features for modern user facilities, which must achieve high up time with limited manpower
  - Intrinsically lower phase noise and high efficiency = major advantage of IOTs over Klystrons for 1.3 GHz cw SC Linacs & ERLs



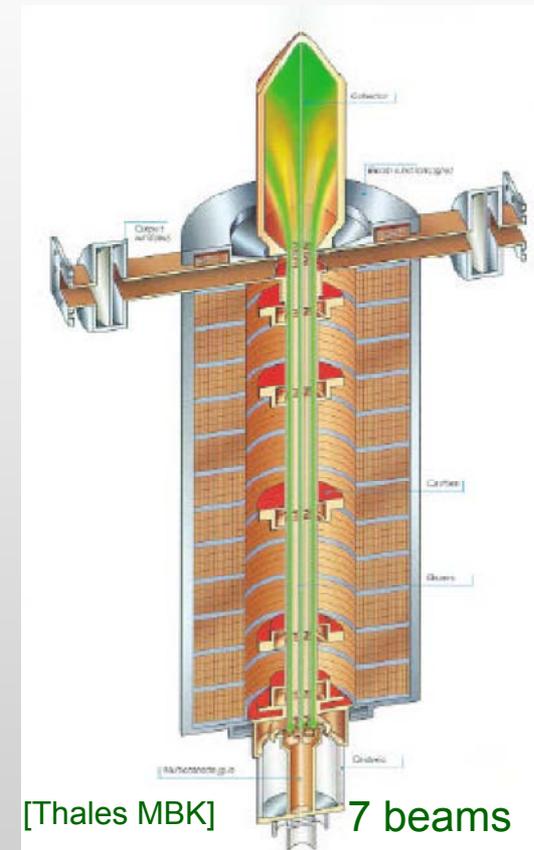
## Summary (2)

3. Solid state amplifiers entered field of high power RF generation:
- Highly innovative approach → next trend for accelerator applications ?
  - Combining 100's of 300 to 1000 W RF modules to obtain 100's of kW total RF power
  - Could open the door to highly industrialised mass production of RF power modules
  - Extremely modular: probably easy to operate and maintain even for small crews
  - Overall reliability and availability could approach 100 %, provided:
    - ☞ Intervention and replacement procedures are well established
    - ☞ Good procurement strategy in place



## Summary (3)

4. Accelerator applications requiring multi-MW level (mostly the case for pulsed systems)
  - Replacement of klystrons with the combined power of tens of IOT's does not seem attractive in terms of complexity, reliability and costs.
  - Still need for classical klystron transmitters
5. Today no alternative to high power klystrons at frequencies above 1.3 GHz



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... See also other references either as indicated on the slides as well as more recent publications from the authors and labs cited above...