

# **INSTABILITIES OF COOLED ANTIPROTON BEAM IN RECYCLER**

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## Contents

- In the 3.3 km Recycler Ring, stacked 8.9 GeV/c  $\bar{p}$  are cooled both with stochastic (transversely) and electron (3D) cooling.
- For ~ 20 hr about 4E12 pbars are stacked.
- The more beam is cooled, the less stable it is.
- Analysis of transverse coherent instabilities in the Recycler forced us to solve three theoretical problems:
  - Coherent instabilities near the coupling resonance  $V_x \approx V_y$
  - Stability analysis with digital dampers
  - Coherent antiproton-electron instability

## Head-Tail near Coupling Resonance

## Head-Tail at Coupling Resonance

- As many machines, Recycler stays near  $v_x = v_y$ . Single-particle motion can be coupled, and so a conventional optical formalism be invalid.
- Optical modes are not plain  $x/y$  eigenvectors any more. Instead, general 4D eigenvectors have to be used.
- There are canonical coordinates and momentums – *normal variables* - associated with the eigenvectors.
- An elementary kick from a leading to a trailing particle has to be calculated in terms of their normal variables.
- After that, Vlasov equation is written in terms of the normal variables similar to conventional uncoupled case.

## Coupled Eigenvectors

In Lebedev-Bogacz presentation (further development of Ripken-Mais), the general 4D eigenvectors are:

$$\mathbf{V}_1 = \left( \sqrt{\beta_{1x}}, \frac{i(u-1) - \alpha_{1x}}{\sqrt{\beta_{1x}}}, \sqrt{\beta_{1y}} e^{i\nu_1}, \frac{-iu - \alpha_{1y}}{\sqrt{\beta_{1y}}} e^{i\nu_1} \right)^T$$

$$\mathbf{V}_2 = \left( \sqrt{\beta_{2x}} e^{i\nu_2}, \frac{-iu - \alpha_{2x}}{\sqrt{\beta_{2x}}} e^{i\nu_2}, \sqrt{\beta_{2y}}, \frac{i(u-1) - \alpha_{2y}}{\sqrt{\beta_{2y}}} \right)^T$$

$$\mathbf{V}_{-m} = \mathbf{V}_m^*$$

$$\mathbf{R} \cdot \mathbf{V}_m = \exp(-i\mu_m) \mathbf{V}_m \quad m = 1, 2, -1, -2;$$

With  $\mathbf{R}$  as the revolution matrix

## Mode Amplitudes

- These basis vectors are orthogonal through the symplectic unit matrix  $\mathbf{U}$  :

$$\mathbf{V}_m^+ \cdot \mathbf{U} \cdot \mathbf{V}_n = -2i\delta_{mn} \operatorname{sgn}(m)$$

$$\mathbf{U} \equiv \begin{pmatrix} \mathbf{U}_d & \mathbf{0} \\ \mathbf{0} & \mathbf{U}_d \end{pmatrix} ; \quad \mathbf{U}_d \equiv \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

Any vector  $\mathbf{X}$  in the 4D phase space can be expanded over  $\mathbf{V}$  's:

$$\mathbf{X} = \sum_n C_n \mathbf{V}_n ; \quad C_n = \frac{i}{2} \mathbf{V}_n^+ \cdot \mathbf{U} \cdot \mathbf{X}$$

## Elementary Kick

- Conventionally, the elementary kick of the trailing particle is expressed as

$$\Delta \theta_x = \frac{e^2 W_x(s) x}{p_0 v_0}; \quad \Delta \theta_y = \frac{e^2 W_y(s) y}{p_0 v_0}$$

- In terms of the phase space vector  $\mathbf{X}$ , this can be expressed as a perturbation  $\Delta \mathbf{X} = \mathbf{W} \cdot \mathbf{X}$ , and for the amplitudes:

$$\Delta C_n = \frac{i}{2} \mathbf{V}_n^+ \cdot \mathbf{U} \cdot \Delta \mathbf{X} \equiv \frac{i}{2} \sum_m G_{nm} C_m$$

$G_{nm} \propto W_{x,y}$  is the wake matrix in a basis of eigenvectors.

## Diagonal Elements

- Perturbation theory over wake is built similar to Quantum Mechanics. By the same reason, when  $|v_1 - v_2| \gg \Delta v_{\text{coh}}$ ,

Only diagonal matrix elements of **G** count:

$$G_{nn} = -\frac{e^2}{p_0 v_0} (\beta_{nx} W_x(s) + \beta_{ny} W_y(s))$$

Compared with uncoupled case

$$G_x = -\frac{e^2}{p_0 v_0} \beta_x W_x(s)$$

This shows how the coupled problem is reduced to an uncoupled one.

## Normal Variables

- The complex amplitudes  $C_n$  can be presented as

$$C_n = \frac{q_n}{2} + i \frac{p_n}{2}$$

A linear phase space transformation

$$(x, \theta_x, y, \theta_y) \rightarrow (q_1, p_1, q_2, p_2)$$

is canonical

since it is provided by a symplectic matrix,  
composed from real and imaginary parts of the eigenvectors  $\mathbf{V}$

Thus,  $q_{1,2}$  and  $p_{1,2}$  are normal coordinates and momenta.

## Kick for Normal Momenta

- The elementary kick results in

$$\Delta q_n = 0$$

$$\Delta p_n = G_{nn} q_n = -\frac{e^2}{p_0 v_0} (\beta_{nx} W_x(s) + \beta_{ny} W_y(s)) q_n$$

$$n = 1, 2$$

- For uncoupled case, in particular:

$$\Delta q_x = 0$$

$$\Delta p_x = -\frac{e^2}{p_0 v_0} \beta_x W_x(s) q_x$$

- After that, the Vlasov equation in the phase space  $(q_n, p_n)$  is exactly identical to the uncoupled case  $(q_x, p_x)$ .

## Substitution Rules

- Thus, solution of any coupled head-tail stability problem follows from the corresponding uncoupled case applying the substitution rules for tunes, wakes and impedances:

$$V_x \rightarrow V_n$$

$$\beta_x W_x(s) \rightarrow \beta_{nx} W_x(s) + \beta_{ny} W_y(s)$$

$$\beta_x Z_x(s) \rightarrow \beta_{nx} Z_x(s) + \beta_{ny} Z_y(s)$$

$$n = 1, 2$$

- This is valid when  $|v_1 - v_2| \gg \Delta v_{\text{coh}}$  (in practice, it is normally so).
- In an opposite case, uncoupled Twiss parameters have to be used.

# Beam Stability with a Digital Damper

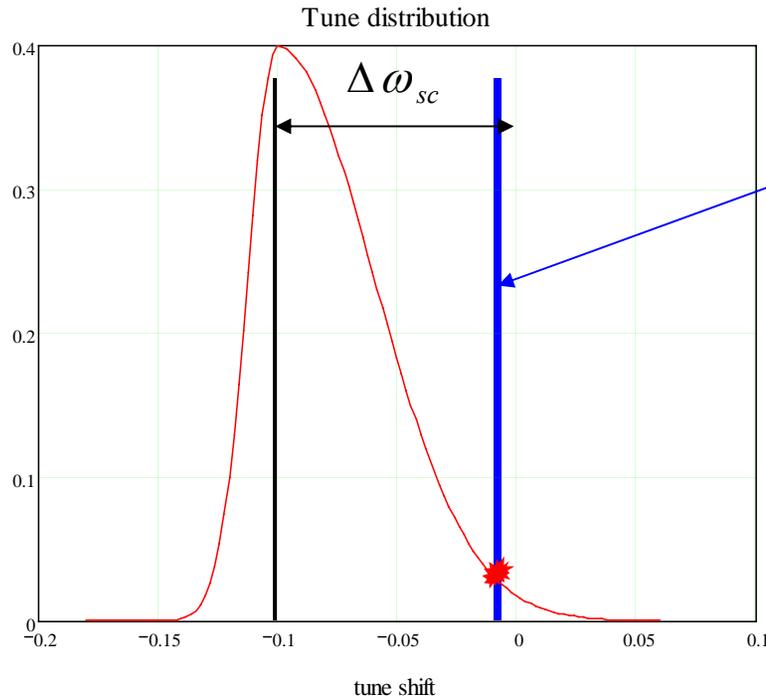
## Damper: Space Charge

- Beam space charge (SC) separates coherent and incoherent frequencies by (coasting beam, max):

$$\Delta \omega_{sc} = \frac{Nr_0}{2\gamma^2 \varepsilon_{\perp} T_0}$$

- Chromatic tune spread:

$$\Delta \omega_b = \omega_0 |\eta n - \xi| \delta p / p$$



coherent line

→ resonant particles density, responsible for the Landau damping of coherent oscillations.

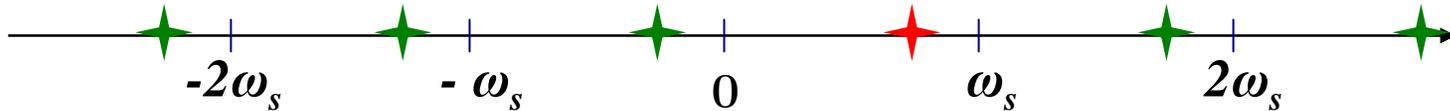
For Landau damping:

$$\Delta \omega_b(n) \geq (0.2 - 0.3) \Delta \omega_{sc}$$

For us, it means that frequencies < 100 – 200 MHz can be unstable due to the ring impedance.

## Alias Frequencies

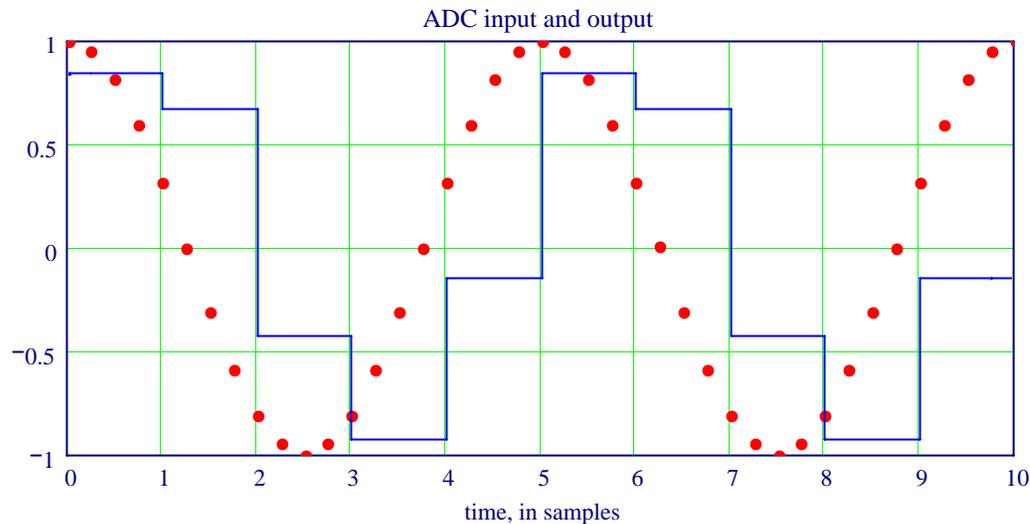
- To stabilize these broad band of beam frequencies, a digital damper was installed at Recycler.
- Digitizing goes with **sampling frequency**, so it adds to incoming frequency  $\omega$  sequence of all alias frequencies  $\omega + q\omega_s$ .



- Thus, longitudinal mode structure is changed by the damper. For coasting beam, space harmonics  $\propto \exp(in\theta)$  are not the case any more –except low frequencies  $n \ll \omega_s / \omega_0$ .

## Analog-Digital Converter (ADC)

- An output of ADC was originally at a sample frequency **53 MHz**, being exactly **588** harmonic of the revolution (to filter out all the revolution harmonics).
- The input signal was detected at **4** times higher frequency, and then an average of these **4** numbers went as an output.



ADC input (red dots) and output (blue steps) for  $53/5=10.6$  MHz input signal

## ADC Matrix

- In other words the ADC transformation  $\hat{T}$  works as:

$$\hat{T} \exp(-i\omega_p t) = \sum_q T_{pq} \exp(-i\omega_q t)$$

- With the matrix elements

$$T_{pq} = \frac{2}{N} \frac{\sin^2(\omega_p \tau_s / 2)}{\omega_q \tau_s \sin(\omega_q \tau_s / (2N))}$$

where  $N = 4$  is the averaging number, and  $\tau_s = 1/f_s = 2\pi/\omega_s \approx 20$  ns is the output sampling time.

## Mode Evolution

- Interplay of the damper, Landau damping and impedance determines stability of the beam modes:

$$\frac{dA_p}{dt} = -\Gamma_0 \sum_q T_{qp} A_q - \Lambda_p A_p - i(\Delta\omega_{\text{coh}})_p A_p$$

- The ADC matrix  $T$  is strongly degenerated: all its eigenvalues but one are exact zeroes.
- With impedance, half of these zeroes are getting unstable; they can be stabilized by the Landau damping.
- Landau damping (Gaussian distribution) and coherent shift:

$$\Lambda_n = \sqrt{\frac{\pi}{2}} \Delta\omega_{\text{sc}} x_n \exp(-x_n^2 / 2); \quad x_n \equiv \frac{\Delta\omega_{\text{sc}}}{\Delta\omega_b(n)}$$

$$\Delta\omega_{\text{coh}} = -i \frac{Nr_0 \beta_x}{2\gamma T_0^2} Z(\omega_b + n\omega_0)$$

# Two-Beam Instability in Electron Cooling

## Ion-electron interactions

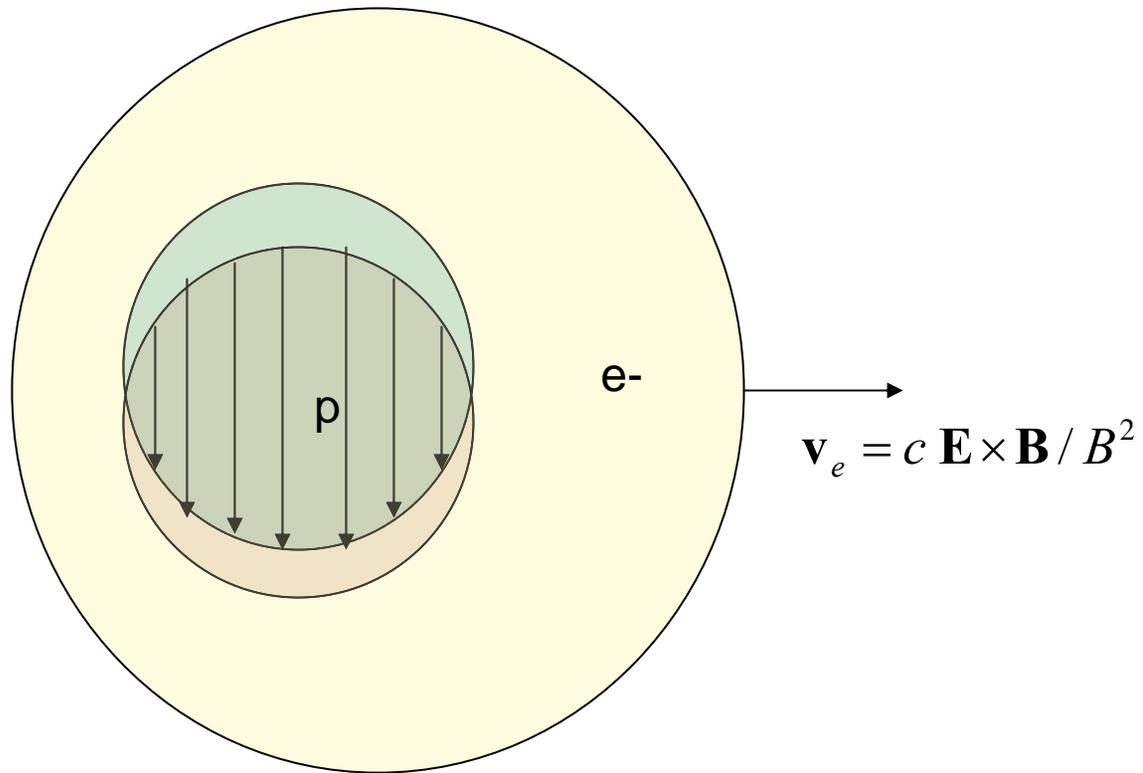
- Electron cooling is a method to increase a phase space density of a hot (ion / pbar) beam by merging it with a co-moving cold electron beam at a small portion of the pbar trajectory (20 m from 3.3 km at Recycler – details at Lionel Prost poster).
- Cooling may cause several detrimental phenomena:
  - Coherent instability due to lack of Landau damping;
  - Excitation of single-particle resonances by the cooled  $\bar{p}$  beam or cooling e-beam  $\Rightarrow$  lifetime degradation;
  - Coherent  $\bar{p} - e^-$  instability

## Main Steps

- Electron beam responds to an initial pbar beam offset.
- The beams are comoving, so the response is local.
- Being local and linear, this response can be presented as a perturbation of the pbar revolution matrix.
- This perturbation is a non-symplectic matrix, proportional to a product of antiproton and electron currents.
- Perturbation theory allows to find eigenvalues of the coherent revolution matrix.

## Electron Drift Response

Due to a solenoidal field in the cooler, electron response is essentially a drift in a direction orthogonal to the pbar offset.



## Dipole motion in the cooler

Rotation symmetry in the cooler allows to use  $\xi_{i,e} \equiv x_{i,e} + iy_{i,e}$  :

$$\xi_i'' = -k_{ie}^2 (\xi_e - \xi_i) + ik_{iL} \xi_i' \quad \xi_i(0) = \xi_{i0}; \quad \xi_i'(0) = \xi_{i0}'$$

$$\xi_e' = -ik_{ed} (\xi_i - \xi_e) \quad \xi_e(0) = 0$$

with  $k_{ie}^2 = 2\pi n_e Z_i r_p / (\gamma^3 \beta^2 A_i)$  ;  $k_{iL} = Z_i e B / (p_i c)$

$$k_{ed} = k_{ei}^2 / k_{eL} \propto Z_i n_i / B$$

The interaction parameter:  $\alpha = (k_{ie}^2 l^2)(k_{ed} l) = \psi_{ie}^2 \psi_{ed} \propto I_e I_i$

$l$  – cooler length

From here, the cooler matrix can be found.

## Coupling is Important

- In practice, all the 3 phases ( $ie$ ,  $iL$ ,  $ed$ ) are small,  $\psi \equiv kl \ll 1$ . In a leading order:

$$\xi_i'' = -k_{ie}^2 \xi_e$$

$$\xi_e' = -ik_{ed} \xi_i$$

- Electron response is orthogonal to pbar offset. Thus, for conventional planar (uncoupled) pbar modes, a work of the electron response is zero:

$$\vec{F}_{ie} \vec{v}_i = 0$$

- Thus, the instability, if reveals itself at all, has to be strongly sensitive to  $x$ - $y$  coupling of pbar optics.

## Perturbation Theory

The entire revolution matrix:  $\mathbf{R} = (\mathbf{I} + \mathbf{P}) \cdot \mathbf{R}^{(0)}$

$\mathbf{I}$  – identity matrix,  $\mathbf{P}$  – perturbation.

The perturbation theory is constructed very similar to the Quantum Mechanics.

The tune shift is given by the diagonal matrix element:

The complex phase shifts:  $\delta\mu_n = -\mathbf{V}_n^+ \cdot \mathbf{U} \cdot \mathbf{P} \cdot \mathbf{V}_n / 2$

Where  $\mathbf{V}$  are the 4D eigenvectors, and  $\mathbf{U}$  – the symplectic unit matrix

The growth rates:  $\Lambda_n = \text{Im } \delta\mu_n / T_0 = -\text{Im}(\mathbf{V}_n^+ \cdot \mathbf{U} \cdot \mathbf{P} \cdot \mathbf{V}_n) / (2T_0)$

Useful relation:  $2T_0(\Lambda_1 + \Lambda_2) = \det(\mathbf{R}) - 1 = \text{tr}(\mathbf{P})$

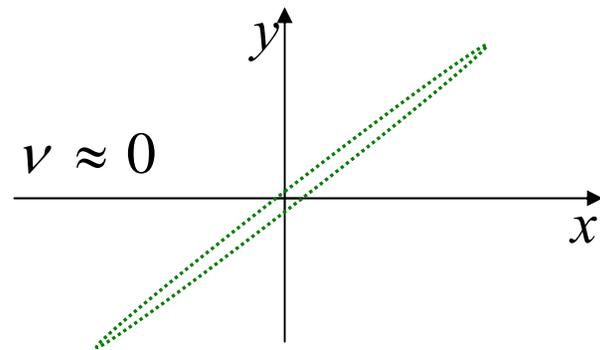
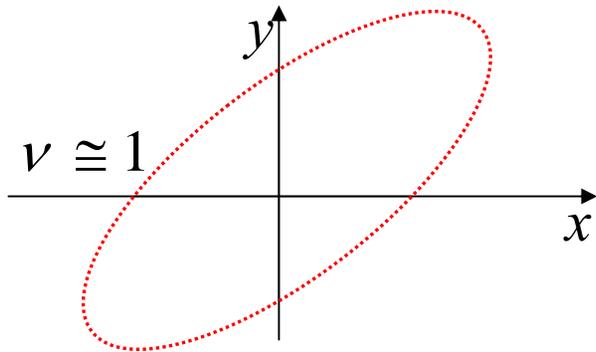
## Ion-Electron Growth Rates

The growth rate follows:

$$\Lambda_{1,2}^c = \pm \frac{\alpha \kappa_{xy}}{2T_0}$$

coupling parameter:

$$\kappa_{xy} = \sqrt{\beta_{1x}\beta_{1y} / l^2} \sin(\nu_1) = \sqrt{\beta_{2x}\beta_{2y} / l^2} \sin(\nu_2)$$



In case coupling results from the solenoid only:

$$\Lambda = \frac{\alpha \beta_0}{4T_0 l} \frac{1}{\sqrt{1 + (\mu_x - \mu_y)^2 / \psi_{iL}^2}}$$

$$\psi_{iL} = Bl / (B\rho)$$

## Recycler Experience

- Originally, Recycler stayed at coupling resonance  $(0.42, 0.42)$ . Lifetime degradation and transverse emittance growth of the cooled pbar beam was observed. The phenomenon was seen to be sensitive on the pbar linear density and on the beams offset.
- The described theory pushed me to insist on more separation of the tunes.
- To have more tune space for stepping out the coupling resonance, the tunes were moved to  $(0.46, 0.45)$ . At these tunes, no emittance growth was seen (always cooling), and the lifetime behavior was much better.
- However - the phenomenon did not show any visible dependence on the distance from the coupling resonance – at  $(0.46, 0.46)$  it was as good!
- So, the two-beam instability is excluded at Recycler. Our current conjecture for the lifetime degradation is excitation of single-particle resonances by an overcooled core of pbar beam.

## Summary

- Three general theoretical problems are solved:
  - Head-tail with  $x$ - $y$  coupling;
  - Beam stability with a digital damper;
  - Two-Beam Instability in Electron Cooling.

Everybody is welcome to use that!